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1 GENETIC EVALUATION FOR TUBERCULOSIS

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3 **Genetic evaluation for bovine tuberculosis resistance in dairy cattle**

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19 **INTERPRETIVE SUMMARY**

20 Bovine Tuberculosis (bTB) is a chronic disease of grave consequences to the dairy and beef  
21 cattle sector. A genetic evaluation platform was developed to estimate the genetic merit of  
22 animals with regards to bTB resistance. The presence of significant genetic variation rendered  
23 the distinction between genetically susceptible and resistant animals possible. Genetic  
24 evaluations for bTB resistance are now official in Great Britain.

25 **ABSTRACT**

26 Genetic evaluations for resistance to bovine tuberculosis (bTB) were calculated based on British  
27 national data including individual animal tuberculin skin test results, post-mortem examination  
28 (presence of bTB lesions and bacteriological culture for *Mycobacterium bovis*), animal  
29 movement and location information, production history and pedigree records. Holstein cows  
30 with identified sires in herds with bTB breakdowns (new herd incidents) occurring between the  
31 years 2000 and 2014 were considered. In the first instance, cows with a positive reaction to the  
32 skin test and a positive post-mortem examination were defined as infected. Values of zero and  
33 one were assigned to healthy and infected animal records, respectively. Data was analyzed with  
34 mixed models. Linear and logit function heritability estimates were 0.092 and 0.172,  
35 respectively. In subsequent analyses, breakdowns were split into two-month intervals to better  
36 model time of exposure and infection in the contemporary group. Intervals with at least one  
37 infected individual were retained and multiple intervals within the same breakdown were  
38 included. Healthy animal records were assigned values of zero, and infected records a value of  
39 one in the interval of infection and values reflecting a diminishing probability of infection in the  
40 preceding intervals. Heritability and repeatability estimates were 0.115 and 0.699, respectively.

41 Reliabilities and across time stability of the genetic evaluation were improved with the interval  
42 model. Subsequently, two more definitions of “infected” were analyzed with the interval model:  
43 (i) all positive skin test reactors regardless of post-mortem examination; (ii) all positive skin test  
44 reactors plus non-reactors with positive post-mortem examination. Estimated heritability was  
45 0.085 and 0.089, respectively; corresponding repeatability estimates were 0.701 and 0.697.  
46 Genetic evaluation reliabilities and across time stability did not change. Correlations of genetic  
47 evaluations for bTB with other traits in the current breeding goal were mostly not different from  
48 zero. Correlation with the UK Profitable Lifetime Index was moderate, significant and favorable.  
49 Results demonstrated the feasibility of a national genetic evaluation for bTB resistance. Selection  
50 for enhanced resistance will have a positive effect on profitability and no antagonistic effects on  
51 current breeding goal traits. Official genetic evaluations are now based on the interval model and  
52 the last bTB trait definition.

53

54 **Key words:** Genetic evaluation, bovine tuberculosis resistance

55

## INTRODUCTION

56 Bovine tuberculosis (bTB) is a chronic bacterial disease of cattle caused by *Mycobacterium bovis*  
57 (*M. bovis*) infection primarily involving the respiratory tract. The disease affects animal health  
58 and welfare, causing substantial financial strain to the dairy cattle sector worldwide through  
59 involuntary culling, animal movement restrictions and the cost of control and eradication  
60 programs (Allen et al, 2010). Furthermore, bTB is considered a zoonotic disease with  
61 considerable public health implications in countries where it is not subject to mandatory  
62 eradication programs.

63 In Great Britain, the majority of bTB cases are recorded in south western England and Wales. A  
64 bTB control and eradication program has been in place in these areas since 1950 comprising  
65 primarily routine and targeted surveillance of cattle herds, culling of positive animals and  
66 movement restrictions on infected herds. Surveillance is based on the administration of the single  
67 intradermal comparative cervical tuberculin test (skin test) involving two separate injections of  
68 sterile purified mixtures of *M. avium* and *M. bovis* antigens (tuberculins) in the deep layer of the  
69 skin of the neck, followed by examination of the skin for localized allergic reactions after 72  
70 hours (de la Rua-Domenech et al, 2006). When reaction to the *M. bovis* tuberculin injection is  
71 deemed to be less than or equal to that to the *M. avium* tuberculin injection, then the skin test is  
72 considered negative (non-reactor). A positive skin test result (known as a reactor) is declared  
73 when the reaction to *M. bovis* tuberculin exceeds that to *M. avium* tuberculin by more than 4 mm,  
74 according to the standard international interpretation (de la Rua-Domenech et al, 2006). In all  
75 other cases the test is considered inconclusive and repeated 60 days later. If one or more animals  
76 in a herd react positively to the skin test then a new bTB incident, also known as breakdown, is  
77 declared prompting animal movement restrictions, suspension of the official bTB free (OTF)  
78 status of the herd, and systematic testing of all animals in the herd at 60-day intervals. Animals  
79 with a positive or two consecutive inconclusive skin tests are compulsorily slaughtered and  
80 examined at the abattoir for visible lesions of bTB in their organs. Tissue samples from a  
81 representative number of infected animals from each herd are submitted to the laboratory to  
82 isolate *M. bovis* in bacteriological culture. A positive post-mortem examination result (presence  
83 of lesions and/or positive *M. bovis* culture) signals a downgrading of the herd's OTF status from  
84 "suspended" to "withdrawn". The breakdown remains open and skin testing continues in the herd

85 until one or two (depending on the post-mortem results and location of the herd) consecutive  
86 negative tests at minimum intervals of 60 days are obtained on all remaining animals.

87 Implementation of bTB control and eradication programs incurs significant costs to taxpayers on  
88 an annual basis. During 2010-2011, these costs amounted to £152 million in Great Britain and  
89 £23 million in Northern Ireland (Abernethy et al, 2013). However, despite the investment and  
90 good control efforts, the incidence and prevalence of bTB cases in Great Britain constantly  
91 increased between the mid-1980s and 2012, although they have leveled-off in more recent years.  
92 Even so, just over 4,800 new breakdowns were declared in cattle herds and more than 36,000  
93 animals had to be slaughtered for bTB control purposes in 2015 (Department for Environment  
94 Food and Rural Affairs - DEFRA, 2016). This has been partly attributed to a reservoir of  
95 endemic *M. bovis* infection in wildlife, especially badgers, in large parts of England and Wales.  
96 All these facts hinder progress towards achieving the DEFRA's goal for Great Britain to be OTF  
97 by year 2038.

98 The presence of genetic variation among individual animals in their immunological response to  
99 *M. bovis* exposure was documented by Pollock et al (2002). This genetic variation was  
100 subsequently quantified and moderate heritability estimates were reported in cattle (Bermingham  
101 et al, 2009; Brotherstone et al, 2010; Tsairidou et al, 2014). The amount of genetic variation and  
102 the level of estimated heritability render resistance to bTB amenable to improvement via genetic  
103 selection. Breeding for enhanced bTB resistance could complement existing control and  
104 eradication programs. However, relevant tools have not been widely available as no formal  
105 genetic evaluation systems have been put in place.

106 The objective of the present study was to assess the feasibility of a national genetic evaluation  
107 for bTB resistance in dairy cattle based on British population data. We combined data from

108 various sources and developed automated data handling procedures suitable for a routine  
109 commercial process. We investigated different models and trait definitions.

## 110 **MATERIALS AND METHODS**

### 111 *Data*

112 Population surveillance data were made available from the Animal and Plant Health Agency  
113 (APHA) of the Department for Environment, Food and Rural Affairs (DEFRA). Data consisted  
114 of tuberculin skin test and post-mortem examination records of dairy and beef cattle from Great  
115 Britain (predominantly England and Wales), spanning the period 1957-2014 although more than  
116 90% of the recorded data were post 2000. Skin tests had been applied to individual animals every  
117 two months within a given breakdown (defined as the period of disease surveillance in a herd  
118 prompted by the first detection of an infected animal and ending with the lifting of herd  
119 movement restrictions). Animals were classified as non-reactors, inconclusive reactors and  
120 reactors as described by de la Rua-Domenech et al (2006).

121 Negative skin test results for individual animals (non-reactors) were not being systematically  
122 recorded in the APHA database prior to 2011. Therefore, the British Cattle Movement Service  
123 (BCMS) database was used to identify contemporaries of reactors and inconclusive reactors in  
124 the APHA database that were present in the same herd during each breakdown. All  
125 contemporaries found in the BCMS database that were not included in the APHA data were  
126 considered to be non-reactors. The combined APHA-BCMS data was merged with milk  
127 recording data to derive information about the date of calving and parity number of the animals.  
128 A final match with the national pedigree dataset (including data from the official Herdbooks)  
129 maintained by the Edinburgh Genetic Evaluation Services on behalf of the Agriculture and

130 Horticulture Development Board (Dairy), retrieved the identification of the sire of each cow.  
131 Figure 1 illustrates the combination of data from various sources. A total of 5,358,308 cow  
132 records were included in the initial project database.

### 133 *Trait Definition*

134 The health status of each animal was defined as follows:

135 1. Infected; three definitions were examined:

136 a. Reactors to the skin test with positive post-mortem examination results  
137 comprising visible lesions of bTB and/or positive *M. bovis* culture (R+PM); this  
138 conservative definition required that a positive skin test be confirmed post-  
139 mortem and is consistent with the current formal APHA definition of a confirmed  
140 case as well as a previous study based on similar data (Brotherstone et al, 2010).

141 b. All reactors to the skin test regardless of post-mortem examination results (R);  
142 this definition was based on the very high specificity (ca. 99%) and positive  
143 predicted value of the skin test (de la Rua-Domenech et al, 2006; Goodchild et al,  
144 2016) implying a very small percentage of false positives (positive skin test  
145 reactors that were not actually diseased).

146 c. As in (b) plus non-reactors and inconclusive reactors to the skin test who had been  
147 subsequently slaughtered and had positive post-mortem examination results  
148 (RandNPM); this definition aimed at capturing all information available that  
149 could be indicative of infection including possible false negative skin test reactors  
150 in the analysis (Allen et al, 2010).

151 2. Healthy: live non-reactors to the skin test or slaughtered non-reactors with negative post-  
152 mortem examination results (i.e. absence of lesions and a negative *M. bovis* culture).



153 Based on the above, three trait definitions of the animal's bTB infection status were considered  
154 according to the three definitions of "infected". The "healthy" animal definition was the same in  
155 all cases.

### 156 *Data Edits*

157 More than 90% of the records in the database were from breakdowns that started in the year  
158 2000 or later. The latter data were also more complete in terms of post-mortem examination  
159 results. Therefore, breakdowns that started before 2000 were removed from further analyses.  
160 This edit was consistent with a previous study conducted on similar data (Brotherstone et al,  
161 2010). Additional edits kept only milking cows of the Holstein breed with an identified Holstein  
162 sire in breakdowns that were not shorter than two months. A final edit required that breakdowns  
163 have at least five observations of which at least one pertained to an infected cow. According to  
164 the three trait definitions, data from 424,843; 642,995 and 660,762 daughters of 15,211, 19,050  
165 and 19,325 sires, respectively, were kept in the analysis.

### 166 *Genetic Evaluation*

167 In the first instance, the following animal model was used to analyze animal bTB infection status  
168 as defined above:

$$169 \quad Y_{ijkmn} = \mu + B_i + R_j \cdot M_k + L_m + b_1dur + b_2age + b_3phol + A_n + e_{ijkmn} \quad (1)$$

170 where

171  $Y$  = bTB infection status record of animal n in breakdown i (0/1)

172  $\mu$  = population mean

173  $B$  = fixed effect of the breakdown i

174 R·M = fixed effect of the interaction between calendar year j and month k of breakdown  
175 onset

176 L = fixed effect of lactation number m (m=1 for primiparous cows, 2 for multiparous  
177 cows)

178 dur = linear regression on duration of the breakdown ( $b_1$ =regression coefficient)

179 age = linear regression on age of animal at breakdown onset ( $b_2$ =regression coefficient)

180 phol = linear regression on percentage of Holstein genes of the animal ( $b_3$ =regression  
181 coefficient)

182 A = random additive genetic effect of animal n including pedigree (6,398,839 animals)

183 e = random residual

184 Although data were restricted to only Holstein cows, the percentage of Holstein (vs. British  
185 Friesian) genes was available in the national dairy pedigree and was included in the model,  
186 consistent with the national genetic evaluations for other traits (Edinburgh Genetic Evaluation  
187 Service, 2016).

188 In a separate analysis, a logit function was fitted to model 1 to account for the binary nature of  
189 the trait.

190 In model 1, the entire breakdown irrespective of length represented a contemporary group  
191 (cohort of animals). Although the model adjusted for different breakdown duration, the time of  
192 exposure and actual infection could vary considerably within and across breakdowns, thereby  
193 affecting the true definition of the contemporary group and possibly impacting on results. In an  
194 alternative design, breakdowns were split into equally-sized (two months) intervals that would  
195 better capture the specific prevailing conditions and dynamics at a given time, and model

196 exposure and infection consistently within and across breakdowns and herds. The interval  
197 duration of two months was chosen in connection with bimonthly surveillance testing of herds  
198 during open breakdowns. As before, a breakdown interval was required to have at least one  
199 infected animal and a minimum size of five to be included in the analysis. Data from multiple  
200 intervals within the same breakdown were included, resulting in repeated records per individual  
201 cow. Specifically, animals defined as healthy in a given interval were assumed to have been  
202 healthy in all previous intervals within the same breakdown and were assigned repeated records  
203 of zero. An animal found to be infected in a given interval was assigned a record of one in this  
204 interval. In previous intervals within the same breakdown, this infected animal was assigned a  
205 value reflective of a diminishing probability of infection manifested as a record of  $(0.40)^n$ , where  
206  $n$  was the time distance from the interval of infection; for example, the infected animal record  
207 was 0.40 in the immediately previous interval, 0.16 in the interval before that, 0.064 in the third  
208 preceding interval and so on. The probability of infection chosen (0.40) is consistent with a  
209 sensitivity estimate of 0.60 of the skin test as diagnostic tool for bTB. Sensitivity reflects the  
210 proportion of negative skin test reactors (non-reactors) that were truly healthy; thus the value of  
211 0.40 represents the proportion of diseased non-reactors (false negatives). Reported sensitivity  
212 estimates of the tuberculin skin test range in literature from 0.51 to 0.81 (Downs et al, 2011;  
213 Álvarez et al, 2012; Karolemeas et al, 2012). Varying the assumed sensitivity and probability of  
214 infection between these values had only trivial impact on the genetic evaluation results (data not  
215 shown).

216 The model of analysis under the interval design was revised as follows:

$$217 \quad Y_{ijklmno} = \mu + B_i + R_j \cdot M_k + L_m + D_l + b_1age + b_2phol + A_n + PE_n + e_{ijklmno} \quad (2)$$

218 *where*

219 Y = bTB infection status record of animal n in breakdown interval i (repeated records)  
220 B = fixed effect of the breakdown interval i  
221 R·M = fixed effect of the interaction between calendar year j and month k of breakdown  
222 interval onset  
223 D = fixed effect of breakdown interval duration l (l=1 for a two-month interval, 2 for a  
224 possibly shorter interval leading to the end of the breakdown)  
225 age = linear regression on age of animal at breakdown interval onset (b<sub>1</sub>=regression  
226 coefficient)  
227 PE = random permanent environment effect associated with animal n  
228 All other effects were as in model (1).

229 In all cases, variance component and parameter estimates were derived using the software  
230 ASReml (Gilmour et al, 2009) and genetic evaluations (estimation of breeding values) with the  
231 software MiX99 (Vuori et al, 2006). Reliability estimates of the genetic evaluations, reflecting  
232 the squared correlation between the estimated and true breeding values, were based on the  
233 approximation proposed by Jamrozik et al (2000). Variance component estimation was based on  
234 a subset of data pertaining to sires with 20 to 500 daughters in the data. This edit resulted in  
235 about one third of the data being used in variance component estimation, in each case.

236 Separate genetic evaluations were calculated after removing the last two years of data and  
237 repeating the analyses on the reduced dataset. Results from the reduced and full data analyses  
238 were compared to test the stability of the genetic evaluation across time by emulating conditions  
239 of consecutive genetic evaluations with updated data. Additional model validation was  
240 conducted based on Interbull's method 3 for national genetic evaluations, which entails

241 regression of current (full) on the previous (reduced) genetic evaluation and on a function of the  
242 number of new daughters per sire since the previous evaluation (Boichard et al, 1995). This  
243 function combines the number of new daughters by year of first calving with the total number of  
244 daughters in the current evaluation (Boichard et al, 1995).

## 245 **RESULTS AND DISCUSSION**

### 246 *Descriptive Statistics*

247 Table 1 summarizes the three datasets considered in the present study, depending on trait  
248 definition. In the breakdown design (model 1) each cow had a single record whereas repeated  
249 records were included in the interval design (model 2). It should be noted that these proportions  
250 reflect only breakdowns with infected cases included in the present study and are not  
251 representative of the entire national herd.

252 As expected, the conservative definition of infection (R+PM, requiring a positive post-mortem  
253 examination of skin test reactors) resulted in the lowest proportion of infected animals (3.57%).  
254 There was minimal difference between the other two datasets which were mainly based on all  
255 skin test reactors regardless of post-mortem results (8.28% vs. 8.29%). The last dataset also  
256 included non-reactors and inconclusive reactors that had been slaughtered and tested positively  
257 post-mortem. However, there were very few such cases; in fact, of all infected cases in the third  
258 dataset (RandNPM), 97.3% were skin test reactors, 2.6% were inconclusive and only 0.1% were  
259 non-reactors to the skin test.

### 260 *Breakdown vs. Interval Model*

261 Results from the breakdown design (model 1) and the interval design (model 2) were compared  
262 using the first trait definition (R+PM), where skin test reactors with positive post-mortem were

263 considered to be infected. The heritability estimates were 0.093 ( $\pm 0.009$ ) and 0.115 ( $\pm 0.014$ ) for  
264 the two models, respectively. Heritability estimate after fitting a logit function to model 1 was  
265 0.172 ( $\pm 0.018$ ), reflecting the genetic variation in the underlying liability scale. These estimates  
266 are in agreement with results of previous studies on British (Brotherstone et al., 2010) and Irish  
267 (Bermingham et al., 2009) bTB data considering the same trait definition. Presence of significant  
268 ( $P < 0.01$ ) genetic variance signifies the amenability of the trait to improvement via selective  
269 breeding. Model 2 also yielded a repeatability estimate of 0.699 ( $\pm 0.005$ ) indicative of the  
270 definition of repeated records of the same cow within a breakdown in the present study.

271 Figure 2 shows the histogram of sire estimated breeding values (EBVs) by models 1 and 2. In  
272 accordance to industry preference, positive numbers were associated with higher resistance to  
273 bTB. Both models yielded normally distributed sire EBVs. The average proportion of infected  
274 daughters among the top and bottom 20 bulls from the evaluation based on the breakdown model  
275 was 2% and 23%, respectively. Corresponding proportions for the interval model were 2% and  
276 24%, respectively. Thus the two models fared equally well at distinguishing sires whose  
277 offspring have a higher degree of resistance from those that are more susceptible.

278 Table 2 summarizes the reliability estimates of sire EBVs obtained by the two models. Results  
279 are expressed as the cumulative percentage of sires falling within each reliability range. For  
280 example, 78% and 90% of the sires had EBV reliability greater than or equal to 0.30 based on the  
281 breakdown and interval model, respectively. Proportionally, more than twice the number of sires  
282 had EBV reliability of at least 0.50 based on the interval compared to the breakdown model,  
283 whereas this proportion was trebled for higher reliabilities ( $\geq 0.60$ ). The average sire EBV  
284 reliability was 0.40 and 0.54 for the breakdown and interval model, respectively. These results

285 attest to the increased accuracy on the interval model, reflecting a more appropriate definition of  
286 the contemporary group and a larger amount of data in the genetic evaluation.

287 Figure 3 illustrates the relationship between sire EBVs and proportion of infected daughters in  
288 the genetic evaluation. In both models, sire EBVs were reflective of the infection rate among  
289 their daughters, with somewhat stronger correlations for the interval than the breakdown model  
290 (-0.68 vs. -0.64). These correlations are expectedly negative as a higher EBV is indicative of  
291 increased resistance to bTB manifested by a lower infection rate.

292 Stability of genetic evaluations across time is illustrated in Figure 4. In both cases, sire EBVs  
293 based on a reduced data set were very good predictors of EBVs based on full data, the latter  
294 emulating a future genetic evaluation including new records. In this research case, new records  
295 were from an additional two full years of bTB surveillance, adding more than 30% of new data  
296 to the genetic evaluation. Official national genetic evaluations in the UK are calculated three  
297 times per year meaning new data will be included more gradually leading to even higher  
298 correlations and stability between successive evaluation runs. High EBV correlations and  
299 stability across time are crucial for the acceptability of genetic evaluation results by the industry.

300 Validation with Interbull method 3 yielded a significantly greater than zero ( $P < 0.01$ ) regression  
301 on the function of new daughters for the breakdown model but a non-significant one ( $P = 0.29$ ) for  
302 the interval model. If a genetic evaluation is unbiased, this regression is expected to be zero  
303 (Boichard et al, 1995). Furthermore, Interbull require the regression to not exceed 0.02 genetic  
304 standard deviations in order to include a national genetic evaluation in their international  
305 comparisons ([www.interbull.org](http://www.interbull.org)). In the present study, the regression in question was 0.0338 and  
306 0.0053 genetic standard deviations for the breakdown and the interval model, respectively,  
307 making the latter acceptable for national genetic evaluations.

308 The above results collectively demonstrate an overall superiority of the interval over the  
309 breakdown model in the analysis of bTB data. Therefore, further analyses were based on the  
310 former.

### 311 *Comparison of Trait Definitions*

312 The interval model was used to analyze data based on the other two trait definitions, where all  
313 skin test reactors (R) and all skin test reactors plus non-reactors with positive post-mortem  
314 (RandNPM), respectively, were considered to be infected.

315 Table 3 summarizes the variance component and heritability estimates from the three interval  
316 model analyses. All estimates were statistically greater than zero ( $P < 0.01$ ). Slightly higher  
317 heritability was estimated for the conservative definition of infected (R+PM), which can be  
318 attributed to the lower estimates for residual and permanent environmental variance (Table 3).  
319 The latter may be due to the definition of the trait, which, combined with the requirement to  
320 include breakdown intervals with at least one infected record, resulted in fewer records per cow  
321 compared to the more relaxed definitions (R and RandNPM). In fact, the average number of  
322 records per cow increased from 2.45 in R+PM to 3.38 and 3.47 for the other two definitions,  
323 respectively (Table 1). In all cases, genetic variance was of equal size and significant ( $P < 0.01$ )  
324 attesting to the amenability of all traits to genetic improvement via selection.

325 The distribution of sire EBV based on the R and RandNPM trait definitions was similar to those  
326 in Figure 2 for the interval model (R+PM). Table 4 illustrates differences between the top 20 and  
327 bottom 20 sires, by EBV, in the three genetic evaluations. Sires with a minimum EBV reliability  
328 of 0.30 and daughters in at least 10 breakdowns were considered in this Table. The distinction  
329 between the best and worst sires was more pronounced in the R and RandNPM cases compared  
330 to the conservative definition (R+PM). This can be attributed to the more relaxed definition in



331 the last two cases, allowing more infected individuals to be included in the analysis. Enhanced  
332 capacity to distinguish sires by their genetic merit is expected to facilitate genetic progress.

333 Average reliability of sire EBV was 0.54, 0.54 and 0.55 for the three trait definitions (R+PM, R  
334 and RandNPM), respectively. The distribution of sires across ranges of EBV reliability was very  
335 similar to the interval model results shown in Table 2 for the conservative definition (R+PM).  
336 The advantage of the larger amount of data and increased progeny group size in the last two  
337 definitions (33.8 and 34.2 daughters per sire, respectively) compared to R+PM (27.9) was  
338 seemingly offset by the increased heritability of the latter (Table 3).

339 Product moment correlations between sire EBVs based on the three trait definitions are shown in  
340 Table 5. As expected, correlations were strongest between the last two definitions considering all  
341 skin test reactors (R and RandNPM). Weaker correlations with R+PM can be primarily attributed  
342 to the number of diseased animals that reacted positively to the skin test and were culled without  
343 having had the time to develop and exhibit post-mortem lesions.

344 The stability of genetic evaluations across time was tested for all trait definitions and results  
345 were very similar to those in Figure 2. Correlations between reduced and full model EBV were  
346 0.94, 0.95 and 0.95 for R+PM, R and RandNPM, respectively. Validation with the Interbull  
347 method 3 yielded very similar results in R and RandNPM analyses to those for R+PM described  
348 above. In all cases, the genetic evaluations were shown to be unbiased as far as this method is  
349 concerned.

350 Correlations between sire EBV for bTB with the interval model and official EBV for other traits  
351 in the current national breeding goal are shown in Table 6. Sire EBV with a minimum reliability  
352 of 0.30 and daughters in minimum 10 herds (2,039-2,996 sires, depending on trait definition)

353 were considered for this purpose. These results illustrate the generally weak and favorable  
354 correlation between genetic evaluations for bTB and other important traits. The strongest  
355 correlation estimates (0.15) was with the overall Profitable Lifetime Index (£PLI), which  
356 effectively combines all economically important traits in one single value (Agriculture and  
357 Horticulture Development Board, 2016). Significant ( $P < 0.05$ ) correlations were also observed  
358 with lifespan, which describes the functional longevity of a cow, reflecting the probability of  
359 being involuntarily culled after adjusting for milk yield. Relatively stronger correlations  
360 pertaining to R and RandNPM can be attributed to losses of animals that react positively to the  
361 skin test and have to be culled, regardless of the outcomes of post-mortem examination. These  
362 estimates indicate that selection for increased resistance to bTB may have small favorable effects  
363 on £PLI and cow longevity. In general, Table 6 suggests that no antagonistic effects on animal  
364 traits already in the breeding program should be expected from sire selection for enhanced bTB  
365 resistance. This is consistent with the UK £PLI placing over 65% of its emphasis on health traits.  
366 The availability of bTB resistance genetic evaluations provides the industry with a number of  
367 options to add to the existing control measures. Farmers may choose to avoid particularly poor  
368 bulls when another bull of similar £PLI is available. Breeding companies may make only  
369 desirable bulls available in high risk areas and may incorporate bTB in their bull dam choices  
370 where possible. These choices combined and made over time would be expected to lead to a  
371 general reduction in the infection rate in UK herds.

372 The bTB evaluations are now being used to create genomic breeding values. At the cow level,  
373 genomic breeding values would allow farmers to exclude young animals at an early age if they  
374 were predicted to be particularly susceptible to bTB. For example, if farmers removed the worst  
375 5% of their animals each year before they had a chance to infect the remainder of the herd, the

376 expectation would be that the overall level of herd infectivity would decrease over time and,  
377 therefore, the potential of each animal to infect another would be reduced. Similarly, the  
378 potential of a herd to pass infection to wild reservoirs would be reduced, thereby further  
379 decreasing the overall level of infectivity in the population. The genetic epidemiology of such a  
380 proposed policy warrants further study to determine an optimal strategy for the use of genetic  
381 evaluations in reducing overall bTB infection.

## 382 **CONCLUSIONS**

383 The feasibility of a genetic evaluation for enhanced bTB resistance using nationally available  
384 data was demonstrated in the present study. Results have shown that selective breeding can  
385 potentially make a positive contribution (when used alongside other interventions such as cattle  
386 movement restrictions and biosecurity improvements) to DEFRA's stated aim for Great Britain  
387 to be OTF by 2038.

388 As of January 2016, the interval model has been applied in the official national genetic  
389 evaluation of Holstein sires considering all reactors to the skin test plus non-reactors and  
390 inconclusive reactors with positive post-mortem results as infected individuals. Further work is  
391 planned to address bTB resistance in the other dairy breeds as well as beef cattle.

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455

**Table 1.** Three datasets in the genetic evaluation according to bTB trait definition<sup>1</sup>.

	R+PM	R	RandNPM
No. cows	424,843	642,995	660,762
No. records*	1,040,891	2,170,322	2,294,859
No. sires of cows	15,211	19,050	19,325
No. breakdowns	4,365	8,158	8,397
No. breakdown intervals*	7,585	18,079	18,822
Prop. infected cows	0.0357	0.0828	0.0829

<sup>1</sup>R+PM: bTB infected = skin test reactors with positive post-mortem results; R: bTB infected = all skin test reactors regardless of post-mortem results; RandNPM: bTB infected = as R plus non-reactors and inconclusive reactors with positive post-mortem results.

\*Interval model only.

**Table 2.** Reliability of sire genetic evaluations<sup>1</sup> based on the breakdown and interval models; cumulative percentage of sires per reliability range.

Reliability range	Breakdown model	Interval model
< 0.10	100%	100%
0.10 - 0.19	94%	97%
0.20 - 0.29	89%	94%
0.30 - 0.39	78%	90%
0.40 - 0.49	42%	73%
0.50 - 0.59	22%	53%
0.60 - 0.69	12%	37%
0.70 - 0.79	7%	25%
0.80 - 0.90	4%	13%
≥ 0.90	2%	6%

<sup>1</sup>bTB infected = skin test reactors with positive post-mortem results.



**Table 3.** Variance components and parameter estimates (est.) and standard errors (s.e.)<sup>1</sup> from the interval model analyses.

	<b>R+PM</b>		<b>R</b>		<b>RandNPM</b>	
	<b>est.</b>	<b>s.e.</b>	<b>est.</b>	<b>s.e.</b>	<b>est.</b>	<b>s.e.</b>
Genetic variance	0.006	0.001	0.006	0.001	0.007	0.001
Permanent environment variance	0.032	0.001	0.047	0.001	0.046	0.001
Residual variance	0.016	<0.001	0.023	<0.001	0.023	<0.001
Phenotypic variance	0.055	<0.001	0.076	<0.001	0.076	<0.001
Heritability	0.115	0.014	0.085	0.007	0.089	0.007
Repeatability	0.699	0.005	0.701	0.002	0.697	0.002

<sup>1</sup>R+PM: bTB infected = skin test reactors with positive post-mortem results; R: bTB infected = all skin test reactors regardless of post-mortem results; RandNPM: bTB infected = as R plus non-reactors and inconclusive reactors with positive post-mortem results.

**Table 4.** Differences between top 20 and bottom 20 sires in genetic evaluations based on three datasets<sup>1</sup> and the interval model; sires with minimum reliability of 0.30 and daughters in at least 10 herds were considered.

	R+PM	R	RandNPM
Difference in % of infected daughters	22%	33%	35%
Difference in estimated breeding values	0.17	0.21	0.21

<sup>1</sup>R+PM: bTB infected = skin test reactors with positive post-mortem results; R: bTB infected = all skin test reactors regardless of post-mortem results; RandNPM: bTB infected = as R plus non-reactors and inconclusive reactors with positive post-mortem results.

**Table 5.** Product-moment correlations between genetic evaluations (above diagonal) and number of common bulls (below diagonal) based on three data definitions<sup>1</sup> and the interval model.

	R+PM	R	RandNPM
R+PM		0.62	0.64
R	14,998		>0.99
RandNPM	15,201	19,050	

<sup>1</sup>R+PM: bTB infected = skin test reactors with positive post-mortem results; R: bTB infected = all skin test reactors regardless of post-mortem results; RandNPM: bTB infected = as R plus non-reactors and inconclusive reactors with positive post-mortem results.

**Table 6.** Genetic evaluation correlations between bovine tuberculosis<sup>1</sup> and other traits.

Trait	R+PM	R	RandNPM
Milk Yield	0.00	0.05	0.06
Fat Yield	-0.02	0.08*	0.08*
Protein Yield	0.01	0.10*	0.10*
Fat %	-0.02	0.02	0.01
Protein %	0.02	0.07*	0.06
Milk Somatic Cell Count	-0.04	-0.05	-0.06
Fertility Index <sup>2</sup>	0.03	0.05	0.05
Calving Interval	0.00	-0.03	-0.03
Conception Rate	0.06	0.06	0.05
Calving Ease (direct)	0.06	0.08*	0.08*
Calving Ease (maternal)	0.04	0.06	0.07*
Lifespan	0.07	0.10*	0.11*
Profitable Lifetime Index	0.06	0.15*	0.15*

<sup>1</sup>R+PM: bTB infected = skin test reactors with positive post-mortem results; R: bTB infected = all skin test reactors regardless of post-mortem results; RandNPM: bTB infected = as R plus non-reactors and inconclusive reactors with positive post-mortem results.

<sup>2</sup>Combination of calving interval and non-return in 56 days.

\*P<0.05. Positive correlations are favorable except for Milk Somatic Cell Count and Calving Interval.

468 **Figure 1.** Combination of data from different sources in the genetic evaluation for bTB  
469 resistance; APHA=Animal and Plant Health Agency; BCMS=British Cattle Movement Service;  
470 EGENES= Edinburgh Genetic Evaluation Services.

471

472 **Figure 2.** Histogram of sire estimated breeding values (EBV) based on the breakdown and  
473 interval models; bTB infected = skin test reactors with positive post-mortem results.

474

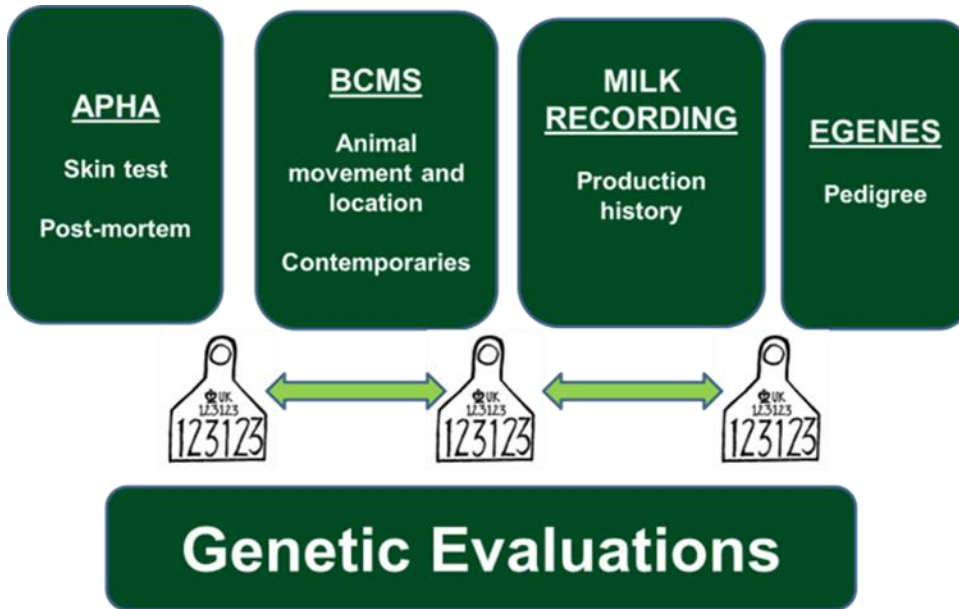
475 **Figure 3.** Sire estimated breeding values (EBVs) plotted against the proportion of infected  
476 daughters on which EBVs were based, using the breakdown and interval models;  $r$ =correlation;  
477 bTB infected = skin test reactors with positive post-mortem results.

478

479 **Figure 4.** Sire genetic evaluations based on the full dataset (vertical axis) plotted against genetic  
480 evaluations based on the reduced dataset (minus last two years, 30% less), using the breakdown  
481 and interval models;  $r$ =correlation between genetic evaluations; bTB infected = skin test reactors  
482 with positive post-mortem results.

483

484 Banos Figure 1

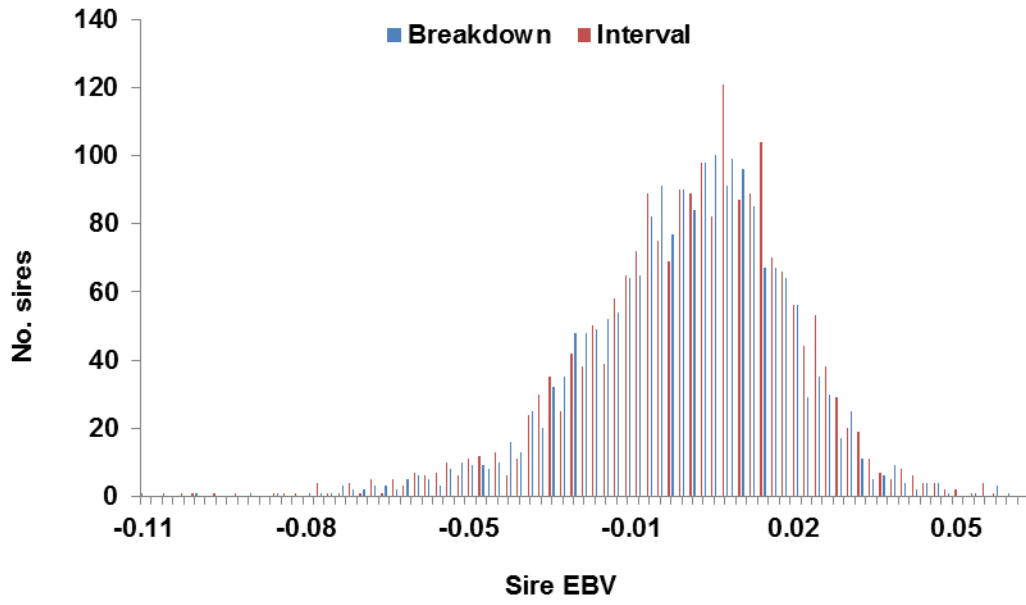


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487 Banos Figure 2

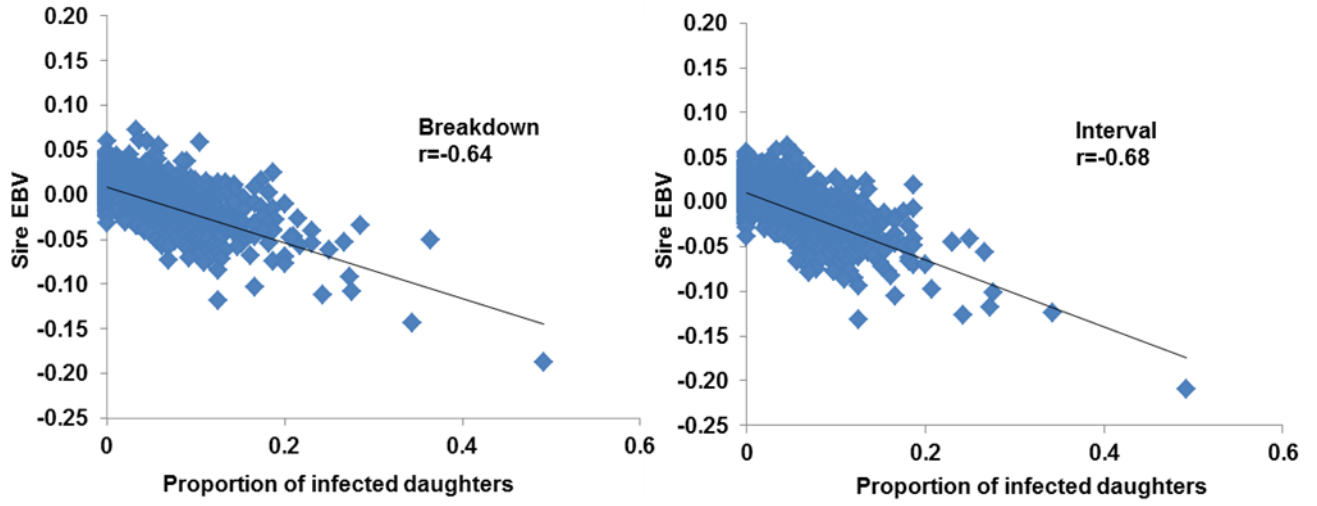
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491 **Banos Figure 3**

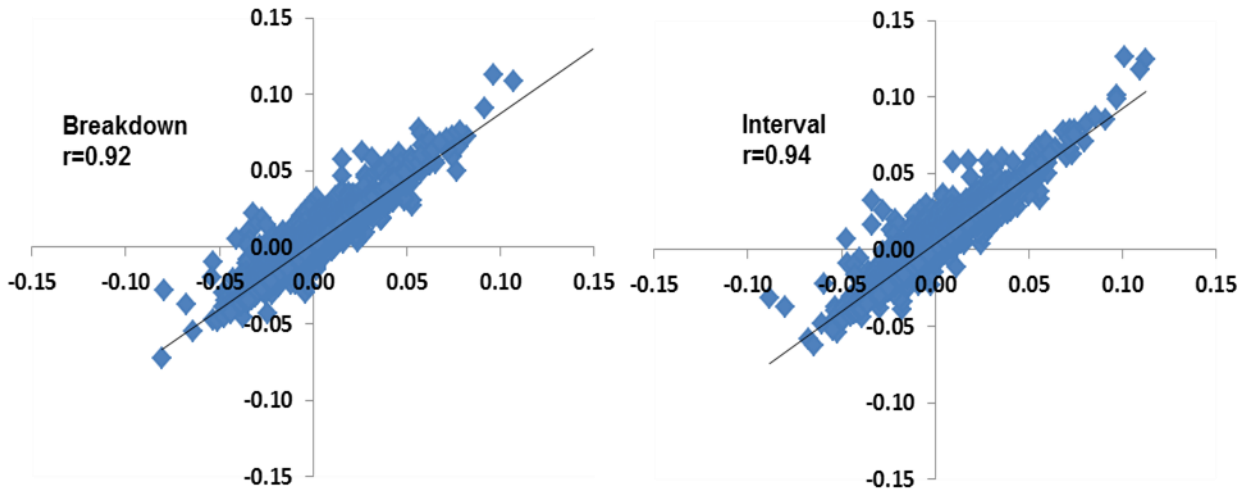


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494 **Banos Figure 4**



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