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Relative emissions intensity of dairy production systems: employing different functional units in life-cycle assessment

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1 **Relative emissions intensity of dairy production systems: employing different**
2 **functional units in life cycle assessment**

3

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14

15 Short title: Functional units in life cycle assessment of dairy

16

17 **Abstract**

18 This study aimed to assess the merit and suitability of individual functional units (FU)
19 in expressing greenhouse gas emissions intensity in different dairy production
20 systems. A FU provides a clearly defined and measurable reference to which input
21 and output data are normalised. This enables the results from life cycle assessment
22 (LCA) of different systems to be treated as functionally equivalent. Although the
23 methodological framework of LCA has been standardised, selection of an
24 appropriate FU remains ultimately at the discretion of the individual study. The aim of
25 the present analysis was to examine the effect of different FU on the emissions
26 intensities of different dairy production systems. Analysis was based on seven years
27 of data (2004-2010) from four Holstein-Friesian dairy systems at Scotland's Rural
28 College's long-term genetic and management systems project, the Langhill herd.
29 Implementation of LCA accounted for the environmental impacts of the whole-farm
30 systems and their production of milk from 'cradle to farm gate'. Emissions intensity
31 was determined as kilograms of carbon dioxide equivalents referenced to six FU:
32 United Kingdom livestock units, energy corrected milk yield, total combined milk
33 solids yield, on-farm land used for production, total combined on- and off-farm land
34 used for production, and the proposed new FU – energy corrected milk yield per
35 hectare of total land used. Energy corrected milk was the FU most effective for
36 reflecting differences between the systems. FU which incorporated a land-related
37 aspect did not find difference between systems which were managed under the
38 same forage regime, despite their comprising different genetic lines. Employing on-
39 farm land as the FU favoured grazing systems. The proposed dual FU combining
40 both productivity and land use did not differentiate between emissions intensity of
41 systems as effectively as the productivity-based units. However, this dual unit

42 displayed potential to quantify in a simple way the positive or negative outcome of
43 trade-offs between land and production efficiencies, in which improvement in
44 emissions intensity using one FU may be accompanied by deterioration using
45 another FU. The perceived environmental efficiencies of different dairy production
46 systems in terms of their emissions intensities were susceptible to change based
47 upon the FU employed, and hence the FU used in any study needs to be taken into
48 account in the interpretation of results.

49

50 **Keywords:** Life Cycle Assessment, Functional Unit, Dairy Cow, Greenhouse Gas

51

52

53 **Implications**

54 Dairy production systems are key contributors of greenhouse gas emissions.
55 Emissions intensity is estimated by life cycle assessment and is influenced by
56 different feeding and management systems and dairy cows. The perceived
57 environmental efficiency of different dairy systems can change depending on the
58 functional unit to which emissions are referenced. Results from studies comparing
59 the emissions intensity of different dairy production systems should be considered in
60 context of the functional unit used, in order to appraise them in an informed manner.

61 **Introduction**

62 Life cycle assessment (LCA) has become a leading tool employed in agriculture for
63 environmental impact and greenhouse gas (GHG) emissions accounting at the
64 whole-systems level. Favoured for its flexibility, LCA enables an account to be made
65 of all system inputs, processes and outputs within a specified boundary. In order to
66 improve transparency and consistency amongst studies, the international standard
67 ISO 14040 was established (ISO, 2006), stipulating requirements and
68 recommendations for the LCA decision-making process. Further frameworks
69 attempting to institute consistency in LCA at national and industry-specific levels
70 have been developed, such as PAS 2050 in the United Kingdom (BSI, 2011). By
71 convention, LCA results must be referenced to a functional unit (FU), providing a
72 clearly defined and measurable reference to which input and output data are
73 normalised (ISO, 2006). For the purposes of GHG measurement, the FU can either
74 be a single item of product or a generally accepted sales quantity (BSI, 2011). The
75 selection of an appropriate FU is crucial when assessing and interpreting
76 environmental impacts, in which an impact category such as GHG emissions may be
77 referenced to several different FU (Haas *et al.*, 2001).

78

79 In LCA of dairy production systems, the FU has most frequently been a unit of milk
80 (Yan *et al.*, 2011; Baldini *et al.*, 2016), the principal unit of production in dairy. Milk
81 yields have commonly been corrected to standardised levels of milk fat and protein
82 content, as milk composition commonly determines the value of raw milk to the
83 processor. This enables better comparison between farms with different breeds or
84 different feeding regimes (IDF, 2010). Other production-based FU have been
85 employed, including the combined mass of milk fat and protein as milk solids (MS),

86 or simply as uncorrected raw milk yields (Baldini *et al.*, 2016). When the LCA
87 boundary included post-farm processing of dairy products, results have been
88 referenced to a unit of consumer product, such as processed packaged milk
89 (Hospido *et al.*, 2003). Expressing emissions intensity per unit of productivity is
90 essentially a ratio of undesirable versus desirable system outputs. Land use is also
91 commonly used and satisfies a more conventional definition of efficiency, being a
92 measure of system output versus system input. As a FU, land use can include the
93 productive on-farm land required for grazing and forage production or the entire farm
94 area. Recently, an area-based FU has also incorporated off-farm land required for
95 production of purchased feeds (O'Brien *et al.*, 2012). The livestock themselves,
96 expressed as the total number of livestock units (LSU) on the farm, has also been
97 used as an FU (Haas *et al.*, 2001). Although concerted efforts have been made to
98 establish consistency in the application of LCA to dairy production systems, selection
99 of FU ultimately remains at the discretion of individual investigators.

100

101 Studies directly examining the effect of varying the FU on the results of LCA of
102 different dairy production systems are sparse. Several studies have employed
103 multiple FUs, therefore indirectly providing some assessment, albeit not necessarily
104 as the primary aim (e.g. Haas *et al.*, 2001; O'Brien *et al.*, 2012). Results of these
105 studies indicate that the perceived relative environmental efficiency of dairy
106 production systems can change based on the FU employed. The objective of our
107 study was to examine the effect of employing different FU on the estimated
108 environmental efficiency of different dairy production systems and hence the ability
109 to classify different production systems based on GHG emissions intensity.

110

111 **Materials and Methods**

112 *Dairy production systems and life cycle assessment*

113 The study was based on data from Scotland's Rural College's (SRUC) long-term
114 genetic and management systems project, the Langhill herd, located at the SRUC
115 Dairy Research Centre, Crichton Royal Farm, Dumfries, Scotland. Data used were
116 collected from January 2004 to December 2010 from four distinct systems within a
117 conventional farm. Holstein-Friesian cows were maintained in two feeding groups:
118 high forage (HF) and low forage (LF). The HF systems aimed to provide 75% by dry
119 matter of the herd's total mixed ration (TMR) diet from home-grown crops (i.e.
120 ryegrass silage, whole-crop maize, wheat alkalage) and 25% from purchased
121 concentrated feeds (e.g. distillers grains, rapeseed meal). Cows in the HF systems
122 were also put outside to graze pasture, when available. In contrast, cows in the LF
123 systems were fully housed and fed a diet of approximately 45% of the same home-
124 grown forages and 55% purchased concentrated feeds. Within each forage system,
125 animals comprised two contrasting genetic lines. Control (C) animals were bred to be
126 of average United Kingdom (UK) genetic potential for milk fat and protein production,
127 while Select (S) animals represented the top 5% of UK genetic potential. Maintaining
128 the specific characteristics of these groups in a long-term genotype × feeding regime
129 project resulted in four divergent dairy production systems: HFC, HFS, LFC and LFS.
130 Cows were milked three times daily and received equal health and fertility
131 treatments, and herd size was maintained at approximately 50 cows per system. C
132 and S cows were managed together, and groups were kept in the same building
133 when housed. All rations were formulated using the same preserved forages, and all
134 young stock were managed together (Chagunda *et al.*, 2009).

135 Annual GHG emissions were estimated using LCA, covering all stages of dairy
136 production from the extraction or acquisition of raw materials up to the point at which
137 the product milk left the farm. Briefly, high-resolution data were collected for on-farm
138 processes, including herd dynamics, electricity and fuel use, crop production,
139 fertiliser application, as well as animals' diet formulation, feed intake and productivity.
140 Impacts were assessed by applying Intergovernmental Panel on Climate Change
141 (IPCC) Tier 2 methodology (IPCC, 2006). IPCC coefficients were used to estimate
142 direct emissions, volatilization and leaching associated with application and storage
143 of fertilizer and manure, and from crop residues (IPCC, 2006). UK emissions factors
144 were used for production and transport of fertilisers, concentrated feeds and animal
145 bedding (Carbon Trust, 2010), and for electricity and fuel use (DEFRA, 2011).
146 System-specific Tier 3 emissions factors were estimated for enteric methane,
147 manure methane and excreted nitrogen (Ross *et al.*, 2014). Life cycle emissions
148 were allocated between two system outputs - the products milk and meat - using
149 mass allocation. Emissions intensity was defined as the estimated global warming
150 potential (GWP) of each system in kg of carbon dioxide equivalents (kg CO₂e) per
151 FU. Additional in-depth details of the LCA performed are described in Ross *et al.*
152 (2014).

153

154 *Functional units*

155 The estimated annual GWP of dairy production systems was referenced to five FU
156 employed in previous LCA studies of dairy production systems and to one further FU
157 which incorporated a measure of both the productivity and land use of the systems
158 (Table 1).

159

160 *Livestock units.* At system level, the LCA included not only milking cows but also all
161 other age classes of livestock. Employing LSU enabled the entire herd to be included
162 in the FU, applying a conversion factor for the relative ages and persistence of young
163 and replacement animals. These were defined for calves aged 0-12 months, heifers
164 12-24 months and heifers over 24 months as 0.34, 0.65 and 0.80, respectively
165 (DEFRA, 2010). Milking cows in different systems were corrected for average
166 bodyweight and milk yield (ADAS, 1983), with values of 1.48, 1.64, 1.26 and 1.37 for
167 LFC, LFS, HFC and HFS, respectively. All male calves were sold after weaning, and
168 there were no bulls on the farm. Total annual populations of livestock were multiplied
169 by their respective coefficients and summed to give an average population in LSU for
170 each system.

171

172 *Energy corrected milk.* Milk yields amongst the production systems varied in energy
173 content, which is defined by fat and protein contents (Table 2), potentially leading to
174 differences in calculations if only the mass or volume of milk was considered. We
175 used the equation of Sjaunja *et al.* (1990), commonly employed in LCA, to calculate
176 energy corrected milk (ECM) with 35.0 g/kg milk fat and 32.0 g/kg protein, as follows:
177 $ECM (kg) = 0.25M + 12.2F + 7.7P$, where M = annual milk yield (kg), F = milk fat
178 content (kg), P = protein content (kg).

179

180 *Milk solids.* Data on milk yield were recorded for individual cows after each milking
181 session. Milk fat and protein contents were recorded from samples collected from
182 each cow, three times daily on one day each week. An estimate was thus made for
183 the total mass of milk fat and protein yielded by each cow per calendar year.

184 Individual cow data were combined to give the total annual output of MS for each
185 system.

186

187 *On-farm land-use.* On-farm land-use to provide forage crops (ryegrass silage, whole-
188 crop wheat, maize) to each system was estimated based on the forage requirements
189 of the system. Quantities of ensiled forages used were weighed during formulation of
190 the daily TMR, and daily feed intake of cows was recorded using automated Hoko
191 feeding gates (Insentec BV, Marknesse, NL). Forage crop requirements of each
192 system were then related to harvested forage yields and estimated annual forage
193 land requirements. Dry matter losses were considered during harvesting, ensiling
194 and unloading of forages (Bastiman and Altman, 1985; MacDonald *et al.*, 1991).
195 Land required by HF systems for pasture was similarly estimated based upon the
196 predicted grazing dry matter intake (DMI) of cows and the available herbage per ha
197 (Table 3). Applying the estimates from Bell *et al.* (2010), DMI of HF cows grazing
198 pasture was 19.2 kg/day for C cows and 20.8 kg/day for S cows.

199

200 *Off-farm land-use.* Off-farm land associated with the external production of
201 purchased feed components and bedding was estimated using the method of Bell *et*
202 *al.* (2011) (Table 4). Firstly, total annual purchased feed required was estimated from
203 recorded Hoko data and from TMR formulations, breaking the purchased fraction of
204 the diets down into component ingredients. Land-use values for domestically
205 produced purchased feed components (wheat, rapeseed, barley) were estimated
206 using national data on crop yields (Craig & Logan, 2012; Scottish Government,
207 2012). Land-use for the internationally imported soya bean meal was sourced from
208 the LCA food database (Nielsen *et al.*, 2007). Finally, allocations between co-

209 products of purchased feed components were made using mass-based factors from
210 Cederberg and Mattsson (2000).

211

212 *Dual functional unit.* In this study we introduce a new FU including both the
213 productivity and land use of systems. The estimated annual GWP of the dairy
214 production system was divided by the total annual ECM yield, and this quotient
215 further divided by the total on- and off-farm land use of the system for each year of
216 the study. This dual FU thus incorporated the ratio of undesirable output (GHG) to
217 desirable output (milk) per unit input (area of land) and therefore adhered to a more
218 standardised output/input measure of efficiency.

219

220 *Statistical analysis*

221 Two statistical procedures were employed in the analysis. The effect of employing
222 different FU upon the estimated environmental efficiency of dairy production systems
223 was assessed using analysis of variance (ANOVA) employing the following
224 generalised linear model (GLM), $y_{ij} = \mu + S_i + Y_i + \varepsilon_{ij}$
225 where y_i was the total GWP of the dairy production system per FU (LSU, ECM, MS,
226 $Land_{farm}$, $Land_{total}$, $ECM/Land_{total}$), μ was the overall mean, S_i was the fixed effect of
227 dairy production system (LFC, LFS, HFC, HFS), Y_i was the random effect of
228 calendar year (2004-2010), and ε_{ij} was the random error term. Fisher tests were
229 used to assess the level of significance of contributing effects, and differences
230 between dairy production systems were determined by conducting pairwise
231 comparisons using the Tukey method.

232

233 The ability of different FU to classify different production systems' relative
234 environmental efficiency was assessed using rank analysis. Using year as a
235 repeated measure, systems were assigned a rank value from 1 to 4 in order of their
236 relative emissions intensity, with rank 1 having the lowest GWP per FU and thus
237 most efficient system. Rank analysis was performed using the Kruskal-Wallis test, a
238 non-parametric equivalent to ANOVA. Significant differences between any two
239 systems were assessed using the Mann-Whitney-Wilcoxon rank sum test. The rank
240 analysis was repeated when referencing the GWP of systems to each of the six FU
241 employed in this study. All statistical analyses were conducted using Minitab 16.

242

243 **Results**

244 *Emissions intensity*

245 The effect of the dairy production system on the overall GWP per FU was
246 significantly different ($P < 0.001$) for each of the six FU. ECM was the only FU for
247 which the GWPs of all four systems were significantly different from each other
248 ($P < 0.001$) (Table 5). LFS had the lowest GWP per kg ECM, followed by LFC, HFS
249 and HFC. Using MS as the FU, LFS again had the lowest GWP and HFC the
250 highest. There was no significant difference between LFC and HFS per kg of MS.
251 Using LSU as the FU, LFC was the most efficient, although not significantly different
252 from LFS. LSU was the only FU which did not find a significant difference between
253 LFS and HFC. Using $Land_{farm}$ as the FU, GWP per ha of both HF systems was lower
254 than those of both LF systems. Conversely, including off-farm land use ($Land_{total}$),
255 both LF systems had lower GWP per ha than both HF systems. Using the dual FU,
256 which incorporated both productivity and land use, both LF systems were more

257 efficient than both HF systems. However, none of the three FU which incorporated
258 land use found a significant difference between HFC and HFS or between LFC and
259 LFS. Overall, LFS was the most efficient system for four of the six FU (ECM, MS,
260 Land_{total}, ECM/Land_{total}).

261

262 *Rank analysis*

263 For each of the six FU, median rankings of environmental efficiency of dairy
264 production systems were significantly different ($P<0.05$) (Table 6). The median
265 rankings broadly reflected the relative order of system efficiency observed from
266 ANOVA results, with two differences. Using Land_{total} as the FU, HFS was the lowest
267 ranked system and significantly different from the 3rd ranked HFC. Furthermore, the
268 two most efficient systems when using the dual FU, LFS and LFC, were significantly
269 different from each other according to the Mann-Whitney-Wilcoxon rank sum test,
270 with LFS having the lower GWP. However, when using LSU or Land_{farm} as the FU,
271 LFS was ranked 4th.

272

273 **Discussion**

274 LSU are commonly used in the dairy industry to compare stocking densities and
275 nutritional requirements of animals; however, LSU have been infrequently used
276 when interpreting outputs of LCA studies. When using LSU as the FU in this study,
277 the LF systems had the lowest emissions intensity. When Haas *et al.* (2001)
278 referenced emissions to LSU (defined as 500 kg of cow body weight), extensive
279 grazing-based systems had lower emissions than intensive systems. This trend was
280 not reflected in our results. Given the higher gross emissions of the LF regime (Ross
281 *et al.*, 2014) and that systems had similar numbers of milking cows, the difference

282 observed was likely due to greater animal performance under LF. The UK LSU is
283 based on a standard of 48,000 MJ of metabolisable energy, defined as “the feed
284 energy allowance of a 625 kg Friesian cow and the production of a 40 kg calf, and
285 4,500 litres of milk at 3.6% butterfat and 8.6% solids-not-fat” (ADAS, 1983; DEFRA,
286 2010). Adjusting the number of LSU in each system based on corrections for
287 liveweight and productivity stipulated by ADAS (1983), differences in observed cow
288 performance amongst systems were embedded in the FU. From rank analysis, the
289 emissions intensity of LFC was lower than that of the higher yielding LFS system.
290 However, S genetic line animals had a higher feed and metabolisable energy intake
291 and greater milk yield than those of the C line. This led to the S line having higher
292 enteric methane emissions per cow and higher gross emissions associated with both
293 forage and purchased feeds. Unlike using ECM as the FU for emissions intensity,
294 the higher gross emissions associated with the S line were not sufficiently offset by
295 the higher productivity when using LSU.

296

297 Milk yield corrected for milk fat and protein content is the most commonly applied FU
298 in LCA studies of dairy production. Employing ECM incorporated the effect of
299 disparity in milk production amongst systems when examining the GWP. This was
300 also the only FU to find the emissions intensity of all four Langhill systems to be
301 significantly different from each other. In a recent study in Ireland, O’Brien *et al.*
302 (2012) found that a confinement system had higher emissions intensity than a
303 grazing system per kg ECM. Our results disagree with these findings, but there were
304 several differences in farm-management practices and methods between the
305 studies. The Irish systems had substantially lower milk yield than the Langhill
306 systems, and a further key difference was that 70-80% of concentrated feed

307 components of the Irish diets were internationally imported. The latter included maize
308 from the USA and rapeseed meal and molasses from Germany, whereas in the
309 Langhill systems, forage crops were grown on-farm, and the purchased components
310 of the TMR were largely sourced from the same country. It is important to recognize
311 that results of studies, in particular those of HF and LF systems in our study, depend
312 on site-specific conditions, such as the purchase of certain concentrated feeds or the
313 ability to grow certain crops locally. Langhill rations sourced a high percentage of
314 their concentrated feeds from by-product grain from distilling and brewing industries,
315 which has a considerably lower GHG emissions intensity than palm oil or soya bean
316 meal imported from South America (Carbon Trust, 2010). Countries or regions differ
317 considerably in their management preferences, climatic conditions, soil types, and
318 availability or feasibility of crops. Brockman and Wilkins (2003) described variation
319 amongst grass species in growth patterns, nutritional content, response to nitrogen
320 and climate, and most importantly, yields. In turn, these factors influence the range
321 of animal breeds and management systems available to dairy farmers, as well as the
322 environmental impacts associated with them. This point highlights the importance of
323 examining site-specific methods and farm-management practices when comparing
324 LCA studies which use the same FU.

325

326 MS are another unit commonly used in the dairy industry, for example to compare
327 the biological or production efficiencies of cows, but seldom used in LCA. This is
328 likely because most dairy LCA studies draw their boundaries at the farm gate, at
329 which the principal product is liquid milk. Exceptions to this have occurred in studies
330 from countries where output of alternative dairy products such as dried milk, whey
331 powder and butter exceeds that of liquid milk, such as New Zealand and Ireland.

332 This again is pertinent to the examination of site-specific practices. For studies
333 interested in the life cycle of dairy-derived products such as yoghurt or cheese,
334 referencing the GWP to MS may also be more appropriate. When using units which
335 included dairy production (ECM and MS), results followed a similar trend, with LFS
336 having the lowest emissions intensity and HFC the highest. However, unlike using
337 ECM, there was no difference observed in GWP between LFC and HFS when using
338 MS. This was likely due to a confounding effect introduced by the interaction of
339 forage regime and genetic line in the production systems. Both fat and protein
340 contents of milk were higher in HF systems, while milk yields were higher in the LF
341 systems. This was not unexpected, as cows on a LF regime have historically been
342 subject to milk-fat depression (Bauman and Griinari, 2001). Both milk yield and MS
343 were higher with the S genetic line, consistent with its genetic potential. Thus while
344 the LFC system yielded more raw milk containing fewer MS, the HFS produced less
345 milk containing more MS. Although calculating ECM corrected for differences
346 amongst systems' milk fat and protein contents, the impact of this confounding effect
347 upon GWP was more pronounced when MS was the FU. This emphasises the
348 importance of considering not only the GWP results, but also what information the
349 FU may contain or tell about the dairy production systems examined.

350

351 Using land use as the FU satisfies the conventional definition of efficiency as a
352 measure of system output to system input. Further, land area conforms to the ISO
353 14040 stipulation that the FU be a clearly defined and measurable reference to
354 which input and output data are normalised (ISO, 2006). For studies which intend to
355 inform national GHG inventory reporting, it is also necessary to choose a FU coupled
356 with land for area-based processes (IPCC, 2006). In agriculture, this may include

357 emissions associated with applied fertilisers and crop production and residues, but it
358 is not a requirement for animal emissions such as enteric CH₄. The LCA process
359 may include within its boundary emissions sources beyond those required for
360 national inventory reporting; thus, an area-based unit is not a specific requirement of
361 LCA. LCA studies have variously referenced GWP to on-farm pasture, combined on-
362 farm pasture and forage-crop land, or to total on- and off-farm land, including that
363 required to produce imported concentrated feeds and bedding (Haas *et al.*, 2001;
364 van der Werf *et al.*, 2009; O'Brien *et al.*, 2012). In our study, on-farm land was the
365 only FU for which both HF systems were more efficient than both LF systems. High
366 overall GWP combined with lower on-farm land use due to the absence of grazing
367 meant that LF systems had higher GWP per ha of on-farm land. In HF systems,
368 higher on-farm land use resulted in lower GWP per ha. From an LCA point of view,
369 including only on-farm area is not appropriate for examining results since arable land
370 used to produce purchased feed, which could be located worldwide, will also be
371 responsible for environmental impacts in the life cycle of milk production (Yan *et al.*,
372 2011). Thus, the GWP reported for conventional dairy production systems are often
373 inexorably linked to the emissions intensities of crop production abroad.

374 As noted earlier, a FU serves as a measurable reference to which input and output
375 data are normalised. In the same way that milk yields can be adjusted according to
376 their fat and protein contents to ensure functional equivalence, should productive
377 areas of land be adjusted in LCA to normalise their productivities across different
378 locations? In doing this, GWP per ha would no longer reflect differences in crop
379 yields, but only differences in downstream system specific factors such as animal
380 genetics, the formulation of rations, and other farm-management factors. Noting very
381 different areas of land used to produce equivalent quantities of grain, Audsley *et al.*

382 (1997) equated land areas of different systems by assuming that the difference in
383 land used was managed as set-aside land (Audsley *et al.*, 1997). Wackernagel and
384 Rees (1996) introduced the notion of a 'global hectare' with their concept of the
385 Ecological Footprint. To equate land around the world, they quantified demand on
386 biological resources by expressing all components of an impact as an equivalent
387 area of land and sea with world average productivity. Yield and equivalence factors
388 were used to convert actual physical areas from local ha to global ha. Scaled down
389 to the level of agricultural systems, yield factors, obtained by dividing the local yield
390 of a biological product by its global average yield (Wiedmann and Lenzen, 2007),
391 can account for differences in productivity of a given crop and land type amongst
392 countries.

393

394 Increasing efficiency of livestock production by improving genetic potential has been
395 identified as a promising approach for reducing global emissions from livestock
396 systems (Steinfeld *et al.*, 2006). In the Langhill systems, S animals were bred to be
397 in the top 5% of UK genetic potential for milk fat and protein contents. Previous
398 studies have noted lower emissions intensity of S systems for both enteric methane
399 (Chagunda *et al.*, 2009) and overall GWP per unit ECM (Ross *et al.*, 2014). When
400 using an area-based FU, however, results did not differ between C and S genetic
401 lines managed under the same forage regime. S animals consume more feed than C
402 animals and consequently require more land and crops to produce their feed. Thus,
403 as milk production, emissions and land use increase, using an area-based FU does
404 not reflect the specific improvements made by the Langhill selection criteria. This is
405 not so much a problem of the FU as it is reflection of what the FU reveals about the
406 systems. The total land FU is a valid measure of agricultural or emissions intensity,

407 and, in the event of no significant difference between systems under study, one
408 could look to production or other criteria to evaluate systems.

409 Some LCA studies of dairy production have used a FU unique to the study, such as
410 “1000 euros of gross farm income” (van der Werf *et al.*, 2009). As the selection of a
411 FU often remains at the discretion of researchers, they have the opportunity to
412 innovate new and diverse FU to provide a balanced or new perspective. These FU
413 could incorporate a socio-economic aspect such as the monetary unit employed by
414 van der Werf *et al.* (2009). The use of either ECM or MS as the FU, rather than raw
415 milk yields, enables a study to account not just for milk quantity but quality, which in
416 turn can translate into milk price. Considering the observed differences in emissions
417 intensity between HF and LF systems, a farm-management decision to implement a
418 given system, made for economic reasons, inexorably influences the system’s
419 environmental impacts. Feed costs can account for around 80% of total variable
420 costs of milk production (Shalloo *et al.*, 2004) and around 35% of the environmental
421 impacts of the Langhill systems in this study (Ross *et al.*, 2014). In future, LCA
422 should perhaps consider a FU which can reflect economic functions of a system,
423 such as “GWP per 1000 euros of gross farm income” (van der Werf *et al.*, 2009) in
424 addition to the production or land use. Alternatively, a FU could reflect competition
425 for resources (e.g., per kg of non-human-edible feed) or reflect efficiency (e.g., per
426 MJ of potential energy of system inputs such as fuel, feed). However, the perceived
427 performance of any production system as a function of efficiency may have to do
428 with the different ways in which efficiency is understood. For example, efficiency in
429 an animal production system is commonly defined by its feed conversion ratio. This
430 also raises the question of whether FU selection should be tailored to the intention of
431 a study or use a widely employed, standard FU. Further, a FU in LCA should be

432 relevant to reference a wide range of impact categories. Haas *et al.* (2001) stated
433 that environmental impacts at a regional or local level, such as nitrate or phosphate
434 pollution of a lake, have a strong area-related aspect and must be minimised
435 irrespective of production levels that farmers can achieve. Given the multi-
436 functionality of agricultural systems, LCA results should be evaluated using both
437 production and land-based FU to provide a balanced assessment.

438 The new FU developed in our study aimed to account for both the productivity and
439 the total on- and off-farm land use of a system. Although LFS had significantly lower
440 GWP per kg ECM, when using $ECM/Land_{total}$ as the FU, there was no significant
441 difference between the emissions intensity of LFC and LFS. Indeed, much like the
442 two FU based on land use, there was no significant difference between systems
443 under the same forage regime. Given the range of milk yields in the Langhill
444 systems, it was perhaps surprising that using the dual FU was able to differentiate
445 only between the emissions intensities of feeding regimes but not those of genetic
446 lines. Despite incorporating milk yield, the using this FU did not reflect the difference
447 amongst systems' GWP that the existing FU incorporating productivity were able to
448 determine. However, when analysing the systems' relative efficiency rankings in our
449 study, the dual FU did find LFS to have significantly lower GWP than LFC.

450 It has been noted in the literature that there is a lack of significant correlation
451 between GWP per unit product and GWP per unit land (Casey and Holden 2005).
452 Yan *et al.* (2011) defined how this correlation could be achieved with an equation
453 incorporating the milk yield per cow, the stocking rate and ratio of on-farm to off-farm
454 land use. We propose that using the dual FU can measure the outcome of trade-
455 offs, for example an improvement in emissions intensity using ECM as the FU being
456 accompanied by a deterioration when using $Land_{total}$, when assessing conversion

457 from one production system to another. In the present study, a decrease in GWP per
458 the dual FU would be observed in either a win-win scenario, in which both measures
459 improved, or a trade-off with positive outcome, in which GWP per the dual FU would
460 decrease despite an increase in GWP per ha, for example. Thus the remaining
461 scenarios, trade-off with negative outcome and lose-lose, would be reflected by an
462 increase in GWP per the dual FU. In the context of the present study, a conversion
463 from HFC or HFS to either LFC or LFS represent win-win scenarios, with a decrease
464 in GWP per ECM, per Land_{total} and the dual FU. A conversion from HFC to HFS
465 represents a positive outcome, with a decrease in GWP per dual FU despite an
466 increase in GWP per Land_{total}. Godfray *et al.* (2010) stated that there is a pressing
467 need in modern global agriculture for 'sustainable intensification', in which yields are
468 increased without adverse environmental impact and without cultivating more land.
469 To comply with this definition, it would be advantageous for LCA of dairy production
470 systems to use a FU which could account for environmental impacts relative to yield
471 and land use simultaneously. In our study, the dual FU yielded results broadly
472 consistent with those using ECM or total land-use as the FU, and helps to assess the
473 likelihood of a positive trade-off between GHG emissions and efficiencies of
474 production and land use. After investigating a similar concept of trade-offs between
475 GWP per ha and ECM per ha, the study of Hayashi *et al.* (2013) recommended that
476 both expressions should be used complementarily. It is advisable that, for an
477 appropriately balanced assessment, LCA should consider the underlying reasons
478 behind trade-offs, and thus also evaluate emissions intensity per both land-oriented
479 and product-oriented FU individually.

480

481 **Conclusions**

482 The relative emissions intensity of different dairy production systems sometimes
483 changed based upon the FU employed. Energy corrected milk was the FU most
484 effective for reflecting differences between the systems. FUs which incorporated a
485 land-related aspect found no difference between systems which were managed
486 under the same forage regime, despite their comprising different genetic lines with
487 considerably different productivity.

488 Results from LCA studies comparing dairy production systems should be considered
489 in the context of the FU used, in order to appraise them in an informed manner. ECM
490 yields should remain the primary FU for comparing impacts of dairy production
491 systems, however, both a land-use-based FU and the dual FU should be used in
492 addition, in order to evaluate trade-offs and present a balanced assessment.

493

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495

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500

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597

598 **Tables**

599

600 **Table 1.** Functional units employed in the life cycle assessment

Functional Unit	Abbreviation	Unit
United Kingdom livestock unit	LSU	n
Energy corrected milk yield	ECM	kg
Total combined milk solids yield	MS	kg
On-farm land used for production	Land _{farm}	ha
Combined on- and off-farm land used for production	Land _{total}	ha
Energy corrected milk per unit of total land used	ECM/Land _{total}	t/ha

603

604 **Table 2.** Average milk composition and yield of Langhill dairy production systems

605 (mean \pm s.d.)

Characteristic	Production System ¹			
	LFC	LFS	HFC	HFS
Milk fat (g/kg)	35.4 \pm 0.18	37.8 \pm 0.18	38.2 \pm 0.18	40.0 \pm 0.19
Milk protein (g/kg)	31.5 \pm 0.09	33.7 \pm 0.09	32.1 \pm 0.09	33.5 \pm 0.01
Annual milk yield	9246 \pm 800	10753 \pm 583	7281 \pm 533	8189 \pm 656

¹ LFC = Low Forage Control, LFS = Low Forage Select, HFC = High Forage Control, and HFS = High Forage Select

606

607

608 **Table 3.** Crop yields and on-farm land use by Langhill systems (mean \pm s.d.)

	Grass silage	Maize silage	Wheat	Pasture
Crop yield (t DM/ha)	10.3 \pm 1.5	11.9 \pm 2.1	11.6 \pm 2.3	10.3 \pm 1.5
Land use by system ¹ (ha)				
LFC	18.1 \pm 4.0	4.0 \pm 0.8	3.2 \pm 0.7	
LFS	18.0 \pm 4.2	4.2 \pm 0.7	3.4 \pm 0.9	
HFC	18.2 \pm 4.1	4.1 \pm 0.9	3.3 \pm 0.7	11.9 \pm 2.8
HFS	18.2 \pm 4.2	4.2 \pm 0.8	3.4 \pm 0.8	12.2 \pm 3.0

¹ LFC = Low Forage Control, LFS = Low Forage Select, HFC = High Forage Control, and LFS = High Forage Select. DM = Dry Matter.

609

610

611 **Table 4.** Breakdown of components in purchased feed blends and estimated land

612 use

	Barley	Wheat	Sugar beet pulp	Soya bean meal	Rapeseed meal	Complete blend
Whole crop yield (t DM/ha)	5.9	7.0	10.0	3.0	3.9	
Allocation (%) ¹	100	100	22	80	60	
Percentage in blend (%)						
Low Forage		47	28	25		
High Forage	33.3	33.3			33.3	
Land use (m ² /kg)						
Low Forage		0.64	0.06	0.75		1.44
High Forage	0.43	0.43			0.70	1.55

¹ Percentage of environmental impact attributed to production of each component of feed blend by mass allocation (Cederberg and Mattsson, 2000).

DM = dry matter.

613

614

615 **Table 5.** Emissions intensity of Langhill dairy production systems expressed as
 616 kilograms of carbon dioxide equivalents (kgCO₂e) per functional unit

Functional Unit ²	Production System ¹				SEM	P-value
	LFC	LFS	HFC	HFS		
LSU (n)	4126 ^a	4398 ^{ab}	4535 ^{bc}	4807 ^c	126.3	***
ECM (kg)	0.92 ^a	0.83 ^b	1.10 ^c	1.00 ^d	0.016	***
MS (kg)	12.9 ^a	11.4 ^b	15.2 ^c	13.7 ^a	0.23	***
Land _{farm} (ha)	16006 ^a	15971 ^a	11506 ^b	11704 ^b	252.5	***
Land _{total} (ha)	6287 ^a	6304 ^a	8041 ^b	7467 ^b	236.2	***
ECM/Land _{total} (t/ha)	14.9 ^a	13.4 ^a	21.4 ^b	20.5 ^b	0.82	***

Values within a row with different superscripts differ significantly at $P < 0.001$

¹LFC = Low Forage Control, LFS = Low Forage Select, HFC = High Forage Control, and HFS = High Forage Select

²Units = livestock units (LSU), total energy corrected milk yield (ECM), total milk solids (MS), on-farm land use (Land_{farm}), total land use (Land_{total}), and milk yield per unit total land use (ECM/Land_{total})

617

618

619 **Table 6.** Median rankings denoting the relative emissions intensities of Langhill dairy

620 production systems

Functional Unit ²	Production System ¹				P-value
	LFC	LFS	HFC	HFS	
LSU (n)	1 ^a	2 ^b	3 ^b	4 ^c	*
ECM (kg)	2 ^a	1 ^b	4 ^c	3 ^d	*
MS (kg)	2 ^a	1 ^b	4 ^c	3 ^a	*
Land _{farm} (ha)	3 ^a	4 ^a	1 ^b	2 ^b	*
Land _{total} (ha)	1 ^a	2 ^a	3 ^b	4 ^c	*
ECM/Land _{total} (t/ha)	2 ^a	1 ^b	4 ^c	3 ^c	*

Values within a row with different superscripts differ significantly at $P < 0.05$.

¹LFC = Low Forage Control, LFS = Low Forage Select, HFC = High Forage Control, and LFS = High Forage Select

²Units = livestock units (LSU), energy corrected milk yield (ECM), total milk solids (MS), on-farm land use (Land_{farm}), total land use (Land_{total}), and milk yield per unit total land use (ECM/Land_{total})

621