

Scotland's Rural College

Application of Free Choice Profiling to assess the emotional state of dogs housed in shelter environments

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26 expressive demeanour that a dog could show in shelter conditions, where animals are exposed to a
27 number of social and environmental stimuli. Thus, our aim was to apply QBA to a wider variety of
28 shelter environments and social contexts than has been done so far, giving the animals the opportunity
29 to express a wider repertoire of emotions and allowing for a more comprehensive assessment of dogs'
30 affective state. A set of descriptive terms was generated using Free-Choice-Profiling methodology by
31 a group of 13 observers. QBA was made by scoring 16 video clips of shelter dogs in very different
32 contexts (e.g. single/pair/group housing, presence/absence of human activity). Generalised Procrustes
33 Analysis showed a high consensus between observers' scoring patterns (75.7%; $p < 0.001$), and
34 generated three main consensus dimensions explaining overall 66.6% of the variation between clips.
35 The terms generated by the observers describing these consensus dimensions were semantically
36 consistent, and characterised dogs as ranging: 1) from "playful/sociable/curious" to
37 "bored/uncomfortable/apathetic", 2) from "relaxed/tranquil" to "nervous/alert/fearful" and 3) from
38 "stressed/anxious" to "wary/timorous/hesitant". Overall, these broad dimensions are similar to those
39 described in previous QBA studies on dogs. However, we detected differences in the type or
40 frequency of the terms used, especially concerning three semantic spheres (i.e. "sociability",
41 "fearfulness" and "boredom"). It appears that, compared to what has been reported previously, by
42 presenting more complex contexts and thus giving the animals the opportunity to express different
43 behaviours, we generated a richer list of terms representing a wider repertoire of emotions. Our results
44 support the notion that QBA can be immediately sensitive to an animal's circumstances, integrating
45 the ways in which animals experience the conditions in which they live into meaningful emotional
46 indicators. This also highlights the importance of developing QBA tools that are species- and context-
47 specific, especially for applied purposes.

48

49 *Keywords:* Shelter dogs, Emotions, Qualitative Behavioural Assessment, Free Choice Profiling,

50 Generalised Procrustes Analysis, Welfare

51

52 1. INTRODUCTION

53 Rescue shelters for abandoned and stray dogs are a reality for thousands of dogs around the world.
54 Conditions of confinement, especially over long periods of time, may have a severe impact on the
55 quality of life of shelter dogs (Hewson et al., 2007). Several factors have proven to affect dogs' quality
56 of life (Kiddie and Collins, 2014 and 2015) such as the length of time in shelter (Wells et al., 2002),
57 the housing environment (Taylor and Mills, 2007; Wells, 2004) and the human-animal interaction
58 (Coppola et al., 2006; Normando et al., 2009). There is increasing interest by the scientific community
59 to provide easy-to-apply and reliable tools to assess the welfare and coping ability of shelter dogs in a
60 confined environment (Barnard et al., 2016; Haverbeke et al., 2015). Previous studies have described
61 physiological and behavioural parameters as useful to assess shelter dogs' welfare (Dalla Villa et al.,
62 2013; Hennessy, 2013; Hiby et al., 2007; Rooney et al., 2007; Titulaer et al., 2013; Tyson, 2005). In
63 particular, behavioural parameters give important information on the animal welfare state, being easily
64 observable and quantifiable in a non-invasive manner (Dawkins, 2004).

65 It is now widely accepted that animal welfare is based not only on a good health status but also on
66 good mental state (Broom, 2011). To have good welfare, domesticated animals should experience
67 more positive (e.g. pleasure, happiness) than negative (e.g. fear, pain) emotions (Boissy et al., 2007).
68 The emotional state has a great role in influencing animals' behaviour, communication, social bonding
69 (Rolls, 2000) and cognitive functioning (Paul et al., 2005). Hence, an increased interest is shown in
70 studying emotions in animals (Mendl and Paul, 2004) and, of relevance for this study, in dogs (Konok
71 et al., 2015), with attention to assessing positive over negative emotions (Burghardt, 2005;
72 Wemelsfelder et al., 2001; Zupan et al., 2016).

73 Previous studies indicate that dogs are good subjects for investigating how animals' emotions are
74 perceived and described by humans. Two interesting studies, one by Morris and collaborators (2008)
75 and the other by Konok and colleagues (2015), for example, suggest that humans regard emotional
76 expression as something that can be shared between humans and dogs. Using a questionnaire, owners
77 were asked which emotions they thought humans could recognise in their dogs choosing from a set of
78 both primary and secondary emotions (Morris et al 2008). In both studies, owners thought that people
79 could recognise most of the listed emotions in dogs (72%), with fear, joy, jealousy, sadness and

80 curiosity being those reported by the majority of people (>90% of the owners, Konok et al. 2015).

81 Tami and Gallagher (2009) asked a group of observers to classify the behaviour of a focal dog shown

82 in different video clips by scoring a pre-fixed list of adjectives on a 6-point rating scale. Videos

83 portrayed pet dogs during their first social interaction with a specifically trained dog. Results indicated

84 that both experienced and inexperienced human observers agreed in interpreting most of dogs'

85 emotional expressive behaviour through the use of adjectives, supporting the notion of a shared

86 spontaneous human tendency to interpret animal behaviour in a holistic manner (Wemelsfelder, 1997).

87 Other studies have applied qualitative behaviour measurements based on pre-fixed descriptor lists for

88 the assessment of acute and chronic pain in dogs. Holton et al. (2001), for example, developed a

89 composite scale for assessing acute pain in dogs in a hospital setting on the basis of observations of

90 their behaviour. Veterinary surgeons were asked to generate terms for describing behaviour

91 expressions of animals, and finally the generated words and expressions were reduced and allocated

92 into behaviour categories. Wiseman-Orr et al. (2004, 2006) developed and validated a structured

93 questionnaire to measure the effects of chronic pain on health-related quality of life in dogs. Relevant

94 domains were identified through semi structured interviews to dog's owners.

95 To formally address the use and validity of qualitative behaviour assessments as a measure of animal

96 emotion, particularly to address concerns about anthropomorphism, Wemelsfelder et al. (2000, 2001)

97 developed Qualitative Behavioural Assessment (QBA). QBA focuses on observation of the whole

98 animal and characterises and quantifies the animal's dynamic demeanour as an expressive body

99 language, using descriptors such as 'sociable', 'fearful' or 'nervous' (Wemelsfelder et al., 2000, 2001).

100 In a growing number of studies QBA has been reported as generally reliable, and, cross-validated

101 against quantitative behavioural and physiological measures, also as a valid measure of animals'

102 emotional state (for recent reviews, see Wemelsfelder and Mullan, 2014, and Fleming et al., 2016). It

103 has been successfully applied to a range of different species (Grosso et al., 2016; Minero et al., 2009,

104 2015; Napolitano et al., 2012; Stockman et al., 2011; Walker et al., 2010; Wemelsfelder et al., 2001;

105 Wickham et al., 2012), and has been described as a method suitable to assess an animal's affective

106 state quickly, reliably and non-invasively (Minero et al. 2015), also under on-farm conditions

107 (Phythian et al., 2016). The descriptive terms used in QBA can be generated by a methodology known

108 as Free-Choice Profiling (FCP) (Wemelsfelder et al. 2000 and 2001). Walker and colleagues (2010)
109 used the FCP method to assess the emotional state of a group of working dogs (all Beagles) in a
110 standardised context i.e. a passive experimenter was sitting at the centre of an arena with the dog free
111 to explore or interact with the human for a few minutes. More recently, Walker and colleagues (2016)
112 assessed shelter-housed dogs and found significant and meaningful correlations between QBA
113 dimensions and quantitative behavioural measures, demonstrating that QBA is a valid measure of
114 dogs' expressions. When comparing the results of these two latter studies, the authors found a good
115 overlap between the dimensions extracted by applying the FCP method in the two different contexts
116 (Walker et al., 2016). However, in both studies dogs were recorded while housed in the absence of
117 conspecifics, and in standardised pens in just one or two locations per study.

118 From this brief overview of past research, it emerges that dogs' emotions have been studied mainly by
119 asking the owners to describe the emotions of their dogs, or by assessing working or shelter dogs in
120 standardised experimental settings. In the European legal framework, as well as many other countries
121 around the world, there is a lack in setting housing system requirements for shelter dogs. This
122 generates a large variability of infrastructures, management procedures and husbandry standards
123 (Barnard et al., 2016). So, the question rises whether the emotional dimensions developed so far are
124 representative of the large range of behavioural expressions that a dog could show in confined
125 conditions, including social interaction with conspecifics, reaction to familiar and unfamiliar people
126 and/or to environmental stimuli. QBA could potentially be applied for daily monitoring of dog mental
127 state in shelter environments (Walker et al., 2016) but, because of its context-specific nature, it could
128 be that more fit-for-purpose behavioural dimensions need to be created to fully represent the range of
129 emotions potentially expressed by dogs in rescue shelters.

130 In light of these considerations, the aim of this study was to gain a broader understanding of dogs'
131 expressive demeanour by assessing them in a wider variety of shelter environments and social
132 contexts, (outdoor/indoor pen, single/pair/group housing, presence/absence of human activity etc.)
133 than was done in previous studies.

134

135 **2. MATERIALS AND METHODS**

136 **2.1 Animals and video recording**

137 A convenience sample of four Italian shelters was selected to prepare the video-material for the
138 project. The shelters were distributed along the north-south axis of the country: one in Northern Italy
139 (Emilia-Romagna Region), two in the Centre (Abruzzi Region) and one in the South (Apulia Region).
140 Shelters had different types of management: one was managed by the municipality, another was
141 private and two were managed by charities. Eight pens per shelter were randomly selected among
142 those hosting long-term confined animals (> 6 months). All the dogs present in the pens were video-
143 recorded for 5 minutes with a mobile phone (Samsung GT-I9100P) mounted on a tripod positioned a
144 few meters away. Each pen was randomly assigned to one of three groups: no stimulus, unknown
145 person or familiar person. The social stimulus was introduced to elicit a range of expressions
146 commonly shown by dogs in this environment. The unknown person could be one of three researchers
147 (two females and one male) while the familiar person was a shelter operator. Unfamiliar people were
148 asked to approach and stand in front of the fence ignoring the dog (30 seconds) and subsequently to
149 crouch and talk gently (30 seconds). Shelter operators were asked to enter the pen and interact with the
150 dogs (60 seconds).

151 All video-material was later analysed by the first author and 16 video-clips (four per shelter) were
152 selected and prepared in such a way that they represented the widest possible variety and range (i.e.
153 positive to negative) of expressive behavioural qualities in shelter-housed dogs. The video-clips were
154 cut to a length of about 1.5 minutes (using the free video editor Avidemux 2.6.8) during which a focal
155 dog visible at all time was selected. The final clips included a range of different housing environments
156 and social stimuli. Namely, three clips showed pens with a single dog, six clips showed pens housing
157 two dogs and seven clips showed pens containing more than three dogs. Overall, six clips showed
158 dogs in absence of any person, eight reacting to the presence of an unknown person and two of a
159 shelter operator. A large variety of dogs' morphology, size, age and sex was also represented.

160

161 **2.2 Observers**

162 Thirteen observers, four males and nine females, were recruited. The majority of them were students
163 in their final year (fifth year) at the Veterinary Medicine faculty of Teramo (Italy), while five of them
164 had graduated three in Veterinary Medicine, one in Natural Science and one in Animal Welfare and
165 Protection. All observers were familiar with dogs but had different levels of experience with shelter
166 dogs. None of the observers had previous experience with FCP or with QBA methodologies.

167

168 **2.3 Free Choice Profiling**

169 The FCP procedure consisted of two sessions, carried out on the same day (with a two-hour break in
170 between) and with the same group of observers.

171

172 **2.3.1 Session one**

173 Before starting with the first observation session, approximately 1 hour was dedicated to introducing
174 the observers to the aim of the study and to the operative procedures. This phase was very important
175 for standardisation purposes (Aviezer et al., 2008; Barrett et al., 2010; Clarke et al. 2016). Observers
176 were told that the experiment had the aim of investigating the reliability of a methodology for
177 assessing the behavioural expression of shelter dogs. Behavioural expression was defined as the
178 animal's style of interaction with the environment, co-specifics and humans (i.e. how the animal
179 behaves as opposed to what it does). They were told to focus their attention on one animal, indicated
180 by the moderator, in each video, and to characterise its dynamic demeanour as an expressive body
181 language using qualitative descriptors generated by them.

182 Observers were asked to avoid talking about the exercise during the two sessions.

183 After the introduction, all observers watched the 16 clips projected onto a lecture hall screen. After
184 each 1.5 minute clip, observers had 2 minutes to describe the behavioural expression of the dog by
185 writing down terms of their own choice which they considered as the best descriptors for the observed
186 animal. To maximise the outcomes of this exercise, the students wrote the terms in their own language
187 (i.e. Italian). For the purpose of this publication, all terms were translated to English, checking on
188 multiple dictionaries the accuracy of the definitions. To check the accuracy of the English terms, a
189 translator not involved in the project translated these back to Italian.

190 No limits in the number of terms to be generated were imposed and observers were free to re-use
191 terms for different dogs. Subsequently, the observers were asked to create a unique list containing all
192 the terms they had used, deleting repetitions as well as the negative form of terms given both in its
193 positive and negative form (e.g. unhappy and happy). Furthermore, the observers were asked to leave
194 out terms that described more what a dog was physically doing rather than its expression (e.g.
195 scratching).

196

197 **2.3.2 Session two**

198 Each observer was provided with scoring sheets (one for each video clip) on which Visual Analogue
199 Scales (VAS) of 125 mm of length were printed. They were asked to place each term of their own list
200 next to a VAS, and to repeat this on each of the 16 forms. Then, the observers were instructed on how
201 to use the VAS to score their list of terms for each video. The left end of the scale corresponded to the
202 minimum score (0 mm), meaning that the expressive quality indicated by the term was entirely absent
203 in that dog, whereas the right end represented the maximum score (125 mm), meaning that the
204 expressive quality indicated by the term was fully expressed in that dog. Observers were told to score
205 each clip on every term in their list, as much as possible using the whole range of the VAS.

206 Observers then watched the same 16 clips as in session one, but shown in a different order. After each
207 clip, they had approximately 2 min to score the animals' expressions on the rating scale, by drawing a
208 vertical line across the VAS at the point they felt was appropriate.

209

210 **2.4 Method of analysis**

211 A score was assigned to each term for each clip, measuring with a ruler the distance in millimetres
212 between the minimum point of the VAS and the point where the observer marked the line. These
213 scores were entered into data matrices, one for each observer, with each matrix defined by the number
214 of terms used by a particular observer and the number of video clips assessed. An observer's terms
215 were specified in the first row, and the 16 video clips in the first column, with scores for each clip on
216 each term filling the resulting data matrix.

217 The concordance between the 13 observer matrices was investigated using Generalized Procrustes
218 Analysis (GPA), a multivariate statistical technique that is associated with FCP because it does not
219 depend on the use of fixed variables (Gower, 1975; Oreskovich et al., 1991). GPA can be thought of as
220 a pattern matching mechanism, assuming that even if observers use different variables (terms) for
221 measurement, the distances between measured units (dogs) will be comparable because these units are
222 the same. As a first step, GPA represents each individual observer data matrix as a multidimensional
223 configuration, in which the number of dimensions correspond to the number of terms used by that
224 observer, and in which the position of the 16 dogs is defined by their VAS scores. Equi-dimensionality
225 across data matrices is achieved by adding columns of zeros to individual matrices to match the matrix
226 with the largest number of terms. The observer configurations thus obtained are then matched to each
227 other through a complex iterative process of translation, rotation, reflection and scaling. The final
228 output of this process is the ‘consensus profile’, reflecting a ‘best-fit’ between individual observer
229 scoring patterns (i.e. the average matrix of individual transformed data matrices once no improvement
230 in minimizing inter-configurational distances can be gained by further transformation). The percentage
231 of the total variance between observer configurations explained by this consensus profile, i.e. the
232 degree of inter-observer agreement, is quantified by the so-called Procrustes Statistic (see
233 Wemelsfelder et al. (2000) for a more detailed explanation of these GPA computation steps).

234 The significance of this consensus profile can be evaluated using a randomization test. Original
235 observer data matrices were analysed in randomized form 100 times, and mean and standard deviation
236 of the ensuing 100 PS values were calculated to reflect a random association between matrices for
237 each study. A 1-tailed Student-t-test ($n = 100$, $df = 99$) was then used to determine whether the
238 consensus PS differed significantly from this mean randomized PS. A probability of $p < 0.001$ was
239 taken to indicate that the consensus profile was a meaningful feature of the data set and not a statistical
240 artefact. The use of Principle Coordinate Analysis (PCO) enables visual projection of the distance
241 between each of the transformed observer configurations and the final consensus profile into an
242 ‘Observer Plot’. Using robust methods (i.e. not influenced by outliers), PCO estimates the centre of
243 distribution of observers (and its standard deviation) and draws a 95% confidence region. Observers

244 lying outside this region are potentially outliers, and possible reasons for their greater distance from
245 the consensus can be considered.

246 As a second step, Principal Component Analysis (PCA) was applied to reduce the number of
247 dimensions of the GPA consensus profile, in order to identify the main dimensions of expression
248 explaining the majority of variation between dogs. Each dog was attributed a score on each of these
249 dimensions, and two-dimensional “Dog-Plots” were generated showing the distribution of the 16 dogs
250 along various combinations of the main dimensions, with a standard error ellipse depicting the
251 reliability of each dog’s position in these frameworks.

252 In a third interpretative step, the coordinates of the consensus profile were correlated with the
253 coordinates of each of the 13 original individual observer data matrices, creating two-dimensional
254 interpretative ‘Word-Charts’ for each observer. On each Word-Chart, all terms generated by an
255 observer were correlated with the principle dimensions of the consensus profile, and the more strongly
256 a term was correlated with a dimension, the more that term could be considered a representative
257 descriptor of that dimension. The two terms showing the highest positive and negative correlations for
258 each principle dimension in each observer word chart were selected and pooled together to create a
259 table of high-loading terms for each consensus dimension. A final step of interpretation for the
260 experimenter was then to summarize this collective information by selecting two or three
261 representative terms as labels for both ends of each of the main consensus dimensions.

262

263 **3. RESULTS**

264 **3.1 Consensus profile**

265 The Procrustes statistic of the consensus (75.7%) was significantly higher than the mean Procrustes
266 statistic of 100 randomised profiles (60.1%; $p < 0.001$), indicating significant agreement between
267 observers in assessing the behavioural expressions of the shelter dogs. The good consensus between
268 the observers is also reflected in the observer plot, where the majority of individual observers are
269 enclosed within the 95% confidence interval (Figure 1). Although 3 observers (#8, 12 and 13) seemed

270 somewhat distant from the majority, they shared no immediately obvious characteristic (e.g. gender,
271 academic degree or experience with sheltered dogs).

272 The GPA extracted three main dimensions of the consensus profile, each explaining 32.9%, 24.5% and
273 9.2% of the variation between animals respectively, giving a total of 66.6% of the variance between
274 dogs explained. These dimensions represented the axes of the observers' word charts (Fig. 2a, b) and
275 of the dog plots (Fig. 3a, b).

276

277 **3.2 Observer word charts**

278 The word charts (see Figure 2a, b as example) reflect how well each of the observer's term correlates
279 with the consensus dimensions. Dimension 1, for example, is characterised by the term 'playful',
280 which was used by nine out of 13 observers. Other terms used frequently to describe dimension 1 were
281 'sociable', 'affectionate', 'curious' and 'happy' on the positive end, and 'bored', 'wary', 'apathetic',
282 'uncomfortable', 'anxious' and 'stressed' on the negative end (Table 1). All terms loading on one
283 group are not necessarily synonyms, but they reflect a coherent characterisation of an aspect of the
284 dogs' behavioural expression. This means, for example, that a playful dog is likely to also be sociable,
285 curious, active etc. On this basis, dimension 1 was labelled 'playful/sociable/curious to
286 bored/uncomfortable/apathetic'. By applying the same approach, dimension 2 was characterised by the
287 terms 'relaxed/tranquil to nervous/alert/fearful' and dimension 3 by 'stressed/bored/anxious to
288 wary/timorous/hesitant'.

289 To allow a comparison of the dimensions created in our study with those of Walker and colleagues
290 (2010, 2016), we reported the dimensions' labels in Table 2.

291

292 **3.3 Dog plots**

293 The dog plots show how individual dogs are distributed on the three main dimensions (Figure 3a, b).
294 Dogs are distributed evenly over the plots, indicating that the selected dimensions are characterising
295 well the observed variances in behavioural expression. In addition, the position of the dogs seems
296 reliable, since the standard error ellipse (as reflected by the dotted circle in the bottom right hand

297 corner) is small. By assigning a semantic valence to the dimensions, it was possible to characterise
298 individual differences in the behavioural expression of the dogs. For example, in Figure 3a dog 10 can
299 be characterised as playful, and dog 3 as relaxed, while in Figure 3b dog 7 appears as nervous and
300 wary, and dog 8 as nervous and stressed.

301

302 **4. DISCUSSION**

303 With this work, we successfully applied Qualitative Behaviour Assessment (QBA), using the Free
304 Choice Profiling (FCP) method, to study the behavioural expression of shelter dogs. We found
305 meaningful dimensions describing the dogs' emotional state and a good inter-observer agreement,
306 confirming previous works in this field (Walker et al., 2010 and 2016).

307 Most of the studies that applied the FCP to other species extracted two main dimensions (Fleming et
308 al., 2013; Minero et al., 2009; Napolitano et al., 2008 and 2012; Rousing and Wemelsfelder, 2006;
309 Rutherford, 2012; Wemelsfelder et al., 2001 and 2009). Exceptions can be found whenever the
310 assessment involved environmental challenges, e.g. road transportation, which may elicit a wider
311 expression of behaviours; in such cases the dimensions extracted can be three (Stockman et al., 2011;
312 Wickham et al., 2012). Our analysis identified three main emotional dimensions. Similar results were
313 found by Walker and colleagues in both the 2010 work, when observing customs dogs in a
314 standardised setting and in the 2016 work, when observing shelter dogs while housed in either their
315 Home Environment (HE) or in a standardised Novel Environment (NE). Possible reasons for detecting
316 three dimensions in dogs could be their large expressive repertoire when showing their emotional state
317 as compared to other studied species, and/or the thousands-year old cohabitation and domestication
318 that created unique human-dog social-communicative skills (Hare and Tomasello, 2005) that
319 perhaps enhanced the ability of humans to interpret dogs' behaviours and emotions (Konok et al.
320 2015). The three dimensions extracted in our study represented a total variance of 66.6% between
321 dogs, which is smaller compared to both the Walker et al. (2010) study, where the three dimensions
322 explained 80.9% of the total variance and the Walker et al. (2016) where dimensions explained 85.4%
323 and 75.9% of the variation for the HE and in the NE respectively. The lower level of standardisation of
324 our video-clips (i.e. higher "background noise") that portrayed 16 dogs in very different environmental

325 conditions and during different types of interactions with humans and co-specifics (adding a level of
326 complexity to the term generation task), could have played a role in this.

327 Overall, the dimensions identified by our study contained descriptors such as fear, curiosity, anxiety
328 and happiness that in human psychology are recognised as “primary emotions” (Ekman, 1992; Izard,
329 1992; Plutchik, 2001). According to previous studies, humans are more willing to attribute primary
330 rather than secondary emotions to dogs (Morris et al., 2008, Konok et al. 2015). Other terms extracted
331 from our study, such as playful, alert and sociable, were found in a number of previous works,
332 showing a consistency in the descriptors used to assess dogs’ behaviour traits (Strandberg et al., 2005;
333 Svartberg and Forkman, 2002; Valsecchi et al. 2011).

334 The three dimensions of dogs’ behavioural expression described in this study are similar to those
335 described in previous QBA studies on dogs (Walker et al., 2010 and 2016). However, the perception
336 of emotions is influenced by context (Careau et al., 2010; De Palma et al., 2005) and when we
337 compared the terms generated in the three studies we detected differences in the type or frequency of
338 term use especially for those pertaining to the semantic spheres of ‘sociability’, ‘fearfulness’ and
339 ‘boredom’.

340 In more detail, our results showed a preponderance of terms associated with ‘sociability’, especially on
341 the positive end of dimension 1, whereas in the other two studies (Walker et al., 2010 and 2016) this
342 aspect was either absent or fairly unrepresented. This result could be expected as in our study the
343 majority of dogs were integrated in social groups, while in both Walker’s studies dogs were observed
344 individually. Furthermore, in our study both familiar and unfamiliar people were asked to interact with
345 dogs while no dog-human interactions were included in Walker et al., 2016 and a passive researcher
346 was present in Walker et al., 2010.

347 Another affective state that differed across studies was the one related to ‘fear’. This emotion is one of
348 the most recognised by people observing dogs (Tami and Gallagher, 2009, Konok et al 2015). In our
349 study, we found a strong component of fear, described by terms such as fearful, timorous, scared,
350 phobic, frightened, hesitant and shy. This could be related to either the presence of unfamiliar people
351 interacting with the dogs or to a general state of fearfulness created by the shelter environment itself
352 which can be challenging for some dogs failing to cope with it (Tod et al., 2005). In Walker et al.’s

353 papers this emotion is mainly represented by the term 'unsure'. Interestingly this term emerges in both
354 novel situations, i.e. when the dogs are taken to a test arena with a passive person and when they are
355 housed in a novel environment (NE), but is barely represented when dogs are in their home
356 environment (HE) (Walker et al 2016). Again, this result may not be surprising, as in both of Walker
357 et al. papers, dogs were not presented with situations designed to elicit a fearful response. Fearfulness,
358 as well as sociability, are the most studied dimensions in pets (Gartner et al., 2015). The ability to
359 assess these emotions in shelter dogs is extremely important as they are directly linked to animal
360 welfare and adoption success (Tuber et al., 1999). It has also been reported that some emotional traits
361 shown by dogs in the shelter may be predictors of behavioural problems after adoption. For example,
362 fearfulness is the most common behavioural problem exhibited by dogs coming from rescue shelters
363 and may be a cause for the dog being returned (Wells and Hepper, 2000).

364 Finally, the semantic sphere of 'boredom' is differently represented among the three studies. In our
365 study, this emotional state is represented by different descriptors such as bored, apathetic, depressed
366 and indifferent, some of which were also used in Walker et al. (2016) when describing dogs in the HE
367 (i.e. long and short-term shelter dogs and pet dogs recorded when alone). In Walker et al. (2010) and
368 in the NE setting in Walker et al. (2016), however, this emotion is not described. Here, in both
369 situations, dogs were placed in a novel environment for a short amount of time, which made the
370 emergence of such emotions unlikely. Shelter housing can be hypo-stimulating for dogs, leading over
371 time to learned helplessness, and high level of inactivity and a depression-like state. Hence, a
372 comprehensive welfare tool should detect such affective state when assessing shelter dogs' well-being.

373 Such differences, detected when comparing our study to Walker and colleagues' studies (2010, 2016),
374 were probably generated by the wide variety of environmental and social conditions in which dogs
375 were presented. This highlights the importance of developing QBA tools that are species- and context-
376 specific (Grosso et al., 2016) but also that are fit for purpose, especially when this tool is used in
377 applied studies. In our case, for example, the observers extracted terms associated with sociability,
378 fearfulness and boredom, which are important elements to be assessed during the monitoring of dogs
379 in kennel environments.

380 A QBA tool specifically created for shelter dogs could add complementary and relevant information to
381 existing on-shelter welfare assessment protocols, extending their power to identify and detect
382 emotional shifts in dogs across the positive and negative emotional spectrum. To investigate the
383 practical efficacy of QBA, the next step will be to apply it to real life scenarios of dog welfare
384 management in kennels, by training staff and inviting them to include QBA in their daily routines..
385 This would also open the possibility to explore whether, in the longer term, different housing or
386 management systems have significant effects on dog emotional expression.

387

388 **5. CONCLUSION**

389 In conclusion, the current study found that when dogs were shown to observers in a range of
390 environmental and social conditions, QBA was able to generate meaningful dimensions of dog
391 behavioural expression reflecting the variation of affects experienced by the dogs in these different
392 circumstances. Three dimensions were extracted: QBA dimension 1: 'playful/sociable/curious-
393 bored/uncomfortable/apathetic', QBA dimension 2: 'relaxed/tranquil-nervous/alert/fearful', and QBA
394 dimension 3: 'stressed/bored/anxious-wary/timorous/hesitant'. These broad dimensions were similar
395 to those found by Walker et al (2010, 2016) showing an overall consistency of dog behavioural
396 expression independent from the observers or dogs assessed. However, we also detected that some
397 emotional states were represented differently across the three studies. Where the experimental
398 conditions of the current study differed from those used in Walker's studies, QBA terms generated by
399 the observers also differed in sensible ways. This supports the notion that QBA can be immediately
400 sensitive to an animal's circumstances and it integrates the many (subtle) ways in which animals
401 engage with their environment into meaningful emotional indicators. Applying a FCP technique, we
402 generated richer expressive dimensions than in Walker et al.'s studies by presenting more complex
403 contexts and giving the animals more opportunities to express a wider repertoire of emotions. These
404 outcomes, combined with previous FCP research on dogs, could serve as the basis for designing a
405 standardised and comprehensive list of QBA terms for the assessment of dogs' emotional state. The
406 application of such a QBA tool in assessing dog welfare should be validated against known and trusted
407 dog welfare indicators, and, if successful, can be integrated into comprehensive welfare assessment

408 tools for shelter dogs that combine qualitative and quantitative measures (Barnard et al., 2016; Walker
409 et al., 2016).

410

411 **6. CONFLICT OF INTEREST**

412 All authors of the manuscript “Application of Free Choice Profiling to assess the emotional state of
413 dogs housed in shelter environments” declare no actual or potential conflict of interest including
414 financial, personal or other relationships with other, or be perceived to influence, their work.

415

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420

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