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1 **A novel astrovirus associated with encephalitis and ganglionitis in domestic sheep**

2 **Running head:** Encephalitis in Domestic Sheep caused by Astrovirus

3 **Authors:** Florian Pfaff¹, Kore Schlottau¹, Sandra Scholes², Ann Courtenay³, Bernd
4 Hoffmann¹, Dirk Höper¹ and Martin Beer^{1*}

5

6 **Affiliations:**

7 ¹Friedrich-Loeffler-Institut, 17493 Greifswald – Insel Riems, Germany

8 ²SAC Consulting Veterinary Services, Penicuik EH26 0SA, Scotland

9 ³University of Liverpool, Neston CH64 7TE, UK

10 *Corresponding Author

11

12 **Address for correspondence:**

13 Martin Beer, Friedrich-Loeffler-Institut, Suedufer 10, 17493 Greifswald – Insel Riems,
14 Germany; martin.beer@fli.de; +49 38351 7-1200

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20 **Summary**

21 In June 2013, a four-year-old Welsh Mountain ewe and in March 2014 a ten-day-old lamb of
22 the same breed and the same flock presented progressive neurological signs including
23 depressed sensorium, tremor, and unusual behaviour. Neuropathological examination of the
24 brain and spinal cord detected non-suppurative polioencephalomyelitis and dorsal root
25 ganglionitis, characteristic of a neurotropic viral agent in both sheep. Metagenomic analysis
26 of different tissue samples from both animals identified a novel *Ovine Astrovirus* (OvAstV).
27 Presence of viral genome in the central nervous system was confirmed by RT-qPCR.
28 Although the cases presented nine months apart, the identified OvAstV shared nearly
29 identical sequences, differing in only three nucleotide positions across the complete genome.
30 Phylogenetic analysis revealed a close relation of OvAstV to neurotropic bovine astroviruses
31 and an enteric OvAstV. In conclusion, these are the first reported cases of astrovirus infection
32 in domestic sheep that were associated with encephalitis and ganglionitis.

33

34 **Introduction**

35 Members of the family *Astroviridae* are frequently associated with cases of diarrhoea and
36 gastroenteritis in young individuals and have been described for a multitude of mammals
37 (genus *Mamastrovirus*) and birds (genus *Avastrovirus*). The name astrovirus derives from the
38 star-like appearance of their icosahedral virions, demonstrated by electron microscopy
39 (Madeley and Cosgrove 1975). Typical virions are about 28–30 nm diameter in size, non-
40 enveloped, and contain a single genome molecule, consisting of single-stranded RNA with
41 positive polarity (Monroe 2012), and a size of about 6.4–7.7 kb. The RNA molecule is
42 flanked by untranslated regions (UTR) and contains a poly-A tail at the 3' terminus, but no 5'
43 cap. Three open reading frames (ORF) are arranged along the astrovirus genome (ORF1a,
44 ORF1b, and ORF2), coding for at least three polyproteins. Alternatively, ORF1a and ORF1b
45 could form a single ORF, called ORF1ab, utilizing ribosomal frameshifting (Marczinke et al.
46 1994). During infection, the polyproteins are proteolytically processed into intermediates and
47 several final products, including the RNA-dependent RNA polymerase, a protease, at least
48 three capsid proteins (Méndez et al. 2003) and a genome-linked protein VPg (Fuentes et al.
49 2012). The virus is transmitted orofaecally and no vector or natural reservoir has been
50 described. As mentioned above, human astroviruses are a major cause of acute gastroenteritis
51 in infants, young children, immunocompromised patients, and the elderly (Glass et al. 1996).

52 Shortly after the description of the first human astrovirus, a viral agent of similar size and
53 shape was detected in faeces during an outbreak of diarrhoea in lambs (Snodgrass and Gray
54 1977). The advent of modern molecular diagnostics led to the discovery of novel astroviruses
55 in several domestic animals including cattle, pigs, and poultry, as well as in wild aquatic and
56 terrestrial animals (De Benedictis et al. 2011). Despite being mainly associated with enteric
57 diseases, there are reported observations of extra-intestinal localization of astroviruses,
58 causing hepatitis in ducks (Gough et al. 1984) and nephritis in chicken (Imada et al. 1979)
59 (initially attributed to picornavirus). The first recognized case of fatal encephalitis caused by a
60 human astrovirus affected a 15-year-old boy suffering from X-linked agammaglobulinemia
61 (Quan et al. 2010) and since then, astroviruses have been increasingly recognized as human
62 neurotropic pathogens, mainly in immunocompromised patients (Brown et al. 2015; Frémond
63 et al. 2015; Naccache et al. 2015; Lum et al. 2016). Astrovirus encephalitides have also been
64 reported in cattle (Li et al. 2013; Bouzalas et al. 2014; Bouzalas et al. 2016; Schlottau et al.
65 2016; Seuberlich et al. 2016) and minks (Blomström et al. 2010). In a representative study,
66 reviewing 1570 cases of human encephalitis, aetiological agents were identified in only 16%
67 (Glaser et al. 2006). This is a remarkably low rate, since the diagnostic efforts in human
68 medicine are relatively high in comparison to veterinary health. Therefore, the likelihood of
69 neurological disorders in domestic animals being unexplained could be even higher. In these
70 cases, high-throughput sequencing in combination with metagenomic analysis provides a
71 powerful and unbiased survey of nucleic acid sequences present in a sample.

72

73 **Material and Methods**

74 **Case Reports**

75 In June 2013, an at least four-year-old Welsh Mountain ewe, brought off a mountain in North
76 Wales (United Kingdom) for shearing was noticed standing apart from the other animals in
77 the flock and trembling. The disability became worse with stimulation and she collapsed in
78 lateral recumbency. She was depressed but aware and did not appear to be blind. However,
79 the centre of the left cornea was cloudy and there was a swelling of periocular skin. The
80 animal was somewhat thin, although small internal fat stores were present, and wool over the
81 back and flanks was loose. The ewe was euthanised two days after clinical signs were noticed.

82 In March 2014, a ten-day-old Welsh Mountain lamb in the same flock showed unusual
83 behavior, including circling and nibbling at its front legs or at the ground, as well as
84 trembling. When stressed, it showed whole body tremor. Treatment with oxytetracycline over
85 four days resulted in no improvement and the lamb was euthanised ten days after the onset of
86 clinical signs. It was noted, during restraint of each animal for blood sampling and
87 intravenous injection, that turning of the head to one side was resisted.

88

89 **High-throughput sequencing**

90 RNA was extracted from an organ pool containing brain, spinal cord, and spleen material, for
91 each animal individually, using the Covaris cryoPREP (Covaris, Brighton, United Kingdom)
92 in combination with Trizol LS reagent (Life Technologies, Darmstadt, Germany) and RNeasy
93 columns (Qiagen, Hilden, Germany). A cDNA synthesis system kit (Roche, Mannheim,
94 Germany) together with random hexamer primers (Roche, Mannheim, Germany) was used to
95 generate double stranded cDNA. Libraries were prepared with the GeneRead DNA Library L
96 Core Kit (Qiagen, Hilden, Germany) and sequenced with the IonTorrent PGM according to
97 the manufacturer's instructions.

98

99 **Metagenomics and sequence assembly**

100 Raw reads of both libraries were subsequently analyzed with the metagenomic pipeline
101 RIEMS (Scheuch et al. 2015). RIEMS classified several reads of both samples as related to
102 the family *Astroviridae*. A de novo assembly of these sequence reads, followed by iterative
103 mapping and assembly using the 454 Sequencing Systems Software Suite (version 3.0;
104 Roche), resulted in the complete genome sequences of two novel astroviruses, provisionally
105 classified as OvAstV. Strains were designated according to sampling place, year, host, and
106 laboratory number as UK/2013/ewe/lib01454 and UK/2014/lamb/lib01455. Genomic termini
107 were confirmed by 5'- and 3'-RACE, as described elsewhere (Schlottau et al. 2016).

108

109 **Accession numbers**

110 The complete genome sequences for OvAstV UK/2013/ewe/lib01454 and
111 UK/2014/lamb/lib01455 are public available under the accessions LT706531 and LT706530,
112 respectively.

113

114 **RT-qPCR**

115 A *Bovine astrovirus* (BoAstV) BH89/14 specific RT-qPCR system (Schlottau et al. 2016) was
116 used to quantify the viral load in a panel of organ tissues of both animals. To this end, an
117 artificial positive control was designed and ordered as a synthetic oligomer (Biomers, Ulm,
118 Germany), encompassing a T7 promotor, the PCR amplicon with a NotI region and a binding
119 site for a LacZ probe. The artificial positive control was in-vitro transcribed with the
120 RiboMAX Large Scale RNA Production System (Promega, Mannheim, Germany). A DNase I
121 digestion was performed on column during purification of the in-vitro transcript with the
122 RNeasy Mini Kit (Qiagen, Hilden, Germany). The resulting RNA concentration was
123 measured and the number of RNA molecules calculated. A \log_{10} dilution series (1×10^8 to
124 1×10^{-1} genome equivalent copies per μl) was prepared and used to absolutely quantify the
125 viral loads in the different organs.

126

127 **Phylogenetic Analysis**

128 Whole genome sequences of 45 representative astrovirus reference strains, together with the
129 two newly sequenced OvAstV were selected for phylogenetic analysis. The references were
130 either listed in the NCBI Reference Sequence Database (RefSeq) or linked to cases of
131 encephalitis with substantial metadata. The BoAstV CH13/NeuroS1 cluster (Bouzas et al.
132 2016) was represented by the prototypic strains BoAstV CH13 and NeuroS1. All sequences
133 were aligned using MAFFT [version 7.017; Katoh et al. (2002)] and refined with MUSCLE
134 (Edgar 2004). A maximum-likelihood tree for the resulting alignment was calculated in IQ-
135 TREE [version 1.3.13; Nguyen et al. (2015)] utilizing the optimal substitution model
136 (GTR+G4+I) and 100,000 ultra-fast bootstrap (Minh et al. 2013) replicates. The analysis was
137 repeated with the ORF2 gene region of the respective astroviruses and an additional partially
138 sequenced OvAstV (OAstV-2/Hungary/2009; 2,474 nt; JN592482), that was found in fecal
139 samples from healthy domestic sheep (Reuter et al. 2012).

140

141 **Results and Discussion**

142 The significant necropsy finding in both sheep was moderate to severe non-suppurative
143 polioencephalomyelitis particularly involving the cerebellar cortex and spinal cord in the ewe,
144 and more extensively in the lamb, together with non suppurative dorsal root ganglionitis. The
145 histological features in both animals were characteristic of neurotropic viral infections.
146 Louping ill, a tick-borne encephalitis caused by *Louping ill virus*, a member of the family
147 *Flaviviridae* occurs commonly in sheep mainly in upland areas of the British Isles. However,
148 the neuropathological results, in particular presence of dorsal root ganglionitis, and
149 immunohistochemical analyses (data not shown) of brain material argued against *Louping ill*
150 *virus* infection as the cause of the encephalitides.

151 Using a metagenomic workflow, we identified several sequences in pooled organ samples of
152 both animals, related to sequences of the family *Astroviridae*. From the available reads,
153 complete genome sequences were assembled de-novo for UK/2013/ewe/lib01454 and
154 UK/2014/lamb/lib01455, and genomic termini were confirmed by 5'- and 3'-RACE. The
155 sequences shared a nucleotide identity of 99.96%, differing in only three positions from a
156 total of 6,454 nt, causing two amino acid changes in ORF1a (A631T) and ORF2 (N554D).
157 The genomic arrangement of predicted ORF1a, ORF1b, and ORF2 and the presence of a
158 ribosomal frameshifting site for expression of ORF1ab represented the typical gene
159 composition common to all astroviruses.

160 A specific RT-qPCR system (Schlottau et al. 2016) was used to quantify the viral load in a
161 panel of organ tissues from each animal (Tab. 1). The highest OvAstV genome loads were
162 detected in regions of the central nervous system (CNS) for both animals, including the obex
163 and spinal cord. Additionally, the cerebellum of the ten-day-old lamb showed comparable
164 high viral loads and peak values were present in the cerebrum. Other organs, such as spleen,
165 ileum, and pooled intestine showed remarkably low viral loads in comparison with organs of
166 the CNS. Furthermore, low to moderate OvAstV genome loads were detected in lymphoid
167 tissue of the tonsil of both animals. Lymph nodes of the lamb were negative. The presence of
168 high levels of viral RNA in regions of the CNS provides strong evidence for OvAstV being
169 the causative agent of neurological disorders in both animals.

170 In order to determine the phylogenetic relationship of the newly sequenced astroviruses to
171 other members of the family *Astroviridae*, we compared a total of 47 full length astrovirus
172 genome sequences. The resulting phylogenetic tree (Fig. 1) separated sequences of the genera

173 *Avastrovirus* and *Mamastrovirus* into two distinct clusters. The *Mamastrovirus* cluster was
174 further divided into genogroup I, containing classical strains, and genogroup II, encompassing
175 the human-mink-ovine (HMO) strain complex (Kapoor et al. 2009) as well as the BoAstV
176 CH13/NeuroS1 strains (Bouzalas et al. 2016). Both novel OvAstV strains were grouped into
177 the *Mamastrovirus* genogroup II, forming a monophyletic group with sequences of BoAstV
178 BH89/14 (Schlottau et al. 2016), CH13 (Bouzalas et al. 2014), CH15 (Seuberlich et al. 2016),
179 and NeuroS1 (Li et al. 2013), that have previously been associated with fatal encephalitides,
180 and the only other full-length OvAstV genome (NC_002469). The phylogenetic analysis of
181 the ORF2 gene region resulted in the same genogroup assignment as based on full-length
182 sequences and the partial sequenced OvAstV OAstV-2/Hungary/2009 was placed in
183 genogroup I (Fig. S1 in the Supporting Material). Ovine astroviruses are therefore present in
184 both genogroup I and II.

185 A retrospective classification of analyzed *Mamastrovirus* strains revealed three major types of
186 associated pathogenesis: diarrhoea or gastroenteritis, asymptomatic cases, and encephalitis.
187 Remarkably, all of the described strains that have been associated with encephalitis in humans
188 and mink, as well as in cattle and sheep, including both novel OvAstV strains, are located in
189 genogroup II, indicating a similar genetic ancestry or common genetic features. Whether the
190 capacity for neuronal invasion and unusual tissue tropism of some astroviruses could be
191 reflected by phylogenetic analysis alone, is however questionable.

192 Nevertheless, the monophyletic group of BoAstV and OvAstV in genogroup II could indicate
193 cross species transmission of astroviruses between cattle and sheep. Most strains of this group
194 have been reported from cases with neurological disease and are only fairly related to enteric
195 or asymptomatic BoAstV and OvAstV, of genogroup I. It could be speculated that
196 astroviruses that cause encephalitis in cattle could originate from small ruminants in close
197 contact. Such mixed animal species rearing has been reported by Schlottau et al. (2016),
198 where 21 goats were held together with a single cow that developed fatal encephalitis.
199 Screening of the goats for astroviruses, however, was negative four months after the
200 encephalitis case. Whether the goats had already cleared the virus or an unknown reservoir
201 host was involved remained unclear.

202 The described cases of OvAstV affected a lamb as well as a mature ewe from the same flock
203 and two nearly identical astroviruses have been obtained in different years. Repeated
204 outbreaks of a single neurotropic OvAstV might indicate a yet unknown reservoir host,
205 present at the pasture or in the lambing shed. The virus could also be maintained in the flock,

206 causing mainly undiagnosed enteric signs or asymptomatic infection in healthy animals,
207 whereas only some animals develop encephalitis. Neither theory could be proved by the
208 available data and more screening of the herd and animals in close contact needs to be done.
209 Furthermore, for unresolved cases of ovine neurologic disease from which tissue samples
210 have been preserved, a retrospective diagnostic investigation should be carried out using the
211 established RT-qPCR system published by Schlottau et al. (2016) to further elucidate the role
212 of astroviruses as a cause of encephalitis in sheep. Since *Louping Ill virus* is generally known
213 to be the major cause of neurological disorders in sheep on the British Islands (Jeffries et al.
214 2014), special caution in diagnostics is needed in order to identify further cases that are
215 related to this potential emerging OvAstV. If neurotropic OvAstV is transmitted by a yet
216 unknown host or is related to cross species transmission between small ruminants and cattle,
217 there may be significant implications for livestock farming and breeding.

218

219 **Conclusion**

220 Using metagenomic analyses, we identified two nearly identical astroviruses in the brains of
221 two temporally separated cases of fatal encephalitis in domestic sheep of the same breed and
222 the same flock. Using RT-qPCR we estimated the viral load for different organs, reaching
223 peak values in the central nervous system. This is the first report of neurotropic OvAstV,
224 providing complete genome sequences along with substantial clinical metadata. Besides
225 arthropod-borne viruses, such as *Louping ill virus*, OvAstV needs to be considered in
226 differential diagnosis of encephalitis and ganglionitis in domestic sheep. Whether the close
227 phylogenetic relationship of BoAstV and OvAstV is addressable to interspecies transmission
228 between small ruminants and cattle remains an open question for further studies.

229

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234 network ‘Lyssaviruses – a potential public health risk’.

235

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327 **Figure Legends**

328 **Fig. 1 Phylogenetic relationship of representative Astrovirus strains, based on full-length**
329 **genomes.** This Maximum-likelihood tree is based on a full-length genome alignment of 45
330 reference strains together with the two newly sequenced OvAstV (highlighted in red). Three
331 genetic clades are highlighted: Avastroviruses (pink), Mamastrovirus genogroup I (blue) and
332 Mamastrovirus genogroup II (purple). GenBank accession numbers are in parentheses.
333 Colored symbols indicate certain pathology: encephalitis (red circle), diarrhoea or
334 gastroenteritis (blue square) and faecal samples from asymptomatic cases (black triangle).
335 AvAstV, avian astrovirus; BoAstV, bovine astrovirus; CaAstV, canine astrovirus; FeAstV,
336 feline astrovirus; HuAstV, human astrovirus; DcAstV, dromedary camel astrovirus; MiAstV,
337 mink astrovirus; MuAstV, murine astrovirus; OvAstV, ovine astrovirus; PoAstV, porcine
338 astrovirus; RaAstV, rabbit astrovirus; WBAstV, wild boar astrovirus.

339

340 **Supporting Information**

341 Fig. S1 Phylogenetic relationship of representative Astroviruses based on the ORF2 gene
342 region.

343

Supplementary Information for

Pfaff *et al.*: A novel astrovirus associated with encephalitis and ganglionitis in domestic sheep

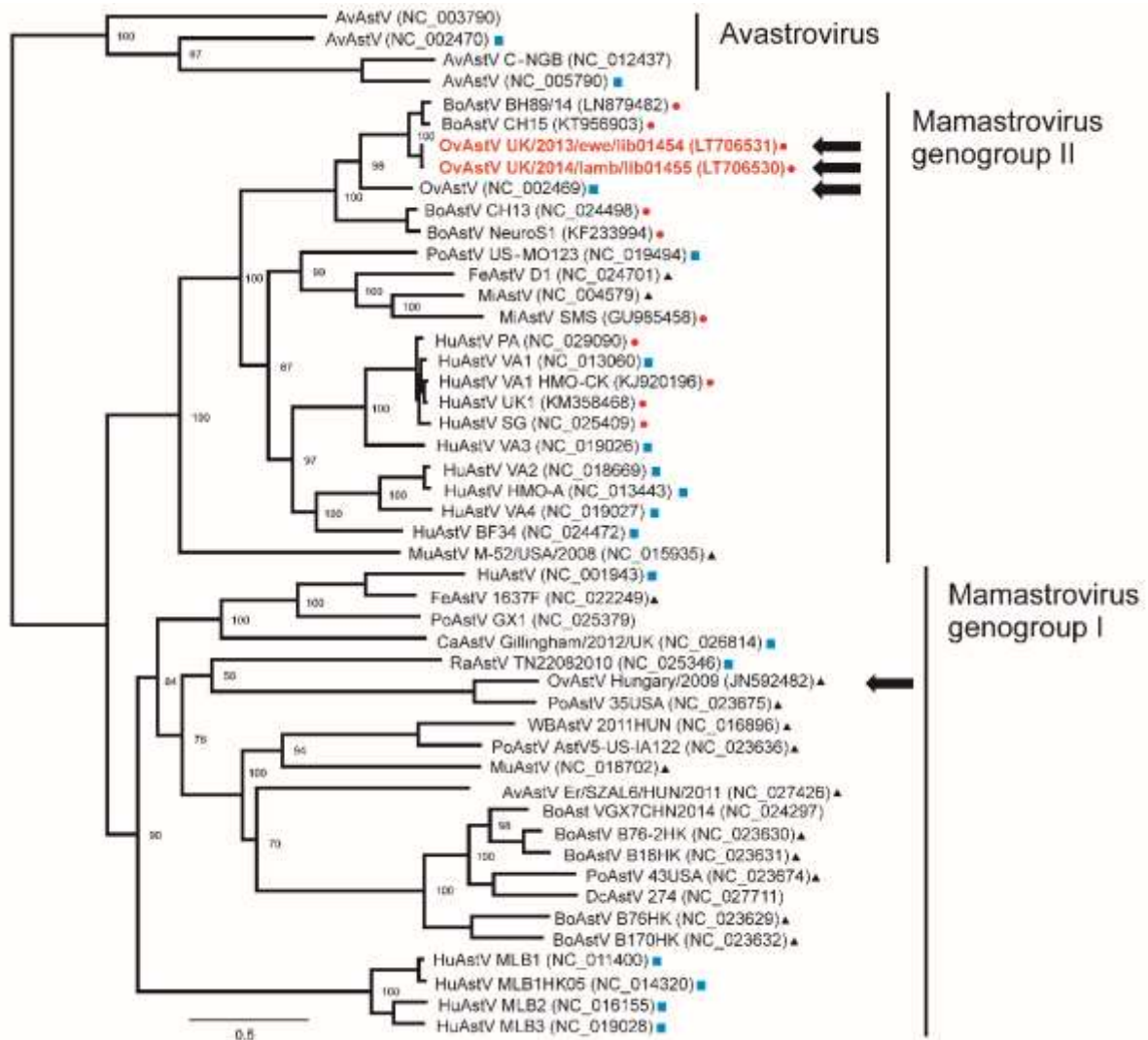


Fig. S1 Phylogenetic relationship of representative Astroviruses based on the ORF2 gene region.

This Maximum-likelihood tree is based on an alignment of the ORF2 gene region of 46 reference strains together with the two newly sequenced OvAstV (highlighted in red). Three genetic clades are indicated: Avastroviruses, Mamastrovirus genogroup I and Mamastrovirus genogroup II. GenBank accession numbers are in parentheses. Colored symbols indicate certain pathology: encephalitis (red circle), diarrhoea or

gastroenteritis (blue square) and faecal samples from asymptomatic cases (black triangle). Ovine astroviruses are (indicated with black arrows) are present in both genogroups. AvAstV, avian astrovirus; BoAstV, bovine astrovirus; CaAstV, canine astrovirus; FeAstV, feline astrovirus; HuAstV, human astrovirus; DcAstV, dromedary camel astrovirus; MiAstV, mink astrovirus; MuAstV, murine astrovirus; OvAstV, ovine astrovirus; PoAstV, porcine astrovirus; RaAstV, rabbit astrovirus; WBAstV, wild boar astrovirus.