

Scotland's Rural College

## Estimation of indirect social genetic effects for skin lesion count in group-housed pigs by quantifying behavioral interactions

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1 Running head: Social genetics effect models of skin lesions in pigs

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3 **Estimation of indirect social genetic effects for skin lesion count in group-housed pigs by**  
4 **quantifying behavioral interactions<sup>1</sup>**

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20 **ABSTRACT**

21 Mixing of pigs into new social groups commonly induces aggressive interactions that result in skin  
22 lesions on the body of the animals. The relationship between skin lesions and aggressive behavioral  
23 interactions in group-housed pigs can be analyzed within the framework of social genetic effects  
24 (SGE). This study incorporates the quantification of aggressive interactions between pairs of  
25 animals in the modeling of SGE for skin lesions in different regions of the body in growing pigs.  
26 The dataset included 792 pigs housed in 59 pens. Skin lesions in the anterior, central and caudal  
27 regions of the body were counted 24 h after pig mixing. Animals were video-recorded for 9 h post  
28 mixing and trained observers recorded the type and duration of aggressive interactions between  
29 pairs of animals. The number of seconds that pairs of pigs spent engaged in reciprocal fights and  
30 unilateral attack behaviors were used to parametrize the intensity of social interactions (ISI). Three  
31 types of models were fitted: direct genetic additive model (DGE), traditional social genetic effect  
32 model (TSGE) assuming uniform interactions between dyads, and an intensity-based social genetic  
33 effect model (ISGE) that used ISI to parameterize SGE. All models included fixed effects of sex,  
34 replicate, lesion scorer, weight at mixing, pre-mixing lesion count and the total time that the animal  
35 spent engaged in aggressive interactions (reciprocal fights and unilateral attack behaviors) as a  
36 covariate; a random effect of pen; and a random direct genetic effect. The ISGE models recovered  
37 more direct genetic variance than DGE and TSGE, and the estimated heritabilities ( $\hat{h}_D^2$ ) were  
38 highest for all traits ( $P < 0.01$ ) for the ISGE with ISI parametrized with unilateral attack behavior.  
39 The TSGE produced estimates that did not differ significantly from DGE ( $P > 0.5$ ). Incorporating  
40 the ISI into ISGE, even in a small dataset, allowed separate estimation of the genetic parameters  
41 for direct and SGE, as well as the genetic correlation between direct and SGE ( $\hat{r}_{ds}$ ), which was  
42 positive for all lesion traits. The estimates from ISGE suggest that if behavioral observations are

43 available, selection incorporating SGE may reduce the consequences of aggressive behaviors after  
44 mixing pigs.

45 **Key words:** pigs, skin lesions, social genetic effects, behavior, damaging aggression

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## INTRODUCTION

48 In swine production systems, animals may be periodically re-mixed into new groups  
49 throughout their productive life to facilitate management. Mixing unfamiliar pigs into new social  
50 groups is usually followed by a period of physically damaging aggression that is more intense in  
51 the first few days post mixing (Turner et al., 2009). One of the consequences of damaging  
52 aggression is the occurrence of skin lesions that may have a negative impact on the welfare,  
53 productivity and health of individual pigs (Turner et al., 2009; Camerlink et al., 2013; Wurtz et al.,  
54 2017; Peden et al., 2018). Management changes that reduce aggression are costly to implement,  
55 and a breeding solution to this problem may be valuable (Peden et al., 2018). The presence of skin  
56 lesions (i.e., fresh wounds) is commonly associated with an individual being the recipient of  
57 damaging aggression. However, a positive genetic correlation exists between delivery of  
58 aggression in single-sided attacks and the number of lesions on the front body region of the pig  
59 that attacks, suggesting that the same pig can have a genetic predisposition to deliver and receive  
60 aggression (Turner et al., 2008, 2009). Examining the relationship between damaging aggressive  
61 behavior and skin lesions improves our understanding of the genetics of aggressive interactions in  
62 group-housed pigs. Thus, it is essential to elicit better models to analyze these two traits  
63 simultaneously.

64 So far, the joint analysis of behavioral variables (i.e., time spent delivering attacks) and  
65 lesion counts has been performed using bivariate classical animal models (Turner et al., 2008,

66 2009). However, such an approach does not explicitly model the effect of the delivery of  
67 aggression by one individual on the count of lesions produced on the skin of the animal delivering  
68 aggression and of its group mates. A way to explicitly model the effect of the aggressor on the  
69 recipients is by fitting social genetic effect models (SGE, Griffing, 1967, 1968a, 1968b; Moore et  
70 al., 1997). In an SGE model, two types of genetic effects are estimated: the direct effect, which is  
71 the effect of the animal's genotype on its own phenotype and the SGE, which is the effect of the  
72 animal's genotype on its group mates. These models have been applied to describe genetic effects  
73 of competition and aggression (Muir 2005; Ellen et al., 2008; Bergsma et al., 2008; Alemu et al.,  
74 2014). A common assumption in these models is that the interactions between social group mates  
75 are uniform (Bijma et al., 2007). Specifically, the non-zero elements of the incidence matrix of the  
76 social effect ( $Z_s$ ), are values equal to one in the columns that relate the individual phenotype to the  
77 SGE of all its group mates. In other words, the model does not explicitly consider variation in the  
78 intensity of interaction among individuals. However, considering the results of Büttner et al.  
79 (2015) and Foister et al. (2018) who reported strong evidence of unequal distribution of aggressive  
80 interactions in dyads, a model that explicitly accounts for such data when available has potential  
81 to recover more variation, while in the absence of detailed data on social interactions, a traditional  
82 social effects model will be more convenient. A notorious problem associated with uniform  
83 interactions in  $Z_s$  is that the common environmental effect may be partially confounded with the  
84 social effect, which may render some variance components non-estimable (Arango et al., 2005;  
85 Van Vleck and Cassady, 2005; Van Vleck et al., 2007). The partial confounding between social  
86 effects and common environmental effects can sometimes be avoided by deliberate allocation of  
87 genetic groups and families across social groups (Bijma, 2010). But this solution may not always  
88 be available in some industry settings.

89           An alternative way to deal with the potential lack of identifiability of the (co)variance  
90 components for SGE has been addressed by Cantet and Cappa (2008), who propose to replace non-  
91 zero elements of  $\mathbf{Z}_s$  with an estimate of the pairwise intensity of social interactions (ISI) between  
92 individuals (Cappa and Cantet, 2008). This approach has been used successfully in tree breeding,  
93 where the intensity of competition between trees can be easily modeled based on the distance and  
94 relative location of each pair of individuals, but it is harder to implement in animals that perform  
95 more complex social interactions. Ragab et al. (2018) first attempted to use a non-uniform  $\mathbf{Z}_s$   
96 matrix for data on feeding behavior in pigs. However, those authors did not explicitly use pairwise  
97 behavior records. Using direct observations of behavioral pairwise interactions between animals  
98 in a social group to parametrize  $\mathbf{Z}_s$  has two potential benefits: a) avoiding the confounding of SGE  
99 and some common environmental effects and b) explicitly modeling the causal effect of aggressive  
100 interactions on the number of skin lesions that an animal receives on itself and delivers to its group  
101 mates.

102           The goal of the current research is to employ SGE models with ISI to incorporate records  
103 of aggressive behavior into the analysis of skin lesion traits in grow-finishing pigs immediately  
104 after mixing. By doing so, we can effectively separate SGE from direct genetic effects and from  
105 common environmental effects. Moreover, we show that these models recover more variance than  
106 models that only include direct genetic effects and models with SGE that assume uniform  
107 interactions among group members. Finally, we explain how the direct and SGE are correlated  
108 with each other and how these models separate the effect that delivering aggression has on the  
109 animal's own phenotype and on the phenotype of the animal's group mates.

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## MATERIALS AND METHODS

All animal protocols were approved by the Institutional Animal Care and Use Committee (Animal Use Form number 01/14-003-00).

### ***Experimental Population***

The experimental population used for the current analyses is described in detail in Wurtz et al. (2017). Briefly, animals were housed at the Michigan State University Swine Teaching and Research Center, East Lansing, MI. The dataset consisted of 792 Yorkshire pigs (406 gilts, 386 barrows) with mean age of 66.75 days ( $SD \pm 3.02$ ) and mean weight 27.13 Kg. ( $SD \pm 3.6$ ) for gilts and mean age of 66.80 days ( $SD \pm 3.11$ ) and mean weight 27.01 Kg. ( $SD \pm 4.49$ ) for barrows, that were strategically remixed into new groups of single-sex familiar and unfamiliar animals going into the growth-finishing stage. Animals were regrouped into 59 pens (10 to 15 pigs per pen with at least 2 and no more than 6 familiar pigs, while the rest were unfamiliar) over 7 replicates, resulting in an average of  $3.6 \pm 0.8$  familiar pigs per finisher pen.

### ***Lesion Counting***

Lesion scoring was performed by three trained observers and consisted of counting the total number of skin lesions immediately prior to mixing and 24 h post mix. The trait was recorded on both sides of the body on three body regions: anterior, central, and caudal. A lesion was counted when a single and continuous scratch was noticed fresh (within the last 24 h), regardless of severity. Fresh lesions were judged based on redness and development of scabbing (Wurtz et al., 2017).

### ***Behavioral Observations***

Animals were video-recorded for 9 h post mixing (5 h immediately after mixing and 4 h to the next morning) and 21 trained observers characterized in detail damaging and non-damaging

134 aggressive behaviors. Records included the initial and end times of fights between pairs of pigs,  
135 and the identity of the pig that started the aggressive interaction. The ethogram of aggressive  
136 interactions allowed for classifying and encoding eight types of behavior. In the current study, the  
137 focus was on two forms of uni-directional interaction (Attack and Single Bite) and one bi-  
138 directional interaction (Reciprocal Fight). An attack was coded when a pig inflicted damaging  
139 aggression for a minimum of one second, while the recipient pig did not return damaging  
140 aggression during the event. A single bite was recorded when a pig delivered a knock with the  
141 head or snout against the head, neck, or body of a recipient animal with the mouth open, and it  
142 occurred at least 5 s before or after a period of damaging aggression. On the other hand, an event  
143 was coded as a reciprocal fight when pairs of pigs engaged in damaging aggression for a minimum  
144 duration of three seconds.

145

#### 146 ***Genotyping and Data Editing***

147 For all data analyses the total number of animals in the pedigree was 2149, from which  
148 1082 were genotyped with the GeneSeek Genomic Profiler for Porcine HD version 1 commercial  
149 BeadChip (Neogen Corporation – GeneSeek Operations, Lincoln, NE). Initial genotyping returned  
150 68,516 markers. After quality control of genotypes, markers were removed when displaying more  
151 than 10% missing data, which resulted in a loss of 4275 SNP. In addition, three animals were  
152 removed for having more than 10% missing SNP. The SNP from the X chromosome as well as  
153 markers whose minor allele frequency was less than 5% ( $n = 13310$ ) were also excluded, as were  
154 a further 1470 SNP according to the procedure suggested by Forneris et al. (2015), leaving a total  
155 of 49,461 SNP markers available for the analyses of 1079 animals. In brief, the last step consisted  
156 of estimating the heritability of allelic dosage at every SNP conditional upon available pedigree



157 information and testing the null hypothesis that the heritability is equal to 1.0. For those markers  
158 where the hypothesis is rejected, there is strong evidence of non-mendelian segregation. The  
159 properties of this method have been reported in detail in the original paper.

160

### 161 *Quantitative genetics models for direct and social interaction effects*

162 Two model equations were used for estimating the variance components and the breeding  
163 values for both direct and social genetic effects.

164 The model equation for DGE can be written as

$$165 \quad \mathbf{y} = \mathbf{X}\boldsymbol{\beta} + \mathbf{Z}_d\mathbf{a}_d + \mathbf{Z}_p\mathbf{p}_p + \mathbf{e} \quad [1]$$

166

167 whereas the model equation for TSGE and ISGE is equal to

168

$$169 \quad \mathbf{y} = \mathbf{X}\boldsymbol{\beta} + \mathbf{Z}_d\mathbf{a}_d + \mathbf{Z}_s\mathbf{a}_s + \mathbf{Z}_p\mathbf{p}_p + \mathbf{e} \quad [2]$$

170

171 In [1] and [2],  $\mathbf{y}$  is an  $n \times 1$  vector of log-transformed lesion counts, i.e.  $y_i = \log(1 + \textit{lesion}$   
172  $\textit{count}_i)$  of animal  $i$ , and  $\mathbf{X}$  is the  $n \times p$  incidence matrix relating the records to the vector of fixed  
173 effects  $\boldsymbol{\beta}$  of order  $p$ , which included the sex of the animal (gilt or barrow), the replicate (7 levels),  
174 the pre-mixing lesion count, the observer effect (6 levels), the weight of the pig as a covariate and  
175 the total time that the animal spent engaged in aggressive interactions as a covariate. The need for  
176 and use of the total time as a covariate are extensively discussed in the results section. Matrix  $\mathbf{Z}_d$   
177 of order  $n \times q$  ( $q$  is the number of pigs in pedigree) relates records in  $\mathbf{y}$  to the random vector of  
178 additive genetic effects  $\mathbf{a}_d$  ( $q \times 1$ ). The distribution of the direct breeding values was assumed to  
179 be  $\mathbf{a}_d \sim N(\mathbf{0}, \mathbf{G}\sigma_d^2)$ , where  $\mathbf{G}$  is the genomic relationship matrix that was computed after VanRaden

180 (2008). To such purpose, genotypes were expressed as allelic dosage and stored in the marker  
 181 matrix  $\mathbf{M}$ , with dimensions  $n$  (number of individuals with records, 1079) by  $m$  (number of SNP,  
 182 49,461). Once  $\mathbf{M}$  was calculated,  $\mathbf{G}$  was computed by multiplying the standardized marker matrix  
 183  $\mathbf{Z}$  by its transpose. The resulting matrix product contains estimates of the realized genomic  
 184 relationships between any pair of pigs. The scalar  $\sigma_a^2$  is the additive genetic variance;  $\mathbf{p}_p$  is an  $s \times$   
 185 1 vector of random pen effects or contemporary groups, such that  $\mathbf{p}_p \sim N(\mathbf{0}, \mathbf{I}\sigma_p^2)$ , where  $\sigma_p^2$  is the  
 186 variance of pen effects, and the  $n \times s$  matrix  $\mathbf{Z}_p$  relates records in  $\mathbf{y}$  to the vector of pen effects  $\mathbf{p}$ .  
 187 The incidence matrix of social effects  $\mathbf{Z}_s(n \times q)$  relates records with the social interaction effects  
 188 in  $\mathbf{a}_s$ , and is described in detail below. Social interaction effects in  $\mathbf{a}_s$  ( $q \times 1$ ) follows the Gaussian  
 189 specification such as  $\mathbf{a}_s \sim N(\mathbf{0}, \mathbf{G}\sigma_s^2)$ . The scalar  $\sigma_s^2$  is the variance of the social interaction  
 190 breeding values. The same  $q$  individuals displaying direct breeding values in  $\mathbf{a}_d$  are also included  
 191 in  $\mathbf{a}_s$ . Note that all animals with recorded phenotypes for lesion counts were also genotyped.  
 192 Finally,  $\mathbf{Z}_d$  is an identity matrix,  $\mathbf{e}$  ( $n \times 1$ ) is the random vector of independent errors distributed  
 193 as  $N(\mathbf{0}, \mathbf{I}\sigma_e^2)$ , and  $\sigma_e^2$  is the error variance.

194 The resulting covariance matrix of breeding values has a Kronecker structure as  $\mathbf{G}\sigma_a^2$  and  
 195  $\mathbf{G}\sigma_s^2$ , are the respective covariance matrix for direct and social interaction effects, whereas the  
 196 covariance between direct and social genetics effects is  $\mathbf{G}\sigma_{ds}$ . The scalar  $\sigma_{ds}$  is the covariance  
 197 between direct and social breeding values, a parameter whose sign and magnitude are central to  
 198 predict the response to selection including social interaction effects. With all these specifications,  
 199 the covariance matrix of breeding values for direct and social interaction effects is written in a  
 200 more compact manner as follows:

201

202 
$$\text{Var} \begin{bmatrix} \mathbf{a}_d \\ \mathbf{a}_s \end{bmatrix} = \begin{bmatrix} \sigma_d^2 & \sigma_{ds} \\ \sigma_{ds} & \sigma_s^2 \end{bmatrix} \otimes \mathbf{G} = \mathbf{G}_0 \otimes \mathbf{G}$$

203

204 ***The matrix  $\mathbf{Z}_s$  of social interaction breeding values***

205 The identifiability of social interaction effects (SI) in the model associated with the  
 206 column space of matrix  $\mathbf{Z}_s$  (Cantet and Cappa, 2008). Non-zero elements in any row reflect the  
 207 “intensity or strength” of the SI between any pair of individuals within the same pen, at the time  
 208 they were located together (Cantet and Cappa, 2008; Cappa and Cantet, 2008; Bijma, 2013). We  
 209 compared two different type of structures for  $\mathbf{Z}_s$ , according to the models TSGE and ISGE, to  
 210 estimate the (co)variance components for lesion counts traits of pigs immediately post-mixing.

211 The first structure corresponds to the TSGE model, and  $\mathbf{Z}_s$  was computed as described by  
 212 Bijma et al. (2007) by assuming uniform interactions within groups. Therefore, letting  $i, j$  be the  
 213 index describing a pair of individuals in  $\mathbf{Z}_s$ , the diagonal elements should be zero, i.e.  $\mathbf{Z}_{s_{ii}} = \mathbf{0}$ , as  
 214 individuals do not display a SI with themselves, whereas the off-diagonals are  $\mathbf{Z}_{s_{ij}} = \mathbf{1}$ , if  $i$  and  $j$   
 215 belong to the same group, or  $\mathbf{Z}_{s_{ij}} = \mathbf{0}$  if  $i, j$  are in different groups. As a result,  $\mathbf{Z}_s$  is a block-  
 216 diagonal matrix with the number of blocks equal to the number of groups, and each group may  
 217 have a number of individuals that is different from the number of animals in every other group.

218 The other matrix structure for  $\mathbf{Z}_s$  is the one from the ISGE models and was originally  
 219 discussed by Cappa and Cantet (2008) for the estimation of dispersion parameters with competition  
 220 effects in forest trees. The parametrization accounts for the number and position of competitors in  
 221 tree breeding and it requires the specification of the *intensity of competition* (IC) effect. This  
 222 number can be interpreted as a weighting factor that expresses how intense pairs of individuals  
 223 compete in relation to all other animals in the group. It can be chosen to represent extreme patterns

224 in which only particular individuals display competition behavior whereas the remaining animals  
 225 do not. Cantet and Cappa (2008) argue that this type of structure on  $\mathbf{Z}_s$  plays a role in the  
 226 identifiability of the (co)variance components in animal models with competition effects. The first  
 227 reason is that this structure for  $\mathbf{Z}_s$  avoids collinearity between  $\mathbf{X}$  and  $\mathbf{Z}_s$ , and also because the use  
 228 of different values for ICs avoids the confounding between the pen effects and social breeding  
 229 values. Without proper identifiability of SI effects, estimates of heritabilities and genetic  
 230 correlations between direct and SI effects may be grossly underestimated (Cappa and Cantet,  
 231 2008). In this paper, we focus on non-competitive social interactions, and thus, we replace the  
 232 concept of intensity of competition (IC) with intensity of social interaction (ISI), but the statistical  
 233 interpretation and modeling of effects remain identical to those originally presented by Cantet and  
 234 Cappa (2008). The calculus of ISI requires interactions to be expressed as a continuous variable  
 235 that can be measured differentially for every pair of individuals in a group. Thus, we propose to  
 236 employ the total time (in seconds) of aggressive interactions that take place between any pair of  
 237 animals within groups over a 9 h post-mix period as a measure of the intensity of social interaction.  
 238  $\mathbf{Z}_s$  was constructed as a block-diagonal matrix where each block represents a social group. Thus,  
 239 the ISI for an  $i, j$  pair of pigs was taken to be the total time in seconds of aggressive interactions  
 240 between pig  $i$  and pig  $j$  belonging to the same social group:  $\mathbf{Z}_{s^*ij} = \text{time engaged in aggressive}$   
 241  $\text{interaction}$ . The standardization of  $\mathbf{Z}_s$  (see Cantet and Cappa, 2008; Bijma, 2013) was  
 242 accomplished with the use of the following formula:

$$\mathbf{Z}_{sij} = \frac{z_{s^*ij}}{\sqrt{\sum_{j=1}^q (z_{s^*ij}^2)}} \quad [3]$$

244 At row  $i$  in the  $\mathbf{Z}_s$  matrix, the time  $\mathbf{z}_{s^*ij}$  is divided by the square root of the sum of all  $q$   
 245 squared elements ( $\mathbf{z}_{s^*ij}^2$ ) in the same row, most of them being equal to zero.

246 *Estimation of (co)variance components*

247 The (co)variance components for all three models were estimated by Restricted Maximum  
 248 Likelihood (REML, Patterson and Thompson, 1971) using the EM (Expectation-Maximization;  
 249 Dempster et al., 1977) algorithm through in-house developed functions implemented in R. The  
 250 algorithm required us to first set up the following set of mixed model equations (Henderson, 1984)  
 251 to obtain solutions for fixed effects, direct and social breeding values, and pen effects from model  
 252 [2]

$$253 \begin{pmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{Z}_d & \mathbf{X}'\mathbf{Z}_s & \mathbf{X}'\mathbf{Z}_p \\ \mathbf{Z}'_d\mathbf{X} & \mathbf{Z}'_d\mathbf{Z}_d + g^{11}\mathbf{G}^{-1} & \mathbf{Z}'_d\mathbf{Z}_s + g^{12}\mathbf{G}^{-1} & \mathbf{Z}'_d\mathbf{Z}_p \\ \mathbf{Z}'_s\mathbf{X} & \mathbf{Z}'_s\mathbf{Z}_d + g^{21}\mathbf{G}^{-1} & \mathbf{Z}'_s\mathbf{Z}_s + g^{22}\mathbf{G}^{-1} & \mathbf{Z}'_s\mathbf{Z}_p \\ \mathbf{Z}'_p\mathbf{X} & \mathbf{Z}'_p\mathbf{Z}_d & \mathbf{Z}'_p\mathbf{Z}_s & \mathbf{Z}'_p\mathbf{Z}_p + \mathbf{I}\left(\frac{\sigma_e^2}{\sigma_p^2}\right) \end{pmatrix} \begin{pmatrix} \hat{\boldsymbol{\beta}} \\ \hat{\mathbf{a}}_d \\ \hat{\mathbf{a}}_s \\ \hat{\mathbf{p}}_p \end{pmatrix} = \begin{pmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{Z}'_d\mathbf{y} \\ \mathbf{Z}'_s\mathbf{y} \\ \mathbf{Z}'_p\mathbf{y} \end{pmatrix} \quad [4]$$

254 Matrix  $\mathbf{G}^{-1}$  is the inverse of the genomic relationship matrix (VanRaden, 2008), and

$$255 \begin{pmatrix} g^{11} & g^{12} \\ g^{21} & g^{22} \end{pmatrix} = \mathbf{G}_0^{-1} \sigma_e^2.$$

256 The estimating equations of the EM algorithm for the (co)variance parameters  $\sigma_d^2$ ,  $\sigma_s^2$ ,  $\sigma_{ds}$ ,  
 257  $\sigma_p^2$ ,  $\sigma_e^2$ , are developed in Appendix 1[A]. The variances of the REML estimators and their standard  
 258 errors were calculated as follows. Let  $\boldsymbol{\theta} = [\sigma_d^2, \sigma_s^2, \sigma_{ds}, \sigma_p^2, \sigma_e^2]'$  be the vector of (co)variance  
 259 components of model [2], Harville (1977) derived formulae to calculate the information matrix  
 260  $\mathbf{I}(\boldsymbol{\theta})$  of REML estimates of  $\boldsymbol{\theta}$ . The inverse of the information matrix is the asymptotic covariance  
 261 matrix of REML estimates (Harville, 1977; Searle et al, 1992). Being a covariance matrix,  $\mathbf{I}(\boldsymbol{\theta})$

262 and its inverse are positive definite, a useful property that enables us to check whether the  
263 (co)variance components in model [2] were identifiable (Cantet and Cappa, 2008).

#### 264 *Estimation of heritability ( $\widehat{h}_D^2$ ) for lesion counts traits*

265 The count of skin lesions 24 h post mixing in pigs has been shown to be associated with  
266 aggressive interactions, and the locations of lesions on the body has been associated with engaging  
267 in delivery of aggression and reciprocal fights (primarily anterior lesions), or receiving aggression  
268 (primarily caudal lesions; Turner et al., 2008; Turner et al., 2009; Wurtz et al., 2017). As a  
269 preliminary analysis, we fitted two-trait models (see Appendix 2) to estimate direct heritabilities  
270 and genetic and phenotypic correlations between lesion count traits with each other and between  
271 lesion count traits and behavioral traits.

272 This modeling can be seen as a classical genetic model where the behavioral trait (time  
273 engaged in aggression) and its consequence (lesion count) are treated as two traits in a bivariate  
274 analysis (Turner et al., 2009). This model collapses the fighting time that is observed on a dyadic  
275 basis (for pairs of animals) into a single vector of total count per animal. It also ignores the causal  
276 relationship between fights and lesions. Our modeling, using social interaction effects, avoids these  
277 shortcomings.

278 More importantly, we used SGE models (TSGE, ISGE) to estimate variance components,  
279 heritability and their standard errors of model lesion counts in different regions of body in pigs at  
280 the finishing stage 24 h post-mixing. This modeling does not collapse behavioral data, but it keeps  
281 it in the dyadic scale in which they are observed. Furthermore, our models explain variance in  
282 lesion counts as a function of direct genetic effects and SGE whose intensity is quantified by the  
283 dyadic behavioral trait “time spent engaged in mutual aggression”.

284 The lesion count traits in each region of the body (anterior, central, caudal) were analyzed  
285 with univariate models by three separate analyses (one for each trait), with the DGE, TSGE, ISGE  
286 models. The heritability for direct genetic effects ( $\hat{h}_D^2$ ) was estimated as the ratio between the  
287 additive genetic variance ( $\sigma_u^2$ ) and the phenotypic variance ( $\sigma_p^2$ ):

$$288 \quad \hat{h}_D^2 = \frac{\sigma_u^2}{\sigma_p^2} = \frac{\hat{\sigma}_d^2}{\hat{\sigma}_d^2 + \hat{\sigma}_{pen}^2 + \hat{\sigma}_e^2} \quad [5]$$

289 Where  $\hat{\sigma}_d^2$ ,  $\hat{\sigma}_{pen}^2$ ,  $\hat{\sigma}_e^2$  are the estimated variance components for the direct additive genetic variance,  
290 pen variance, and error variance respectively.

291 ***Data and code availability.***

292 All data and code used to generate the presented results is freely available at:  
293 [https://github.com/steibelj/ISGE\\_MSU](https://github.com/steibelj/ISGE_MSU).

294

295 **RESULTS AND DISCUSSION**

296

297 The REML estimates of the (co)variance components, heritabilities and genetic  
298 correlations for all six models (DGE, TSGE, ISGE based on unilateral interactions and ISGE based  
299 on bilateral interactions) of analysis of lesion counts at different parts of the body observed 24 h  
300 after mixing, are displayed in Table 1. As described in the methods, for the ISGE models there are  
301 model-specific covariates representing the total time spent by an animal engaged in the  
302 corresponding aggressive interaction. For instance, in ISGE for reciprocal fights, the covariate  
303 represented the total time that an individual spent engaged in reciprocal fights with any social  
304 group mate. It is important to include the covariate to account for a mean effect of time engaged  
305 in social interactions on the lesion count, because the  $\mathbf{Z}_s$  matrix is standardized by row and does

306 not account for such mean effect. Moreover, it was necessary to include similar covariates in DGE  
307 and TSGE to compare models with similar fixed effects. Consequently, two DGE and TSGE  
308 models were fitted, one using total time engaged in attacks and another one using total time  
309 engaged in reciprocal fights. Model comparisons between DGE, TSGE and ISGE were made  
310 between models with identical fixed effects formulations. Estimates presented in Table 1 were  
311 obtained from a subset of the data employed by Wurtz et al. (2017), who estimated  $h_D^2$  equal to  
312 0.32, 0.15 and 0.16 for anterior, central and caudal lesions. Wurtz et al. (2017) used a similar model  
313 to DGE in Table 1, except that their model did not include the covariate for the total time engaged  
314 in aggression because at the moment of submission such data were not available. Moreover,  
315 comparing the estimates from Wurtz et al. (2017) to the ones for DGE in Table 1 we can evaluate  
316 the effect of including the covariate in the model. In general, when the covariate was total time  
317 engaged in attack, the estimated  $h_D^2$  did not differ from that obtained with the model without the  
318 covariate, but when total time of reciprocal fight was used as a covariate, the estimated heritability  
319 reported in Table 1 was significantly lower. This can be explained by the results of the bivariate  
320 analyses presented in Table 2 (methods described in Appendix 2). The genetic correlations ( $\hat{r}_g$ )  
321 between total attacks and lesion counts were non significantly different from zero as the magnitude  
322 of the estimate (-0.24 to 0.24) was similar to the magnitude of the corresponding standard error  
323 (0.28 to 0.38), while genetic correlations between lesion counts and reciprocal fights were larger  
324 in magnitude (0.72-0.89) and significantly different from zero (S.E: 0.07-0.16). This raises a  
325 question of the appropriateness of including a covariate that is genetically correlated with the  
326 response variable. On one hand, as explained before, it is necessary to adjust the mean lesion count  
327 for the total level of fights that an individual has engaged in. On the other hand, for the particular



328 case of reciprocal fighting this may come at the cost of removing not only residual but also some  
329 genetic variance.

330 Turner et al. (2009) also obtained  $\hat{h}_D^2$  for lesion counts in the three regions of a pig. Our  
331 estimated value of  $h_D^2$  were generally smaller than those reported by Turner et al. (2009), who  
332 obtained  $h_D^2$  estimates equal to 0.26, 0.25 and 0.21 for anterior, central and caudal lesion counts  
333 respectively and by Desire et al. (2015) who reported  $h_D^2$  estimates of 0.08, 0.11, 0.12 for anterior,  
334 central and caudal regions of the body. However, those authors did not include the covariate for  
335 total time, which absorbs both residual and genetic additive variation, especially for reciprocal  
336 fights. For all three traits, the estimated variance components with DGE did not significantly differ  
337 from the usual model with direct and SGE (TSGE). The estimated residual variance ( $\hat{\sigma}_e^2$ ) and the  
338 additive genetic variance for direct effects  $\hat{\sigma}_d^2$  from DGE were similar to the estimates with TSGE  
339 when comparing models with the same covariate structure. As a consequence, the values of  $\hat{h}_D^2$   
340 from both models were alike. On the other hand, the estimated variance components for social  
341 additive effects ( $\hat{\sigma}_s^2$ ), and the covariance between direct and social additive effects ( $\hat{\sigma}_{ds}$ ) with  
342 TSGE were not significantly different ( $P > 0.5$ ) from zero in all traits analyzed, when testing with  
343 the likelihood ratio statistics (LRT). This is a consequence of the non-zero elements in any row of  
344  $\mathbf{Z}_s$  to be equal for all pigs within the same social group and the small sample size, in such a way  
345 that there is not enough information in the data to disentangle SGE from pen effects (Cantet and  
346 Cappa, 2008); a confounding that may persist even when treating pen effects as random. This  
347 indecisive estimation of  $\sigma_s^2$  and  $\sigma_{ds}$  in TSGE has been previously reported. Arango et al. (2005)  
348 estimated a value of  $\sigma_s^2$  not significantly different from zero, whereas they were not able to estimate  
349  $\sigma_{ds}$  for average daily gain in pigs. By simulating a pig production system, Van Vleck and Cassady  
350 (2005) observed very large standard errors of the estimated (co)variance components when all

351 pens had an equal number of pigs, and pen effects were viewed as a random effect in the model.  
352 Moreover, Van Vleck et al. (2007) estimated an almost zero value for  $\sigma_s^2$  and negative values for  
353  $\sigma_{as}$  while analyzing average daily gain of Hereford bulls.

354 Interestingly enough, the estimates of the additive variance for SGE and of the covariance  
355 between direct and SGE were significantly different from zero ( $P < 0.01$ ), for all traits and in both  
356 ISGE models. The values of  $\hat{\sigma}_s^2$  ranged from 0.023 to 0.064 when  $\mathbf{Z}_s$  was calculated using data  
357 from reciprocal fights, and from 0.048 to 0.068 when the incidence matrix of SGE was proportional  
358 to the time spent receiving attacks. Estimates of  $\sigma_{DS}$  were positive for the three lesion count traits  
359 and ranged between 0.015 to 0.051 for reciprocal fights whereas for attack behavior  $\hat{\sigma}_{as}$  ranged  
360 from 0.031 to 0.077.

361 Significant differences were observed between the magnitude of the estimates of the  
362 variance components and heritability for the three lesion counts traits (Table 1) with ISGE  
363 compared to the estimates from DGE. Including social genetic effects using the intensity of social  
364 interactions produced a larger estimate of  $\sigma_d^2$ , a smaller estimate of  $\sigma_e^2$  and, consequently, a larger  
365 estimate of heritability from ISGE when compared with estimates of the same parameters from  
366 DGE. This difference in the magnitude of the estimates was more pronounced for anterior lesion  
367 counts where  $\hat{\sigma}_e^2$  was equal to 0.27 in DGE and 0.22 in ISGE (using attacks to model ISI), whereas  
368  $\hat{\sigma}_d^2$  increased from 0.11 in DGE to 0.15 in ISGE (also with attacks). On defining  $\hat{h}_D^2 =$   
369  $\frac{\hat{\sigma}_d^2}{(\hat{\sigma}_d^2 + \hat{\sigma}_{pen}^2 + \hat{\sigma}_e^2)}$ , the value of  $\hat{h}_D^2$  increased from 0.28 in DGE to 0.38 in ISGE, a value 35%  
370 higher. This increase in estimated direct additive genetic variability while fitting SGE with an  
371 informative  $\mathbf{Z}_s$  is intermediate compared to previously published works. For instance, in tree  
372 breeding Cappa and Cantet (2008) found significantly larger increases in recovered direct variance.  
373 For the diameter at breast height of Loblolly pines (*Pinus taeda* L.), they used a model where off-

374 diagonal elements of  $\mathbf{Z}_s$  were inversely proportional to the distance among trees and found 83%  
 375 higher  $\hat{\sigma}_d^2$  in ISGE than in DGE. However, Ragab et al., (2018) using data on average daily gain  
 376 of Duroc pigs estimated 14% higher  $\hat{\sigma}_d^2$  from ISGE than from DGE, which is a modest increase  
 377 compared to our results. In that research, the non-zero elements of any row of  $\mathbf{Z}_s$  were proportional  
 378 to the pairwise Euclidean distances between animals computed for several feeding behavior  
 379 variables.

380 An explanation for the increased additive genetic variability recovered by the ISGE model  
 381 compared with the variance from the DGE model can be deduced from Figures 1a and 1b. Figure  
 382 1a displays a path coefficient diagram (Wright, 1921) depicting the DGE for the phenotypes of  
 383 two related individuals ( $y_i$  and  $y_{i'}$ ) in the same social group, whereas Figure 1b shows the same  
 384 phenotypes under ISGE. For animals  $i$  and  $i'$ , their direct and social breeding values and Mendelian  
 385 residual effects respectively are  $a_{Di}$ ,  $a_{Di'}$ ,  $a_{Si}$ ,  $a_{Si'}$ ,  $\phi_{Di}$ ,  $\phi_{Di'}$ ,  $\phi_{Si}$ , and  $\phi_{Si'}$ . One-headed arrows indicate  
 386 causation, double-headed arrows indicate correlation (Wright, 1921). The values over or alongside  
 387 the arrows are those of the path coefficients. The intensity of social interaction (ISI) or  $\mathbf{Z}_{s_i}$  in Figure  
 388 1b are path coefficients or partial regression coefficients. Under the Gaussian specification of  
 389 direct and SGE breeding values, partial and conditional variances and covariances are equal (Baba  
 390 et al., 2004), so that the ISI are parameters of the conditional distribution of an SGE given the SGE  
 391 of all remaining interacting animals and the inference from a path coefficient diagram is similar to  
 392 the one from an *acyclic mixed graph* (Fox et al., 2015). Actually, the DGE and ISGE below can  
 393 be expressed as *direct acyclic graphs* or DAG (Rosa et al., 2011). By including the parental  
 394 breeding values of  $i$  and  $i'$  for direct and social effects in Figure 1b, all double arrows (correlations)  
 395 disappear and single (causal) arrows explain the observed relationships. Thus, the extra variability  
 396 is the result of the partial covariance between Mendelian residuals in DGE being projected into

397 ISGE: by fitting the SGE in ISGE the Mendelian residuals become independent. This fact is  
398 overlooked when fitting direct effects only; a similar situation occurs when maternal effects are  
399 ignored and is partially responsible for the genetic variability. Hence, when fitting SGE with ISI  
400 into ISGE, the fraction of variability for direct effects that is in common with the indirect effect is  
401 expressed in the non-zero elements of  $\mathbf{Z}_{\mathcal{G}_t}$ , and it is available for selection purposes.

402

403         The estimates of the additive genetic correlation between direct and social effects ( $\hat{r}_{ds}$ )  
404 and their standard errors are displayed in Table 3. The values of  $\hat{r}_{ds}$  from ISGE in reciprocal fights  
405 were highly positive: 0.877, 0.70, and 0.82 for the estimated values of the Anterior, Central and  
406 Caudal parts of the body, respectively. On the other hand, for attacks we observed  $\hat{r}_{ds} = 0.86$  for  
407 the Anterior,  $\hat{r}_{ds} = 0.73$  for the Central, and  $\hat{r}_{ds} = 0.53$  for the Caudal body regions. Alemu et al.  
408 (2014) obtained positive values of  $\hat{r}_{ds}$  ranging from 0.55 to 0.99 for lesion counts at different parts  
409 of the body in mink. Positive values of  $\hat{r}_{ds}$  indicate that the genotypes that display more aggressive  
410 social behavior tend to display more frequent lesion counts in any part of their bodies and cause  
411 more lesions to their pen mates. It is also interesting to note that when  $\mathbf{Z}_{\mathcal{S}}$  is proportional to  
412 reciprocal fights, the correlation between direct and SGE is close to unity. In the case where the  
413 ISI was parameterized as a function of unilateral attacks, the correlation was smaller. This makes  
414 sense from the behavioral point of view, as it is expected that in a reciprocal fight an animal will  
415 receive a number of lesions proportional to the number of lesions that it delivers. But in the case  
416 of single-sided attacks, one animal attacks another one to deliver lesions so the number of lesions  
417 that the first animal receives depends on the reaction of the recipient: in some cases, the recipient  
418 will turn around and retaliate and sometimes it will not, resulting in a lower  $\hat{r}_{ds}$ .



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545 **Table 1.** Estimated variance components and heritability of lesions in different regions of the body  
 546 of pigs at the finisher stage 24 h post-mixing as estimated by six models (Standard Error in  
 547 parentheses).

Model	Covariate	Trait	$\hat{\sigma}_d^2$	$\hat{\sigma}_s^2$	$\hat{\sigma}_{ds}$	$\hat{\sigma}_{pen}^2$	$\hat{\sigma}_e^2$	$\hat{h}_D^2$
DGE	$t_{RF}$	Anterior	0.059 (0.017)			0.033 (0.010)	0.21 (0.015)	0.19 (0.055)
		Central	0.014 (0.09)			0.051 (0.013)	0.20 (0.009)	0.05 (0.035)
		Caudal	0.028 (0.015)			0.081 (0.020)	0.28 (0.018)	0.073 (0.03)
DGE	$t_{AT}$	Anterior	0.11 (0.028)			0.026 (0.010)	0.27 (0.022)	0.28 (0.063)
		Central	0.037 (0.015)			0.06 (0.016)	0.23 (0.016)	0.11 (0.044)
		Caudal	0.039 (0.018)			0.092 (0.023)	0.30 (0.020)	0.091 (0.04)
TSGE	$t_{RF}$	Anterior	0.057 (0.010)	0.025 <sup>NS</sup> (0.012)	-0.0004 <sup>NS</sup> (0.015)	0.001 (0.011)	0.21 (0.014)	0.20 (0.036)
		Central	0.015 (0.0075)	0.032 <sup>NS</sup> (0.013)	0.0007 <sup>NS</sup> (0.011)	0.016 (0.013)	0.20 (0.012)	0.064 (0.031)
		Caudal	0.033 (0.013)	0.041 <sup>NS</sup> (0.020)	0.016 <sup>NS</sup> (0.017)	0.034 (0.021)	0.27 (0.017)	0.096 (0.038)
TSGE	$t_{AT}$	Anterior	0.10 (0.017)	0.030 <sup>NS</sup> (0.017)	-0.026 <sup>NS</sup> (0.029)	0.007 (0.016)	0.26 (0.021)	0.28 (0.044)
		Central	0.033 (0.010)	0.020 <sup>NS</sup> (0.016)	-0.008 <sup>NS</sup> (0.015)	0.045 (0.018)	0.23 (0.015)	0.10 (0.034)
		Caudal	0.037 (0.016)	0.038 <sup>NS</sup> (0.023)	0.0019 <sup>NS</sup> (0.020)	0.057 (0.025)	0.30 (0.020)	0.09 (0.040)
ISGE- Reciprocal Fights	$t_{RF}$	Anterior	0.084 (0.008)	0.040* (0.013)	0.051* (0.010)	0.026 (0.013)	0.18 (0.013)	0.29 (0.031)
		Central	0.019 (0.007)	0.023* (0.011)	0.015* (0.007)	0.044 (0.014)	0.19 (0.012)	0.075 (0.027)
		Caudal	0.037 (0.011)	0.064* (0.019)	0.040* (0.011)	0.047 (0.019)	0.24 (0.016)	0.11 (0.035)
ISGE-Attacks	$t_{AT}$	Anterior	0.155 (0.014)	0.051* (0.017)	0.077* (0.015)	0.020 (0.014)	0.22 (0.017)	0.38 (0.037)
		Central	0.051 (0.009)	0.048* (0.016)	0.036* (0.010)	0.042 (0.016)	0.20 (0.014)	0.17 (0.032)
		Caudal	0.049 (0.014)	0.068* (0.021)	0.031* (0.014)	0.057 (0.021)	0.26 (0.018)	0.13 (0.038)

548 \* $P < 0.01$ , <sup>NS</sup> $P > 0.5$

549  $\hat{\sigma}_a^2$  direct genetic variance,  $\hat{\sigma}_s^2$  social genetic variance,  $\hat{\sigma}_{ds}$  covariance genetic direct-social,  $\hat{\sigma}_{pen}^2$   
550 pen variance,  $\hat{\sigma}_e^2$  error variance,  $\hat{h}_D^2$  heritability. **DGE**: direct genetic additive model, **TSGE**:  
551 traditional social genetic effect model, **ISGE-Reciprocal Fights**: Intensity-based social genetic  
552 effect model with Reciprocal Fight behavior, **ISGE-Attacks**: Intensity-based social genetic effect  
553 model with Attack and Single Bite behaviors.  $t_{RF}$ : total time that the animal spent engaged in  
554 reciprocal fight behavior,  $t_{AT}$ : total time that the animal spent engaged in attack behavior.

555 **Table 2.** Heritability (on diagonal) and genetic (above diagonal) and phenotypic (below diagonal)  
 556 correlations between lesion count traits recorded 24 h post-mixing and aggressive behavioral traits  
 557 (Standard errors in parentheses).

<b>Trait</b>		<b>Lesion Count</b>			<b>Behavioral Trait</b>	
		Anterior	Central	Caudal	Reciprocal Fight	Received Attacks
<b>Lesion Count</b>	Anterior	<b>0.27 (0.06)</b>			0.89 (0.07)	-0.22(0.28)
	Central		<b>0.12 (0.04)</b>		0.77 (0.14)	-0.24(0.38)
	Caudal			<b>0.11 (0.04)</b>	0.72 (0.16)	0.24 (0.34)
<b>Behavioral Trait</b>	Reciprocal Fight	0.63 (0.02)	0.47(0.03)	0.39 (0.03)	<b>0.16 (0.05)</b>	-0.59(0.34)
	Received Attacks	0.12 (0.03)	0.11 (0.04)	0.12 (0.04)	0.10 (0.04)	<b>0.06(0.03)</b>

558

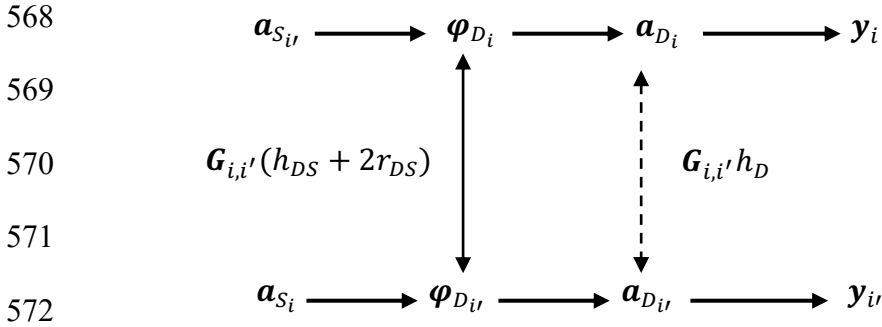
559 **Table 3.** Estimated correlation between Direct and Social genetic effects and standard error in the  
 560 models with social effects.

<i>Model</i>	<i>Covariate</i>	<i>Trait</i>	$\hat{r}_{ds}$	<i>SE</i>
<b>TSGE</b>	$t_{RF}$	Anterior	-0.010 <sup>NS</sup>	0.40
		Central	0.30 <sup>NS</sup>	0.50
		Caudal	0.42 <sup>NS</sup>	0.44
<b>TSGE</b>	$t_{AT}$	Anterior	-0.45 <sup>NS</sup>	0.41
		Central	-0.30 <sup>NS</sup>	0.59
		Caudal	0.051 <sup>NS</sup>	0.54
<b>ISGE-Reciprocal Fights</b>	$t_{RF}$	Anterior	0.877*	0.12
		Central	0.70*	0.29
		Caudal	0.82*	0.18
<b>ISGE-Attacks</b>	$t_{AT}$	Anterior	0.86*	0.13
		Central	0.73*	0.17
		Caudal	0.53*	0.21

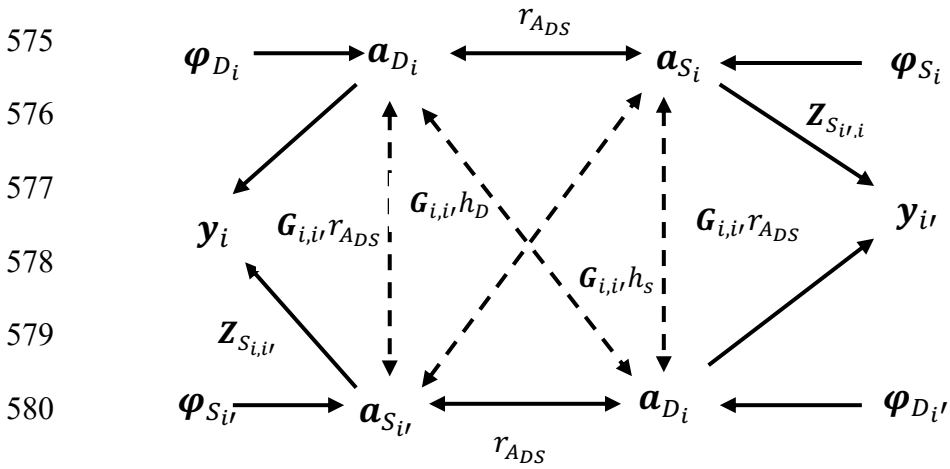
561 \* $P < 0.01$ , <sup>NS</sup> $P > 0.5$

562  $\hat{r}_{ds}$  correlation genetic Direct-Social. **SE:** Standard Error. **TSGE:** traditional social genetic effect  
 563 model, **ISGE-Reciprocal Fights:** Intensity-based social genetic effect model with Reciprocal  
 564 Fight behavior, **ISGE-Attacks:** Intensity-based social genetic effect model with Attack and Single  
 565 Bite behaviors,  $t_{RF}$ : total time that the animal spent engaged in reciprocal fight behavior,  $t_{AT}$ : total  
 566 time that the animal spent engaged in attack behavior.

567 **Figure 1a.** Path coefficient diagram or acyclic mixed graph of DGE.



574 **Figure 1b.** Path coefficient diagram or acyclic mixed graph of ISGE.



582  $\mathbf{y}_i, \mathbf{y}_{i'}$  = phenotypes of two related individuals;  $\mathbf{a}_{D_i}, \mathbf{a}_{D_{i'}}$  = Direct Breeding Values for animals  $i$   
 583 and  $i'$ ;  $\mathbf{a}_{S_i}, \mathbf{a}_{S_{i'}}$  = Social Breeding Values for animals  $i$  and  $i'$ ;  $\boldsymbol{\varphi}_{D_i}, \boldsymbol{\varphi}_{D_{i'}}$  = Mendelian residual for  
 584 animals  $i$  and  $i'$ ;  $\mathbf{G}_{i,i'}$  = genomic relationship between animals  $i$  and  $i'$ ;  $r_{DS}$  = correlation direct and  
 585 social;  $h_D$  = square root of direct heritability;  $h_S$  = square root of  $h_S^2$ , where  $h_S^2 = \frac{\hat{\sigma}_s^2}{\hat{\sigma}_s^2 + \hat{\sigma}_e^2 + \hat{\sigma}_{pen}^2}$ ;  
 586  $r_{ADS}$  = correlation between genetic direct and social breeding values;  $h_D$  = square root of the direct  
 587 heritability;  $\mathbf{Z}_{S_{i,i'}}, \mathbf{Z}_{S_{i',i}}$  = intensity of social interaction between animals  $i$  and  $i'$ .

588

## Appendix: 1

589

### Implementation of the REML estimates of (co)variance components through the EM

590

### algorithm and the asymptotic variances of the estimates

591

592

As in Cappa and Cantet (2008), the formulae for the estimating equations of the EM algorithm

593

for the (co)variance components are originally due to Cantet et al. (1993). Different from the work

594

of previous authors, the current implementation includes the calculation of the information matrix.

595

#### *A. Implementation EM-REML estimating equations*

596

Estimating formulae at each iteration of the EM algorithm for the five (co)variance components

597

are the following

598

$$\hat{\sigma}_e^2^{[k]} = \frac{(\hat{e}'\hat{e})^{[k]} + (p+2q+r-m^{[k-1]}\hat{\sigma}_e^2^{[k-1]})\hat{\sigma}_e^2^{[k-1]}}{n} \quad [\text{A1}]$$

599

600

$$\hat{\sigma}_d^2^{[k]} = \frac{(\hat{a}'_d G^{-1} \hat{a}_d)^{[k]} + \text{tr}(G^{-1} C^{dd}) \hat{\sigma}_e^2^{[k-1]}}{q} \quad [\text{A2}]$$

601

602

$$\hat{\sigma}_s^2^{[k]} = \frac{(\hat{a}'_s G^{-1} \hat{a}_s)^{[k]} + \text{tr}(G^{-1} C^{ss}) \hat{\sigma}_e^2^{[k-1]}}{q} \quad [\text{A3}]$$

603

604

$$\hat{\sigma}_{ds}^{[k]} = \frac{(\hat{a}'_d G^{-1} \hat{a}_s)^{[k]} + \text{tr}(G^{-1} C^{ds, ds}) \hat{\sigma}_e^2^{[k-1]}}{q} \quad [\text{A4}]$$

605

606

$$\hat{\sigma}_p^2^{[k]} = \frac{(\hat{p}'_p \hat{p}_p)^{[k]} + \text{tr}(C^{pp}) \hat{\sigma}_e^2^{[k-1]}}{r} \quad [\text{A5}]$$



607 The calculation proceeds as follows:

- 608 1. Obtain prior values of the (co)variance components such that  $\mathbf{G}_0 = \begin{bmatrix} \sigma_{d_0}^2 & \sigma_{ds_0} \\ \sigma_{ds_0} & \sigma_{s_0}^2 \end{bmatrix}$  is positive  
609 definite and all variances are greater than 0, to ensure the algorithm converges into the  
610 parameter space.
- 611 2. Build up the mixed model equations (MME) [4].
- 612 3. Compute  $\mathbf{C}$ , i.e. inverse coefficient matrix of the MME [4].
- 613 4. Obtain the solutions for the fixed effects ( $\hat{\boldsymbol{\beta}}$ ) and random effects ( $\hat{\mathbf{a}}_d, \hat{\mathbf{a}}_s, \hat{\mathbf{p}}_p$ ).
- 614 5. Calculate the REML residuals  $\hat{\mathbf{y}} - \mathbf{X}\hat{\boldsymbol{\beta}} - \mathbf{Z}_d\hat{\mathbf{a}}_d - \mathbf{Z}_s\hat{\mathbf{a}}_s - \mathbf{Z}_p\hat{\mathbf{p}}_p$ .
- 615 6. Extract the partitions of  $\mathbf{C}$  associated with each effect  $\mathbf{C}^{\beta\beta}, \mathbf{C}^{dd}, \mathbf{C}^{ss}, \mathbf{C}^{ds,ds}, \mathbf{C}^{pp}$  as follows

616 
$$\mathbf{C} = \begin{pmatrix} \mathbf{C}^{\beta\beta} & \mathbf{C}^{\beta d} & \mathbf{C}^{\beta s} & \mathbf{C}^{\beta,ds} & \mathbf{C}^{\beta p} \\ \mathbf{C}^{d\beta} & \mathbf{C}^{dd} & \mathbf{C}^{ds} & \mathbf{C}^{d,ds} & \mathbf{C}^{dp} \\ \mathbf{C}^{s\beta} & \mathbf{C}^{sd} & \mathbf{C}^{ss} & \mathbf{C}^{s,ds} & \mathbf{C}^{sp} \\ \mathbf{C}^{ds,\beta} & \mathbf{C}^{ds,d} & \mathbf{C}^{ds,s} & \mathbf{C}^{ds,ds} & \mathbf{C}^{ds,p} \\ \mathbf{C}^{p,\beta} & \mathbf{C}^{p,d} & \mathbf{C}^{p,s} & \mathbf{C}^{p,ds} & \mathbf{C}^{pp} \end{pmatrix}$$

- 617 7. Calculate the quadratic forms  $\hat{\mathbf{e}}'\hat{\mathbf{e}}, \hat{\mathbf{a}}_d'\mathbf{G}^{-1}\hat{\mathbf{a}}_d, \hat{\mathbf{a}}_s'\mathbf{G}^{-1}\hat{\mathbf{a}}_s, \hat{\mathbf{a}}_d'\mathbf{G}^{-1}\hat{\mathbf{a}}_s, \hat{\mathbf{p}}_p'\hat{\mathbf{p}}_p$ , using the solutions  
618 of MME [4].
- 619 8. Calculate the traces ( $tr$ ) of the quadratic forms,

620  $tr(\mathbf{G}^{-1}\mathbf{C}^{dd})$

621  $tr(\mathbf{G}^{-1}\mathbf{C}^{ss})$

622  $tr(\mathbf{G}^{-1}\mathbf{C}^{ds,ds})$

623  $tr(\mathbf{C}^{pp})$

624  $\mathbf{m}^{[k-1]} = [tr(\mathbf{G}^{-1}\mathbf{C}^{dd})\mathbf{g}^{11} + 2tr(\mathbf{G}^{-1}\mathbf{C}^{ds,ds})\mathbf{g}^{12} + tr(\mathbf{G}^{-1}\mathbf{C}^{ss})\mathbf{g}^{22} + tr(\mathbf{C}^{pp})\hat{\sigma}_p^{-2}]$

625 **9.** Calculate the estimating formulae [A1] to [A5].

626 **10.** Assess convergence after second iteration using the following convergence criterion (tol):

627 
$$\text{tol} = \frac{-2 \log L^k - (-2 \log L^{k-1})}{-2 \log L^{k-1}} \leq 10^{-4}$$

628 where  $\log L^{k-1} = \text{logarithm of the likelihood function in iteration } k - 1$ , and

629  $\log L^k = \text{logarithm of the likelihood function in iteration } k$

630

631 The cycle of iterations ends when the convergence criterion has been reached, otherwise return

632 to step 2 and start a new cycle.

633

634 **B. Calculate information matrix  $\mathbf{I}(\boldsymbol{\theta})$  and Standard Error for the variance components**

635 **estimated with REML-EM algorithm**

636 Let  $\boldsymbol{\theta}$  be the vector of variance components in the mixed linear model [2], the expression given

637 by Harville (1977) for element  $i,j$  of  $\mathbf{I}(\boldsymbol{\theta})$  is equal to:

638 
$$\mathbf{I}_{ij}(\boldsymbol{\theta}) = 0.5 * tr(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \theta_i} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \theta_j}) \quad [\mathbf{B1}]$$

639 Being,

640 
$$\mathbf{V} = \mathbf{Z}_d \mathbf{G} \mathbf{Z}'_d \sigma_d^2 + (\mathbf{Z}_d \mathbf{G} \mathbf{Z}'_s + \mathbf{Z}_s \mathbf{G} \mathbf{Z}'_d) \sigma_{ds} + \mathbf{Z}_s \mathbf{G} \mathbf{Z}'_s \sigma_s^2 + \mathbf{Z}_p \mathbf{Z}'_p \sigma_p^2 + \mathbf{I}_n \sigma_e^2$$

641  $\mathbf{P} = \mathbf{V}^{-1} - \mathbf{V}^{-1}\mathbf{X}(\mathbf{X}'\mathbf{V}^{-1}\mathbf{X})^{-1}\mathbf{X}'\mathbf{V}^{-1}.$

642 Hence,  $\mathbf{I}(\boldsymbol{\theta})$  for model [2] is the  $5 \times 5$  matrix equal to:

643 
$$\mathbf{I}(\boldsymbol{\theta})_{REML} = \begin{bmatrix} \sigma_d^2 \\ \sigma_s^2 \\ \sigma_{ds} \\ \sigma_p^2 \\ \sigma_e^2 \end{bmatrix} =$$

644

645 
$$\frac{1}{2} \begin{bmatrix} \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_d^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_d^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_d^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_s^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_d^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_{ds}}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_d^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_p^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_d^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_e^2}\right) \\ \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_s^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_d^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_s^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_s^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_s^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_{ds}}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_s^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_p^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_s^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_e^2}\right) \\ \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_{ds}} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_d^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_{ds}} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_s^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_{ds}} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_{ds}}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_{ds}} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_p^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_{ds}} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_e^2}\right) \\ \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_p^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_d^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_p^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_s^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_p^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_{ds}}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_p^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_p^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_p^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_e^2}\right) \\ \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_e^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_d^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_e^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_s^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_e^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_{ds}}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_e^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_p^2}\right) & \text{tr}\left(\mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_e^2} \mathbf{P} \frac{\partial \mathbf{V}}{\partial \sigma_e^2}\right) \end{bmatrix}$$

646

647 Second derivatives of  $\mathbf{V}$  with respect to each co-variance component are equal to:

648  $\frac{\partial \mathbf{V}}{\partial \sigma_d^2} = \mathbf{Z}_d \mathbf{G} \mathbf{Z}'_d$

649  $\frac{\partial \mathbf{V}}{\partial \sigma_s^2} = \mathbf{Z}_s \mathbf{G} \mathbf{Z}'_s$

650  $\frac{\partial \mathbf{V}}{\partial \sigma_{ds}} = \mathbf{Z}_d \mathbf{G} \mathbf{Z}'_s + \mathbf{Z}_s \mathbf{G} \mathbf{Z}'_d$

651  $\frac{\partial \mathbf{V}}{\partial \sigma_p^2} = \mathbf{Z}_p \mathbf{Z}'_p$

652  $\frac{\partial \mathbf{V}}{\partial \sigma_e^2} = \mathbf{I}_n$

653 where  $\mathbf{G}$  is the relationship genomic matrix (VanRaden, 2008), and  $\mathbf{I}$  is the identity matrix.

654 The diagonal elements are:

655  $\mathbf{I}_{11}(\boldsymbol{\theta}) = \text{tr}(\mathbf{P} \mathbf{Z}_d \mathbf{G} \mathbf{Z}'_d \mathbf{P} \mathbf{Z}_d \mathbf{G} \mathbf{Z}'_d)$

656  $I_{22}(\boldsymbol{\theta}) = \text{tr}(\mathbf{PZ}_s\mathbf{GZ}'_s\mathbf{PZ}_s\mathbf{GZ}'_s)$

657  $I_{33}(\boldsymbol{\theta}) = \text{tr}[\mathbf{P}(\mathbf{Z}_d\mathbf{GZ}'_s + \mathbf{Z}_s\mathbf{GZ}'_d)\mathbf{P}(\mathbf{Z}_d\mathbf{GZ}'_s + \mathbf{Z}_s\mathbf{GZ}'_d)]$  [B2]

658  $I_{44}(\boldsymbol{\theta}) = \text{tr}(\mathbf{PZ}_p\mathbf{Z}'_p\mathbf{PZ}_p\mathbf{Z}'_p)$

659  $I_{55}(\boldsymbol{\theta}) = \text{tr}(\mathbf{P}\mathbf{P})$

660 And off-diagonal elements of  $\mathbf{I}(\boldsymbol{\theta})$  are equal to:

661  $I_{12}(\boldsymbol{\theta}) = \text{tr}(\mathbf{PZ}_d\mathbf{GZ}'_d\mathbf{PZ}_s\mathbf{GZ}'_s)$

662  $I_{13}(\boldsymbol{\theta}) = \text{tr}[\mathbf{P}(\mathbf{Z}_d\mathbf{GZ}'_d)\mathbf{P}(\mathbf{Z}_d\mathbf{GZ}'_s + \mathbf{Z}_s\mathbf{GZ}'_d)]$

663  $I_{14}(\boldsymbol{\theta}) = \text{tr}(\mathbf{PZ}_d\mathbf{GZ}'_d\mathbf{PZ}_p\mathbf{Z}'_p)$

664  $I_{15}(\boldsymbol{\theta}) = \text{tr}(\mathbf{PZ}_d\mathbf{GZ}'_d\mathbf{P})$

665  $I_{23}(\boldsymbol{\theta}) = \text{tr}[\mathbf{P}(\mathbf{Z}_s\mathbf{GZ}'_s)\mathbf{P}(\mathbf{Z}_d\mathbf{GZ}'_s + \mathbf{Z}_s\mathbf{GZ}'_d)]$  [B3]

666  $I_{24}(\boldsymbol{\theta}) = \text{tr}(\mathbf{PZ}_s\mathbf{GZ}'_s\mathbf{PZ}_p\mathbf{Z}'_p)$

667  $I_{25}(\boldsymbol{\theta}) = \text{tr}(\mathbf{PZ}_s\mathbf{GZ}'_s\mathbf{P})$

668  $I_{34}(\boldsymbol{\theta}) = \text{tr}[\mathbf{P}(\mathbf{Z}_d\mathbf{GZ}'_s + \mathbf{Z}_s\mathbf{GZ}'_d)\mathbf{PZ}_p\mathbf{Z}'_p]$

669  $I_{35}(\boldsymbol{\theta}) = \text{tr}[\mathbf{P}(\mathbf{Z}_d\mathbf{GZ}'_s + \mathbf{Z}_s\mathbf{GZ}'_d)\mathbf{P}]$

670  $I_{45}(\boldsymbol{\theta}) = \text{tr}(\mathbf{PZ}_p\mathbf{Z}'_p\mathbf{P})$

671 To compute the elements of  $\mathbf{I}(\boldsymbol{\theta})$  we proceeded as follows:

- 672 1. Calculate matrices:  $\mathbf{V}^{-1}, \mathbf{X}, \mathbf{Z}_s, \mathbf{Z}_p$
- 673 2. Calculate  $\mathbf{P}$  as  $\mathbf{P} = \mathbf{V}^{-1} - \mathbf{V}^{-1}\mathbf{X}(\mathbf{X}'\mathbf{V}^{-1}\mathbf{X})^{-1}\mathbf{X}'\mathbf{V}^{-1}$
- 674 3. Compute all elements of  $\mathbf{I}(\boldsymbol{\theta})$  using [B2] and [B3].
- 675 4. Compute the inverse of  $\mathbf{I}(\boldsymbol{\theta})$ .
- 676 5. Extract the diagonal elements of  $[\mathbf{I}(\boldsymbol{\theta})]^{-1}$

677 6. Calculate the Standard errors for the (co)variance components as  $\sqrt{[I(\theta)]_{i,i}^{-1}}$ .

678 **APPENDIX: 2**

679

680 **Bivariate analyses between the lesion count traits in different region of body (anterior,**  
681 **central, caudal) and aggressive behavioral traits**

682

683 Bivariate genomic BLUP models, were used for estimating the heritability and genetic and  
684 phenotypic correlations between the lesion count traits recorded 24 h post-mixing in different  
685 regions of the body (anterior, central, caudal) and aggressive behavioral traits, measured as the  
686 total interaction times between individuals. The analysis was performed as preliminary to compare  
687 results from our population with those from previous studies.

688 ***A. Aggressive Behaviors Traits.***

689 The aggressive behavior traits were defined according to directionality of interaction, therefore  
690 for the behaviors Attack, Single Bite and Reciprocal Fight, the following two response variables  
691 were measured:

692 *Time Received Attacks:* is total time in seconds, which the individual received attacks (summed  
693 over all group mates that delivered attacks).

694 *Time in Reciprocal Fight:* is the total time in seconds that an individual was involved in  
695 reciprocal fights (summed over all animals sharing the same group as the animal in question).

696 A fixed effects linear model with Sex and Replicate as predictor variables were fit to the data,  
697 to assess whether data followed a normal distribution, whether observations were independent and  
698 whether the variance was constant. The Box-Cox transformation was employed (Box and Cox,

699 1964) to attain normality of the response variables. Some variables were transformed according to  
 700  $\mathbf{z} = \text{Log}_{10}(\mathbf{y} + 1)$ , with  $\mathbf{y}$  the total time in seconds for each trait of aggressive behavior.

701 **B. The Model**

702 A bivariate model was fitted to the data. The first trait corresponds to lesion counts in each  
 703 region of the body (anterior, central, caudal), whereas the second trait that entered the model was  
 704 a component of aggressive behavior (time received attacks, time reciprocal fight). The model  
 705 included fixed effects of sex (barrow, gilt), replicate (7 levels) and weight (as a covariate). Random  
 706 effects were the breeding values and pen effects. In matrix notation the model equation is as  
 707 follows:

$$708 \begin{bmatrix} \mathbf{y}_1 \\ \mathbf{y}_2 \end{bmatrix} = \begin{bmatrix} \mathbf{X}_1 & \mathbf{0} \\ \mathbf{0} & \mathbf{X}_2 \end{bmatrix} \begin{bmatrix} \boldsymbol{\beta}_1 \\ \boldsymbol{\beta}_2 \end{bmatrix} + \begin{bmatrix} \mathbf{Z}_1 & \mathbf{0} \\ \mathbf{0} & \mathbf{Z}_2 \end{bmatrix} \begin{bmatrix} \mathbf{a}_1 \\ \mathbf{a}_2 \end{bmatrix} + \begin{bmatrix} \mathbf{Z}_{p1} & \mathbf{0} \\ \mathbf{0} & \mathbf{Z}_{p2} \end{bmatrix} \begin{bmatrix} \mathbf{p}_1 \\ \mathbf{p}_2 \end{bmatrix} + \begin{bmatrix} \mathbf{e}_1 \\ \mathbf{e}_2 \end{bmatrix}$$

709 In the above expression  $\mathbf{y}_1$  is the vector of log-transformed lesion count in each region of the  
 710 body and  $\mathbf{y}_2$  is the aggressive behavior trait,  $\mathbf{X}_1$  and  $\mathbf{X}_2$  are the design matrices that relates to the  
 711 vectors  $\mathbf{y}_1, \mathbf{y}_2$  with the fixed effects vectors  $\boldsymbol{\beta}_1$  and  $\boldsymbol{\beta}_2$ . The incidence matrices  $\mathbf{Z}_1$  and  $\mathbf{Z}_2$  relate  
 712 phenotypic observations in  $\mathbf{y}_1, \mathbf{y}_2$  to the random vectors of breeding values  $\mathbf{a}_1$  and  $\mathbf{a}_2$ ,  
 713 respectively. Matrices  $\mathbf{Z}_{p1}, \mathbf{Z}_{p2}$  are the incidence matrices relating the random vectors of pen  
 714 effects  $\mathbf{p}_1, \mathbf{p}_2$  with the observations. Finally,  $\mathbf{e}_1$  and  $\mathbf{e}_2$  are the error vectors.

715 As all animals have phenotypes for both, lesions count and aggressive behavior, all their  
 716 breeding values for both traits are included in  $(\mathbf{a}'_1 | \mathbf{a}'_2) = \mathbf{a}$ . The latter vector has zero expectation  
 717 and its covariance matrix is equal to

$$718 \text{Var} \begin{bmatrix} \mathbf{a}_1 \\ \mathbf{a}_2 \end{bmatrix} = \begin{bmatrix} \sigma_{u_1}^2 \mathbf{G} & \sigma_{u_1 u_2} \mathbf{G} \\ \sigma_{u_1 u_2} \mathbf{G} & \sigma_{u_2}^2 \mathbf{G} \end{bmatrix} = \mathbf{G}_0 \otimes \mathbf{G}$$

719 The scalar  $\sigma_{u_1 u_2}$  is the covariance between traits,  $\sigma_{u_1}^2, \sigma_{u_2}^2$  are the additive variance of each  
 720 trait and  $\mathbf{G}$  (order  $q \times q$ ) is the genomic relationship matrix (VanRaden, 2008).

721 Let  $\mathbf{p} = (\mathbf{p}'_1 | \mathbf{p}'_2)$  be the random vector for pen effects from both traits, assumed with  
722 expected value zero and covariance matrix equal to:

$$723 \quad \mathit{Var} \begin{bmatrix} \mathbf{p}_1 \\ \mathbf{p}_2 \end{bmatrix} = \begin{bmatrix} \sigma_{p_1}^2 \mathbf{I} & \sigma_{p_1 p_2} \mathbf{I} \\ \sigma_{p_1 p_2} \mathbf{I} & \sigma_{p_2}^2 \mathbf{I} \end{bmatrix} = \Sigma \otimes \mathbf{I}$$

724 The parameters  $\sigma_{p_1}^2$  and  $\sigma_{p_2}^2$  are pen variances for lesion count and aggressive behavior,  
725 and  $\sigma_{p_1 p_2}$  is the covariance between both traits.

726 The expected value of error terms  $\mathbf{e} = (\mathbf{e}'_1 | \mathbf{e}'_2)$  is the zero vector, and the covariance  
727 matrix is equal to

$$728 \quad \mathit{Var} \begin{bmatrix} \mathbf{e}_1 \\ \mathbf{e}_2 \end{bmatrix} = \begin{bmatrix} \sigma_{e_1}^2 \mathbf{I} & \mathbf{0} \\ \mathbf{0} & \sigma_{e_2}^2 \mathbf{I} \end{bmatrix} = \mathbf{R} \otimes \mathbf{I}$$

729 Again,  $\sigma_{e_1}^2$  and  $\sigma_{e_2}^2$  are the error variances for each trait, and the vectors  $\mathbf{a}$  and  $\mathbf{e}$  are  
730 independent and normally distributed.