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1 Genetic dissection of complex behaviour traits in German Shepherd dogs

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## 22 **Abstract**

23 A favourable genetic structure and diversity of behavioural features highlights the  
24 potential of dogs for studying the genetic architecture of behaviour traits. However,  
25 behaviours are complex traits, which have been shown to be influenced by  
26 numerous genetic and non-genetic factors, complicating their analysis. In this  
27 study, the genetic contribution to behaviour variation in German Shepherd dogs  
28 (GSDs) was analysed using genomic approaches. GSDs were phenotyped for  
29 behaviour traits using the established Canine Behavioral Assessment and Research  
30 Questionnaire (C-BARQ). Genome-wide association study (GWAS) and regional  
31 heritability mapping (RHM) approaches were employed to identify associations  
32 between behaviour traits and genetic variants, while accounting for relevant non-  
33 genetic factors. By combining these complementary methods we endeavoured to  
34 increase the power to detect loci with small effects. Several behavioural traits  
35 exhibited moderate heritabilities, with the highest identified for Human-directed  
36 playfulness, a trait characterised by positive interactions with humans. We  
37 identified several genomic regions associated with one or more of the analysed  
38 behaviour traits. Some candidate genes located in these regions were previously  
39 linked to behavioural disorders in humans, suggesting a new context for their  
40 influence on behaviour characteristics. Overall, the results support dogs as a  
41 valuable resource to dissect the genetic architecture of behaviour traits and also  
42 highlight the value of focusing on a single breed in order to control for background  
43 genetic effects and thus avoid limitations of between-breed analyses.

44 **Keywords:** GWAS, regional heritability mapping, C-BARQ

## 45 **Introduction**

46 The dog (*Canis familiaris*) is a useful animal model for identifying the genetic  
47 basis of various phenotypes (Boyko, 2011; Schoenebeck and Ostrander, 2014) due  
48 to its favourable genetic structure, characterised by a high linkage disequilibrium  
49 and shared haplotypes across breeds (Karlsson et al., 2007; reviewed in Hall and  
50 Wynne, 2012). Behavioural traits of dogs have also been shown to have a genetic  
51 component, supported by significant within-breed genetic variance (Ilska et al.,  
52 2017), pronounced differences in behavioural characteristics between dog breeds  
53 (Mehrkam and Wynne, 2014; Eken Asp et al., 2015) and Belyaev’s famous  
54 “Farmed Fox” experiment in which silver foxes (close relatives of dogs) were  
55 successfully selected over several generations for increased and decreased  
56 tameness (Kukekova et al., 2012). Thus, the dog may also be a useful model for  
57 characterising the genetic architecture of behaviour and has already been used to  
58 gain insights into the genetic mechanisms underlying conditions that are also  
59 relevant in humans, such as obsessive-compulsive disorder (Dodman et al., 2010;  
60 Tang et al., 2014). In addition to such disorders, dogs may provide unique insights  
61 into the genetic basis of complex and general behaviour characteristics, including  
62 personality traits (Hall and Wynne, 2012).

63 There are also practical concerns for studying the genetic contribution to behaviour  
64 variation in dogs. As the first domesticated species, dogs are still employed in  
65 many roles such as herding, hunting, military and police work and serving as guide  
66 dogs, but foremost, the special social bond that developed between humans and  
67 dogs has led to the dog’s popularity as a companion animal. Although dogs show  
68 tameness and strong attachment to humans in contrast to their wild ancestors,

69 unwanted behaviours (e.g. excessive aggression, separation anxiety) still occur that  
70 affect the welfare of dogs, owners and the public (Rooney and Bradshaw, 2014;  
71 Casey et al., 2014; Roth et al., 2016). Numerous studies have been performed with  
72 the aim of identifying non-genetic risk factors for the occurrence of unwanted  
73 behaviours, such as living conditions and demographic factors (Haverbeke et al.,  
74 2008; Blackwell et al., 2008; Rooney and Cowan, 2011; McGreevy et al., 2013;  
75 Deldalle and Gaunet, 2014; Tiira and Lohi, 2015; Serpell and Duffy, 2016) but few  
76 studies have considered the role of genetic factors in the management of problem  
77 behaviours. A better understanding of the genetic basis of dog behaviour may also  
78 inform breeding programs for working dogs, e.g. guide dogs (Goddard and  
79 Beilharz, 1982).

80 This study aims to gain general insights into the genetic architecture of behaviour  
81 variation using German Shepherd dogs (GSDs). The GSDs in this study represent  
82 unique samples of pet dogs from the United Kingdom (UK) and from a breeding  
83 program of the Swedish Armed Forces (SAF) specifically selected for behaviour  
84 traits. By focusing on a single breed and controlling for background genetic  
85 structure that might be a consequence of analysing two populations, while also  
86 accounting for relevant environmental factors, the limitations of between-breed  
87 analyses and confounding with non-genetic effects were minimized. Moreover,  
88 different genetic approaches were applied to explore the complex nature of  
89 behaviour traits. In addition to employing a genome-wide association study  
90 (GWAS) approach based on single SNPs, a regional heritability mapping (RHM)  
91 approach was also conducted, which has been shown to perform better in the  
92 identification of multiple quantitative trait loci (QTL) with small effects (Nagamine

93 et al., 2012). Our results highlight the complex and polygenic nature of behaviour  
94 traits and we also demonstrate that the dog is a valuable resource to study the  
95 genetic architecture of behaviour.

## 96 **Material and Methods**

### 97 **Samples and phenotypes**

98 Data on GSD behaviour and management was assessed using the Canine  
99 Behaviour and Research Questionnaire (C-BARQ) (Hsu and Serpell, 2003) and a  
100 lifestyle survey (Friedrich et al., 2018). The C-BARQ consists of 101 questions  
101 related to training and obedience, aggression, fear and anxiety, separation-related  
102 behaviour, excitability, attachment and attention seeking, and miscellaneous  
103 behaviours. The original C-BARQ was extended by 15 questions that assess the  
104 dog's playfulness (Svartberg, 2005; Arvelius, Asp, et al., 2014) and 21 of the  
105 miscellaneous C-BARQ questions were removed due to a lack of variability  
106 (Arvelius, Asp, et al., 2014), leading to 95 final questions.

107 The lifestyle survey consists of questions concerning demographic factors of the  
108 dog (e.g., sex, neuter status, age), its living situation (number of children, adults  
109 and other animals living with the dog, where the dog is housed) and its current and  
110 past management (puppy socialisation, exercise and stimulation, training,  
111 activities).

112 Owners of registered UK GSDs that were at least two years old were invited to  
113 participate in the study via email by the UK Kennel Club (KC). Participating GSDs  
114 from the UK cohort were primarily pet dogs. All GSDs from the Swedish cohort  
115 were bred within the breeding program of the SAF. After a behaviour test at the

116 age of 15-18 months, dogs started training for working with the SAF, Swedish  
117 Police or other authorities or companies, and/or were selected as breeding animals,  
118 whereas others were kept as companions (Wilsson and Sinn, 2012). For the  
119 Swedish cohort, owners, trainers or handlers of GSDs bred within the breeding  
120 program of the SAF that were at least two years old were invited via email or letter  
121 to participate in the study.

122 Behaviour data and demographic and management factors were available for 1,041  
123 GSDs from the UK and Sweden (UK=426, Sweden=615). To calculate the  
124 behaviour traits, a principal component analysis (PCA) was applied to the data to  
125 condense the 95 questions to a smaller number of components (described in  
126 Friedrich et al., 2018). Briefly, several procedures (Cattell's scree-test, Horn's  
127 Parallel test and the Very Simple Structure (VSS) criterion) were applied and  
128 implemented using the R package 'psych' to identify the optimal number of  
129 components that capture the important information (Abdi and Williams, 2010),  
130 which gave a value of 15 for all tests. The PCA was then run for 15 principal  
131 components, followed by a varimax (orthogonal) rotation (for more information  
132 see Abdi and Williams, 2010). Missing values in the data set were replaced by the  
133 median value. The dogs' scores for the 15 components were considered as  
134 quantitative behaviour traits in the subsequent analyses.

135 These 15 traits describe fearful, aggressive and playful behaviours in response to  
136 humans or dogs, separation anxiety, attachment and excitability, chasing, touch-  
137 sensitivity and obedience (Friedrich et al., 2018). After correcting for fixed effects  
138 (see below), the distribution of residuals for two behavioural traits, Aversion of  
139 being stepped over and Resource guarding, were significantly skewed due to dogs

140 with extreme values. A Shapiro-Wilk test of normality revealed the highest  
141 deviations from a normal distribution for the residuals of these traits and therefore  
142 these traits were not considered for the following analyses, leaving 13 traits for  
143 further analysis. An overview of the 13 behaviour traits (principal components)  
144 used in the subsequent analyses is given in the supplement (S1 Table).

### 145 **Determination of non-genetic effects**

146 Demographic and management factors were assessed with the lifestyle survey as  
147 described previously (Friedrich et al., 2018). Briefly, 28 factors were fitted in an  
148 initial linear model for each behaviour trait. Backward elimination was then  
149 applied to identify the model with the lowest Akaike information criterion (final  
150 model). These behaviour-specific final models were used in the subsequent  
151 analyses (S2 Table).

### 152 **Genotyping and quality control**

153 DNA was extracted for 768 dogs from saliva samples collected with Performagene  
154 PG-100 swabs (UK cohort) or blood samples (Swedish cohort) using standard  
155 protocols. The genotyping was performed using the Illumina CanineHD Whole-  
156 Genome Genotyping BeadChip featuring 172 115 SNPs. When a filter for a sample  
157 call rate of > 90% was applied, 745 dogs passed the genotyping quality control.  
158 The data set was then checked using sex and relationship information estimated  
159 from the genotype data to identify potential sampling errors and 4 further samples  
160 were removed. The final data set included 741 dogs (UK=324, Sweden=417) with  
161 sex ratios of 0.8 and 0.7 (# males: # females) for UK and Swedish dogs,  
162 respectively. SNPs were filtered in GenomeStudio software (Illumina Inc., San



163 Diego) for call rate > 98%, reproducibility (GTS) > 0.6 and signal intensity,  
164 characterised by AB R mean (mean normalized intensity of the AB cluster) > 0.3.  
165 Using PLINK version 1.9 (Purcell and Chang; Chang et al., 2015), SNPs were also  
166 filtered for minor allele frequency (MAF) > 0.05 and lack of evidence for  
167 deviations from Hardy-Weinberg equilibrium (Bonferroni-corrected p-value of  
168  $0.05 = 4.5 \times 10^{-7}$ ). Due to allelic imbalance that can cause bias in association  
169 studies (discussed in Wise et al., 2013), SNPs on the X chromosome were  
170 removed. The final set included 78 088 autosomal SNPs.

### 171 **Pedigree and population structure**

172 Although the GSDs in this study were from two different countries, there were  
173 shared pedigree links. Thus, the UK and Swedish pedigrees were merged into a  
174 joint pedigree including both cohorts. To identify underlying population structure  
175 in the genomic data, a PCA was performed. To account for linkage disequilibrium  
176 between SNPs, a pruned SNP data set was used as input for the PCA, as  
177 recommended by PLINK version 1.9 (Purcell and Chang; Chang et al., 2015).  
178 Genotype pruning on the filtered data set (78 088 SNPs) was performed using  
179 PLINK version 1.9 (Purcell and Chang; Chang et al., 2015) based on the variance  
180 inflation factor, a function of the multiple correlation coefficient of a given SNP  
181 regressed on all other SNPs within a window (using default parameters: window  
182 size = 50 SNPs, the number of SNPs to shift the window at each step = 5, the  
183 variance inflation factor threshold = 2), leaving 9 180 SNPs as input for the PCA.  
184 The PCA was subsequently carried out in PLINK version 1.9 (Purcell and Chang;  
185 Chang et al., 2015).

186 **Estimation of heritability**

187 The heritability ( $h^2$ ) was estimated using pedigree and genotype data (the filtered  
188 data set of 78 088 SNPs). For the pedigree-based estimates, all GSDs with  
189 behaviour records ( $n = 1\ 041$ ) were used and the joint pedigree for the phenotyped  
190 dogs comprised 24 284 dogs. Heritability was estimated in ASReml (Gilmour et  
191 al., 2009) and GCTA (Yang et al., 2011) for pedigree- and genotype-based  
192 approaches, respectively, by fitting the following model:

193 
$$y = 1\mu + Xb + Za + \varepsilon \quad (1)$$

194 where  $y$  is a vector of behaviour traits,  $\mu$  is the overall mean,  $b$  is a vector of fixed  
195 effects with  $X$  as the corresponding incidence matrix,  $Z$  is the incidence matrix for  
196 the random additive polygenic effect,  $a$  is a vector of random additive polygenic  
197 effects distributed as  $MVN(0, \sigma_a^2 A)$  and  $MVN(0, \sigma_a^2 G)$  for the pedigree- and  
198 genotype-based estimates, respectively, where  $A$  is the pedigree-based relationship  
199 matrix and  $G$  is the genomic relationship matrix.  $\varepsilon$  is a vector of residual errors  
200 distributed as  $MVN(0, \sigma_e^2 I)$ , where  $I$  is an identity matrix. The fixed effects include  
201 the demographic and management factors that were detected to best predict the  
202 behaviour trait (S2 Table). Dogs for which one or more fixed effects were missing  
203 were removed from the analysis, such that the number of GSDs included in the  
204 analysis varied across behaviour traits (range of 906 to 1 038 and 638 to 729 for  
205 pedigree-based and genotype-based estimations, respectively) (Table 1).

206 The significance of pedigree-based  $h^2$  was tested using a log-likelihood ratio test  
207 (LRT) in ASReml (Gilmour et al., 2009), comparing the log-likelihood ratio  
208 statistic to a  $\chi^2$  (d.f.=1) for  $p < 0.05$ . The significance of genotype-based estimates

209 was defined by p-values < 0.05 from the LRT within the genome-based restricted  
210 maximum likelihood (GREML) analysis performed in GCTA (Yang et al., 2011).

### 211 **Genome-wide association study (GWAS)**

212 A GWAS was performed on the filtered data set of 78 088 SNPs to identify  
213 associations between SNPs and behaviour traits based on an additive model. To  
214 account for population structure, models with different combinations of factors  
215 (cohort as fixed effect, genotype-derived principal components 1 and 2 as  
216 covariates, genomic relationship matrix as random effect) were evaluated. Fitting  
217 only the cohort and the relationship matrix performed best, as assessed by the  
218 genomic inflation factor ( $\lambda$ ) (i.e. closest to 1.0). The following linear model was  
219 fitted in GEMMA (Zhou and Stephens, 2012):

$$220 \quad y = \mathbf{1}\mu + Xb + c\beta + Za + \varepsilon \quad (2)$$

221 where  $y$  is a vector of behaviour traits,  $\mu$  is the overall mean,  $b$  is a vector of fixed  
222 effects with  $X$  as the corresponding incidence matrix,  $c$  is a vector of marker  
223 genotypes (alleles coded as 0/1) with  $\beta$  as the vector of regression coefficients of  
224 the phenotype on the marker genotypes,  $Z$  is the incidence matrix for the random  
225 additive polygenic effect,  $a$  is a vector of random additive polygenic effects with  
226  $MVN(0, \sigma_a^2 G)$ , where  $G$  is the genomic relationship matrix, and  $\varepsilon$  is a vector of  
227 residual errors with  $MVN(0, \sigma_e^2 I)$ , where  $I$  is an identity matrix. The fixed effects  
228 comprise the demographic and management factors obtained in the individual final  
229 models (S2 Table).

230 A conservative Bonferroni correction was applied to determine genome-wide  
231 significance ( $P < \frac{0.05}{78\,088}$ ; 6.4E-07) and suggestive (allowing one false positive per  
232 genome scan:  $P < \frac{1}{78\,088}$ ; 1.3E-05) (Riggio et al., 2013) thresholds that account for  
233 the multiple testing resulting from the large number of markers but not for multiple  
234 behaviour traits.

### 235 **Regional heritability mapping (RHM)**

236 Genomic regions were also tested for association with behaviour traits. This was  
237 carried out by scanning windows across the whole genome using RHM, performed  
238 in REACTA (Gray et al., 2012). This approach used the model described by  
239 Nagamine et al. (2012) where two genetic effects are fitted: the first representing  
240 the overall genetic effects (modelled with an overall genomic relationship matrix  
241 calculated using all SNPs across the genome) and the second genetic effect  
242 representing the effect associated with the specific region of the genome being  
243 tested (modelled with a regional genomic relationship matrix calculated using only  
244 SNPs from this region). The SNPs used for the regional relationship matrix were  
245 excluded from the overall genomic relationship matrix (Cebamanos et al., 2014).  
246 REACTA (Gray et al., 2012) uses a sliding window approach and we used a fixed  
247 window size of 50 SNPs with overlaps of 25 SNPs. The window size of 50 SNPs  
248 was chosen as a compromise between power to detect associations and  
249 computational demands (Uemoto et al., 2013).

250 Using these parameters resulted in 3 124 regions under analysis; to correct for  
251 multiple testing, a Bonferroni correction was applied to genome-wide significance  
252 ( $P < \frac{0.05}{3\ 124}$ ; 1.6E-05) and suggestive ( $P < \frac{1}{3\ 124}$ ; 3.1E-04) thresholds.

### 253 **Analysis of candidate genes and regions**

254 The coordinates of identified SNPs and regions were mapped to the CanFam3.1  
255 assembly to identify (I) genes harbouring or near identified SNPs (GWAS) and (II)  
256 genes located within identified regions (RHM). Regarding (I): to determine the size  
257 of the region around identified SNPs that should be scanned for candidate genes,  
258 the squared correlation ( $r^2$ ) between all pairs of SNPs within 10Mb were calculated  
259 across the genome using PLINK version 1.9 (Purcell and Chang; Chang et al.,  
260 2015). The average  $r^2$  was calculated for bins of increasing distance between SNPs  
261 to identify the distance around SNPs at which average  $r^2$  drops below 0.5. The  
262 longest bin for which average  $r^2 > 0.5$  was 200 kb and thus this distance was chosen  
263 as the region around associated SNPs to be investigated. Regarding (II), the GWAS  
264 results,  $-\log_{10}(P)$ , were plotted within the regions identified by RHM to identify  
265 positional candidate genes. The pairwise  $r^2$  was calculated between all SNPs in the  
266 region and the SNP with highest  $-\log(P)$  value to describe the pattern of linkage for  
267 the region, using PLINK version 1.9 (Purcell and Chang; Chang et al., 2015) as  
268 described above. The regional associations plots were created using an R script  
269 modified from that of Saxena et al. (2007).

270 All genes within the regions described above (I and II) were submitted to Enrichr  
271 (Chen et al., 2013; Kuleshov et al., 2016) to identify enriched biological processes.

## 272 **Results**

### 273 **Population structure**

274 We explored the underlying population structure in the two GSD cohorts by  
275 applying a PCA to the genomic data. The variance in the genomic data explained  
276 by the first three principal components was 2.18%, 1.68% and 1.22%, respectively,  
277 and 66.96% of the variance was explained by all components with eigenvalue > 1.  
278 Plotting the first two components of the PCA (S3 Figure) shows population  
279 structure by cohort by a clear separation of UK and Swedish dogs based on the first  
280 principal component. However, some GSDs overlapped between the cohorts,  
281 showing shared ancestry. In contrast to the cohort effect, there were no distinct  
282 patterns observable for eigenvectors PC1 and PC2 when considering the GSDs  
283 according to their function or coat colour.

### 284 **Heritabilities**

285 Heritability estimates for the 13 behaviour traits were calculated using pedigree  
286 and genomic data. Moderate and significant  $h^2$  were found for Human-directed  
287 playfulness and Non-social fear using pedigree and genomic approaches, while  
288 Stranger-directed interest was only significant for pedigree-based estimates and  
289 Chasing only for genomic estimates (Table 1). The highest  $h^2$  were calculated for  
290 Human-directed playfulness using pedigree data ( $0.23 \pm 0.08$ ) and for Non-social  
291 fear using genotype data ( $0.16 \pm 0.06$ ). Non-significant heritabilities were  
292 estimated for Stranger-directed fear, Excitability, Attachment/ Attention seeking,  
293 Dog-directed fear and Touch-sensitivity using estimates from pedigree and  
294 genomic data.

295 **Association mapping**

296 Genome-wide association studies (GWAS) and a regional heritability mapping  
297 (RHM) were performed as complementary approaches to identify associations  
298 between genetic markers and the 13 behaviour traits (Figure 1). The average  
299 genomic inflation for GWAS across the 13 behaviour traits was 0.99 (ranging from  
300 0.89 to 1.06), showing that population stratification was adequately controlled (S4  
301 Figure). In the GWAS, a total of 15 SNPs were found with a suggestive association  
302 to one of the analysed behaviour traits and two of these also showed a genome-  
303 wide significant association ( $P < 6.4E-07$ ) (Table 2).

304 The identified SNPs were distributed over 7 of the 38 canine autosomes, with the  
305 largest numbers on CFA33 (5) for Attachment/Attention seeking, 31 (3) for Dog-  
306 directed fear and 14 (3) for Stranger-directed interest. The genome-wide  
307 associations were found for Attachment/Attention seeking (2 adjacent SNPs on  
308 CFA33). The greatest number of suggestive SNPs were found for Attachment/  
309 Attention seeking (6), Stranger-directed interest (3) and Dog-directed fear (3).

310 The RHM analysis was performed by testing for associations between 50-SNP  
311 sliding windows across the genome (with a 25-SNP overlap between consecutive  
312 windows) (Figure 1). Scanning the genome for regions associated with the 13  
313 behaviour traits based on the suggestive threshold, we identified 16 regions  
314 associated with at least one of the behaviour traits (Table 3). One region on CFA33  
315 associated with Attachment/Attention seeking showed genome-wide significance  
316 and also harbours the only SNPs with genome-wide significance in the GWAS.  
317 The average size of the identified regions was 1.31 Mb (range: 0.89-2.63 Mb).

318 Most of the SNPs identified by the GWAS overlapped with regions identified by  
319 the RHM (Table 2; Table 3; Figure 1), only the SNPs found on CFA10 and CFA17  
320 for Dog-directed aggression and on CFA31 for Dog-directed fear were exclusive to  
321 the GWAS approach. Exclusive peaks were also found with the RHM approach,  
322 for example on CFA1 for Separation-anxiety, on CFA3 for Chasing, and on CFA19  
323 for Excitability.

### 324 **Candidate genes and regions**

325 According to the annotation of CanFam3.1, four of the SNPs identified by the  
326 GWAS were located within three genes (*ARNT*, *PLCH1* and *BRWD1*) and 30 genes  
327 were located within 200 kb of suggestive or genome-wide significant SNPs (Table  
328 2). The two SNPs on CFA33 with genome-wide significance for  
329 Attachment/Attention seeking are located approximately 63 kb downstream of an  
330 unannotated protein-coding gene (*ENSCAFG00000009706*). Gene ontology analysis  
331 of the 30 genes revealed that the top enriched biological processes are  
332 “polyphosphate metabolic process” (GO: 0006797; adjusted p-value = 0.009),  
333 “negative regulation of axon regeneration” (GO: 0048681; adjusted p-value = 0.12)  
334 and “regulation of hormone biosynthetic process” (GO: 0046885; adjusted p-value  
335 = 0.12).

336 To further investigate regions identified by the RHM analysis,  $-\log(P)$  values  
337 obtained from the GWAS, gene annotations and local linkage disequilibrium  
338 patterns were plotted for these regions to pinpoint the most likely location of  
339 positional candidate genes (S5 Figure). Overlapping regions, due to the sliding  
340 window approach of the RHM analysis, were combined. There were 60 genes



341 located in these regions (Table 3); of these, several functional candidate genes  
342 (*LRRN3*, *KCNAB1* and *BRWD1*) were also located near (S5 Figure) or at (Table 2)  
343 SNPs identified by GWAS. Two other functional candidate genes (*HIVEP2* and  
344 *AIG1*) were located in identified regions but the  $-\log(P)$  values for nearby SNPs  
345 obtained in the GWAS did not exceed the suggestive threshold (S5 Figure). The  
346 region on CFA33 with genome-wide significance for Attachment/Attention seeking  
347 comprised three unannotated protein-coding genes (*ENSCAFG00000009682*,  
348 *ENSCAFG00000009697* and *ENSCAFG00000009706*).

349 According to the gene ontology analysis, the GO biological processes significantly  
350 enriched by genes located in identified regions (Table 3) are “histidine catabolic  
351 process” (GO: 0006548; adjusted p-value = 0.013), “histidine metabolic process”  
352 (GO: 0006547; adjusted p-value = 0.013) and “imidazole-containing compound  
353 catabolic process” (GO: 0052805; adjusted p-value = 0.013).

## 354 **Discussion**

355 Dogs express diverse behaviour phenotypes, some of which appear to be related to  
356 traits of other species (including humans), making them useful models for general  
357 insights into the genetic architecture of behaviour. However, behaviours are  
358 complex traits, which have been shown to be influenced by numerous non-genetic  
359 (environmental) factors and genetic variants of low to moderate effect (Flint,  
360 2003), which complicates their analysis and the identification of underlying genes  
361 and mechanisms. In this study, we analysed the influence of genetic factors on  
362 behaviour traits of German Shepherd dogs using multiple genomic approaches,

363 while accounting for various non-genetic factors, with the aims of characterising  
364 the general genetic architecture of behaviour and identifying candidate genes.

### 365 **The genetic contribution to behaviour variation**

366 The heritabilities estimated for the 13 behaviour traits using pedigree and genomic  
367 approaches ranged from 0 to 0.23. These measures for  $h^2$  are within the range of  
368 most previously observed values in dogs (Saetre et al., 2006; Arvelius, Strandberg,  
369 et al., 2014; Iiska et al., 2017), while a few studies reported higher  $h^2$  for similar  
370 behaviour traits (Ruefenacht et al., 2002; van der Waaij et al., 2008). Discrepancies  
371 between observed  $h^2$  for dog behaviour traits across studies can be explained by the  
372 different behaviour phenotypes used, e.g. whether the behaviour was subjectively  
373 scored or actually measured and whether the behaviour was recorded in everyday  
374 life or in test situations, and also by differences between breeds (due to different  
375 population histories).

376 From other species it is known that specific behaviour patterns contributing to the  
377 fitness of an individual, such as courtship or feeding, are under stronger genetic  
378 control than behaviours with apparently less evolutionary relevance like  
379 personality traits (York, 2018). In this study, behaviour traits with substantial  $h^2$   
380 were Human-directed playfulness, Non-social fear, Stranger-directed interest and  
381 Chasing. The observation of the highest  $h^2$  across traits for Human-directed  
382 playfulness has been also made in a genetic study of 14 different dog breeds (Asp  
383 et al., 2014). While many other studies on the genetic background of dog behaviour  
384 focused on human-directed aggression (Liinamo et al., 2007; Våge et al., 2010;  
385 Zapata et al., 2016), we included traits of playful interactions in our analysis since  
386 playfulness in regard to humans has been shown to explain a large proportion of

387 the variance between individuals in the analysis of multiple dog breeds (Svartberg,  
388 2005). In particular, Human-directed playfulness and Stranger-directed interest  
389 describe boldness and attachment to humans and our results indicate that these  
390 behaviour characteristics might be directly targeted by selection for tameness and  
391 human-attachment in dogs. Specifically regarding GSDs, although the SAF do not  
392 use C-BARQ for their selection programme, a previous study showed significant  
393 associations between success in a temperament test assessing dogs for further  
394 training and C-BARQ-measured traits of young dogs related to Lack of obedience,  
395 Stranger-directed fear, Non-social fear, Dog-directed fear and Touch sensitivity  
396 (Foyer et al., 2014), suggesting that these traits have been selected against in the  
397 Swedish cohort. We do not have similar information for the UK cohort as these  
398 dogs are primarily pets and not part of a breeding programme, however, it is  
399 possible that selection criteria over recent years have been based more on cosmetic  
400 traits as the breed has moved from a working dog to pet (O'Neill et al., 2017).

401 Using genome-wide association and regional heritability mapping, we identified 15  
402 SNPs and 16 regions, respectively, which showed suggestive association with one  
403 of the analysed behaviour traits. These SNPs and regions were distributed over 11  
404 chromosomes. Several regions were identified by both GWAS and RHM.

405 Comparing genomic regions identified in the current study to the results from other  
406 single-breed studies, we found that the SNP for Attachment/Attention seeking on  
407 CFA7 is located in a region of approximately 1 Mb flanked by two loci associated  
408 with obsessive-compulsive disorder in Doberman Pinschers (Tang et al., 2014). In  
409 contrast, the suggestive SNPs identified for behaviour traits in Labrador Retrievers  
410 by Ilska et al. (2017) do not overlap with candidate regions found in the current

411 study. Furthermore, none of the genetic regions mapped to aggression and fear  
412 across multiple dog breeds in a study by Zapata et al. (2016) overlapped with  
413 genetic regions found in the current study. Ostrander et al. (2017) reviewed the  
414 identified loci for behaviour traits across dog breeds by Zapata et al. (2016) and  
415 found that many of these loci were previously linked to body size, suggesting that  
416 behaviour may have been confounded with physical characteristics in between-  
417 breed analyses or an association between behaviour and some morphological traits.  
418 In the silver fox experiment described above, changes in behaviour were also  
419 accompanied by physiological and morphological changes (Trut, 1999) and other  
420 studies have shown an association between behaviour and body traits across breeds  
421 (McGreevy et al., 2013), suggesting an genetic interplay between these traits.  
422 These observations might also indicate that GWAS across dog breeds are more  
423 sensitive for morphological differences than for variation in behaviour, which  
424 highlights the importance of single-breed analyses in the dissection of the genetic  
425 background of behaviour. In contrast to the Zapata et al. (2016) study, candidate  
426 regions identified in the current study do not overlap with known genetic regions  
427 associated with body size (based on the largest study to date, Hayward et al., 2016).  
428 However, our results also suggest that QTL for dog behaviour may be breed-  
429 specific as indicated by the lack of QTL that overlap those found in other studies. It  
430 is likely that across breeds, different behaviour-oriented breeding practices have  
431 led to different alleles selected to moderate frequencies, leading to breed-specific  
432 QTL.

433 **Candidate genes related to behaviour traits**

434 In this study, we combined two complementary approaches (GWAS and RHM)  
435 with the aim of detecting novel candidate genes for behaviour and further  
436 evaluating genes previously linked to behaviour.

437 The only SNPs and region with genome-wide significance for the behaviour trait  
438 Attachment/ Attention seeking point to a region on CFA33 that contains several  
439 unannotated protein-coding genes, including *ENSCAFG00000009706*. According  
440 to the iDOG database (Tang et al., 2019), *ENSCAFG00000009706* is a protein-  
441 coding gene with molecular functions related to RNA binding and the structural  
442 constitution of the ribosome (GO: 0003723 and 0003735). However, this gene has  
443 not yet been described in other canine association mapping studies.

444 Many of the other positional candidate genes have been previously linked to  
445 behaviour characteristics and disorders or to neuronal development, especially in  
446 regards to humans. The aquaporin-4 (*AQP4*) gene identified by both GWAS and  
447 RHM for Attachment/Attention-seeking is one of the most abundant molecules in  
448 the brain, with many physiological functions (reviewed in Nagelhus and Ottersen,  
449 2013). In a study on gene expression changes in the brains of dogs and wolves,  
450 *AQP4* showed a significant 4-fold higher gene expression in dog than in wolf,  
451 indicating that it may have played a role in domestication (Saetre et al., 2004). Our  
452 results provide further evidence for the role of this gene regarding attachment to  
453 humans.

454 RHM identified several regions that were not identified by the GWAS and contain  
455 genes that have previously been linked to behaviour. The region at ~34 Mb on

456 CFA1, associated with Separation anxiety, includes *HIVEP2* and *AIG2*, which have  
457 been previously identified as positional candidate genes in a GWAS on affiliative  
458 social behavior in humans (Knoll et al., 2018). The region at 50-52 Mb on CFA14,  
459 associated with Stranger-directed interest, includes *LRRN3*, a strong risk gene for  
460 autism in humans (Hutcheson et al., 2004). In addition, the region at ~49-51 Mb on  
461 CFA23, associated with Touch-sensitivity (a behaviour trait that is characterised by  
462 fearful or aggressive responses to grooming or bathing), contains another  
463 promising functional candidate gene, *KCNABI*. Two SNPs with low but not quite  
464 suggestive p-values in the GWAS were also located within the *KCNABI* gene,  
465 which encodes the voltage-gated potassium channel subunit beta-1. Interestingly,  
466 mouse knockouts at the *KCNQ* gene, which encodes another voltage-gated  
467 potassium channel, showed an increased sensitivity of mechanoreceptors in the  
468 skin (Schütze et al., 2016). It is possible that variation in *KCNABI* could have a  
469 similar effect and thus this might influence touch-sensitivity in dogs.

470 The GO analysis for genes identified by the RHM revealed an enrichment of  
471 catabolic and metabolic histidine processes due to the genes *AMDHD1* and *HAL*  
472 (the region harbouring these two genes was associated with Stranger-directed fear).  
473 Histidine is a precursor of the neurotransmitter histamine and it has been shown  
474 that the histaminergic system affects the central nervous system and thus also alters  
475 behaviours, e.g. by affecting the fear-memory (reviewed in Passani et al., 2007).

476 Other genes were identified only by the GWAS, including *BRWD1* (CFA31),  
477 *B3GALT5* (CFA31) and *ARNT* (CFA17). Two SNPs associated with Dog-directed  
478 fear are located within *BRWD1*. In human GWAS studies, this gene has been  
479 associated with cognitive function (Davies et al., 2018), intelligence (Savage et al.,

480 2018) and temperament in individuals with a bipolar disorder (Greenwood et al.,  
481 2012). In close proximity to these SNPs lies *B3GALT5*, which has been linked to  
482 suicide attempts (Perlis et al., 2010) and obsessive-compulsive symptoms (den  
483 Braber et al., 2016). Finally, a SNP on CFA17 associated with Stranger-directed  
484 interest is located within the *ARNT* gene. Variation within *ARNT* has been linked to  
485 the severity of autism in humans (Fujisawa et al., 2016).

### 486 **Limitations and implications for further studies**

487 The limited number of genome-wide significant associations found in this study  
488 indicates the challenges in the genetic dissection of complex traits like behaviour,  
489 which derive from the small effects of genetic variants on phenotypic variation,  
490 substantial environmental effects and difficulties in defining clear phenotypes.  
491 Although ours is one of the largest genomic studies of dog behaviour so far, it has  
492 been shown in human studies that much larger sample sizes are required for robust  
493 genetic dissection of complex traits, e.g. height (Visscher et al., 2014). The use of  
494 C-BARQ, a standardised owner-derived questionnaire, to measure behaviour  
495 phenotypes, which has been successfully applied in many studies and records a  
496 range of behaviours in everyday situations, opens the possibility of meta-analysis  
497 across studies and thus ultimately achieving a larger sample size. However, a  
498 limitation of using questionnaire-based phenotypes is that the recorded traits are  
499 influenced by the subjectivity of the participants, which might be even more  
500 pronounced when participants originate from different countries and thus show  
501 cultural differences as in this study. While we attempted to correct for this in the  
502 statistical analysis, we may not have been completely successful.

503 **Conclusions**

504 Understanding the genetics of dog behaviour and the interaction with non-genetic  
505 factors can give general insights into animal and human behaviour and is relevant  
506 for animal welfare, e.g. to identify risk factors for problem behaviours. Our results  
507 support the hypothesis that behaviours are complex traits, influenced by multiple  
508 genetic and non-genetic factors, emphasizing the need for large datasets  
509 incorporating both genetic and non-genetic information in future studies of dog  
510 behaviour. Furthermore, it is important to reach a consensus on the non-genetic  
511 factors with greatest effects on these traits in order to standardise analyses.

512 If these requirements are met, dogs can provide a valuable resource for studying  
513 the genetics of behaviour characteristics, especially in terms of intra- and inter-  
514 species social interactions. In this study, genomic regions and SNPs associated  
515 with behaviour traits suggested a number of candidate genes that were previously  
516 described for psychological disorders in humans, indicating a potential new context  
517 for these genes in the general expression of behaviour variation. By analysing a  
518 single dog breed, we were able to highlight candidate genes for behaviour that are  
519 less likely to be confounded with morphological variation compared to between-  
520 breed analyses. However, further studies with larger sample sizes are required to  
521 identify and confirm the identified associations and candidate genes and, where  
522 associations are confirmed, subsequent functional analyses will be needed to  
523 progress in understanding how these genes influence expression of behaviour.

524

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541

542 **Conflict of Interest**

543 The authors declare no conflicts of interest.

544

545 **Data archiving**

546 The genotype and phenotype data used in this study will be accessible via Dryad  
547 once the paper is accepted.

548

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739

740 **Figures legends**

741 **Figure 1. Joint Manhattan plots for GWAS and RHM analyses for the 13**  
742 **analysed behaviour traits.** Negative log p-values for each SNP and region were  
743 plotted according to their chromosomal position for the GWAS (upper plot) and the  
744 RHM (lower plot) for each behaviour trait. The red line indicates the genome-wide  
745 significance threshold and the blue dotted line indicates the suggestive threshold.

746

747

748 **Tables**

749 **Table 1.** Heritability estimates and standard deviations for behaviour traits using  
750 pedigree and genotype data.

751 **Table 2.** Results for the genome-wide association study. Coordinates, statistics of  
752 the REML analysis and positional candidate genes are given for all SNPs that  
753 exceeded the suggestive or genome-wide significance threshold.

754 **Table 3.** Results for the regional heritability mapping. Coordinates, statistics of the  
755 association analysis, regional heritabilities and positional candidate genes are given  
756 for all genomic regions that exceeded the suggestive or genome-wide significance  
757 threshold. Due to the sliding-window approach used in the analysis, the regions  
758 comprise 50 SNPs and can overlap with adjacent regions by 25 SNPs.

## 759 **Supplementary Files**

760 **S1 Table.** Description of the behaviour traits used as phenotypes. Behaviour traits  
761 were generated using a principal component analysis (PCA) on questions from the  
762 C-BARQ questionnaire and additional questions about playfulness.

763 **S2 Table.** Lifestyle variables that were fitted as fixed factors in the statistical  
764 analyses of behaviour traits. Description of lifestyle variables that were assessed  
765 using the lifestyle survey (“Variables”) and individual models for every behaviour  
766 trait where variables fitted as fixed effects in the models are indicated by “x”  
767 (“Models”).

768 **S3 Figure.** Principal component analysis of the genomic data. Eigenvalues for the  
769 first two principal components are plotted and individuals are coloured according  
770 to their cohort (blue=UK or pink=Sweden).

771 **S4 Figure.** Q-Q plots and lambda values in parentheses for the genome-wide  
772 association study of the 13 behaviour traits.

773 **S5 Figure.** Regional association plot. The  $-\log(P)$  values calculated in the GWAS,  
774 gene annotations and local linkage disequilibrium patterns are plotted for regions  
775 identified by the regional heritability mapping that harbour genes. Neighbouring  
776 and overlapping regions (due to the sliding-window approach) were plotted  
777 together. The SNP with highest  $-\log(P)$  from the GWAS is coloured in blue and all  
778 others are coloured according to their  $r^2$  to this SNP with white for no LD ( $r^2 \leq 0.2$ ),  
779 yellow for weak LD ( $0.2 \leq r^2 < 0.5$ ), orange for moderate LD ( $0.5 \leq r^2 < 0.8$ ) and red for  
780 strong LD ( $r^2 \geq 0.8$ ).