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4 **Reducing N₂O emissions with enhanced efficiency nitrogen fertilizers (EENFs) in a high-**
5 **yielding spring maize system**

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26 **Abstract**

27 Enhanced efficiency nitrogen fertilizers (EENFs), including nitrification inhibitors (NIs)
28 and slow-release fertilizer (SRF), are considered promising approaches for mitigating nitrous
29 oxide (N₂O) emissions while improving crop yield. This study investigated the combined
30 application of EENFs with improved water and fertilizer management in an intensively irrigated
31 spring maize rotation over five years in Northwestern China. High-frequency measurements of
32 N₂O fluxes were made throughout each year (both during crop growth and the fallow season)
33 in five treatments: no N fertilizer as a control (CK), conventional N fertilization and irrigation
34 (Con), optimum N fertilization and irrigation (Opt, 33% reduction in N fertilizer and 25%
35 reduction of irrigation water), optimum N fertilization and irrigation with nitrification inhibitor
36 (Opt+NI), and optimum N fertilization and irrigation with slow-release fertilizer (Opt-SRF).
37 Annual mean cumulative N₂O emissions reached 0.31±0.07, 3.66±0.19, 1.87±0.16, 1.23±0.13,
38 and 1.61±0.16 kg N₂O-N ha⁻¹ for CK, Con, Opt, Opt+NI, and Opt-SRF, respectively, with
39 annual mean nitrogen use efficiency (NUE) of 36, 54, 61 and 59% for Con, Opt, Opt+NI, and
40 Opt-SRF, respectively. The Opt, Opt+NI and Opt-SRF treatments significantly reduced
41 cumulative N₂O emissions by 49%, 66%, and 56% (P < 0.05), respectively, and increased NUE
42 by 51%, 70%, and 66% (P < 0.05), respectively, relative to Con. However, mean above-ground
43 N uptake (288–309 kg N ha⁻¹) and mean grain yields (12.7–12.8 Mg ha⁻¹) did not differ
44 significantly between the Con, Opt, Opt+NI, and Opt-SRF treatments during the five-year study.
45 High N₂O emissions mainly occurred within a few days of fertilization with irrigation, which
46 could have been produced by microbially-mediated nitrifier or nitrifier denitrification processes.
47 The fallow seasons had significantly lower cumulative N₂O emissions, which were mainly

48 attributed to the low temperature, low N inputs of crop residues, and low soil moisture
49 conditions. Our study clearly indicated that the combined application of EENFs with optimum
50 N fertilization and irrigation management can reduce environmental impacts while maintaining
51 high crop yields in dryland regions such as Northwest China.

52 **Keywords:** N₂O emission, enhanced efficiency nitrogen fertilizers (EENFs), nitrification
53 inhibitors (NIs), slow-release fertilizer (SRF), spring maize, China

54 **1. Introduction**

55 While the use of synthetic N fertilizers in agriculture has increased crop yields and helped
56 to deliver global food security (Ma *et al.*, 2013), it raises environmental concerns associated
57 with nitrogen (N) losses by nitrous oxide (N₂O) emissions (Liu *et al.*, 2017), ammonia (NH₃)
58 volatilization, and nitrate (NO₃⁻) leaching (Norse and Ju, 2015; Ju and Zhang, 2017). Nitrous
59 oxide is an important greenhouse gas, which plays a major role in climate change (Montzka *et*
60 *al.*, 2011) and the depletion of stratosphere ozone (Ravishankara *et al.*, 2009). More than 60%
61 of total anthropogenic N₂O emissions come from agricultural soils (Smith *et al.*, 2014); the
62 contribution of synthetic N fertilizers to these emissions grew, on average, by 19% annually
63 from 0.07 to 0.68 Gt CO₂ eq yr⁻¹ between 1961 and 2010 (Tubiello *et al.*, 2013). It is therefore
64 important to develop new approaches to N₂O mitigation in response to the overuse of N
65 fertilizers (Chen *et al.*, 2014; Snyder, 2017).

66 Previous studies on N₂O emissions from croplands in China have mainly been undertaken
67 on paddy rice systems in the southeast along the Yangtze River basin (Yao *et al.*, 2009; Chen *et*
68 *al.*, 2017; Wang *et al.*, 2018b; Yang *et al.*, 2018), winter wheat–summer maize systems in the
69 North China Plain (Gao *et al.*, 2014; Gao *et al.*, 2015; Liu *et al.*, 2016; Huang *et al.*, 2017; Song

70 *et al.*, 2018; Wang *et al.*, 2018a; Xiao *et al.*, 2019; Zhang *et al.*, 2019), rainfed cropping systems
71 on the Loess Plateau (Wang *et al.*, 2016; Htun *et al.*, 2017), and spring maize in the northeast
72 (Qiao *et al.*, 2014; Yang *et al.*, 2014). However, there is limited data on N₂O emissions from
73 irrigated farmland in the arid oases in Northwest China. Irrigated spring maize in this area
74 accounts for about 70% of seed maize production in China (Qin *et al.*, 2019), and provides
75 more than 60% of the grain for Gansu province (Gao *et al.*, 2002). Spring maize yields can
76 reach up to 12.8–15.5 Mg ha⁻¹ yr⁻¹ under intensive management (Sun *et al.*, 2012), requiring
77 more than 600 mm yr⁻¹ water (flood irrigation) and 450 kg ha⁻¹ of N fertilizer combined with
78 plastic film-mulch (Chai and Huang, 2011; Hou *et al.*, 2017), and resulting in low water and N
79 use efficiencies (Li *et al.*, 2016; Chen *et al.*, 2018), more serious water shortages (Xiong *et al.*,
80 2010; Zhao *et al.*, 2018), and potential risk of high N₂O emissions (Ju *et al.*, 2009; Ju and Zhang,
81 2017). Therefore, it is imperative to change conventional water and N management to improve
82 the overall sustainability of these farmed environments including the control of N₂O emissions.

83 Enhanced efficiency nitrogen fertilizers (EENFs), such as nitrification inhibitors (NIs) and
84 slow-release fertilizer (SRF), can increase N use efficiency (NUE) and reduce N loss (Sun *et al.*
85 *et al.*, 2019; Wu *et al.*, 2018) by reducing the availability of substrates for microbial nitrification
86 or denitrification (Halvorson *et al.*, 2014; Feng *et al.*, 2016). For instance, NIs can slow down
87 the conversion of ammonia (NH₄⁺) to nitrate (NO₃⁻) by inhibiting the activities of nitrifying
88 bacteria in soil (Ruser and Schulz, 2015); while SRF control the rate of N release to soil, thus
89 better matching crop N uptake (Wei *et al.*, 2018). NIs can reduce N₂O losses by 39–48% and
90 increase grain yield by an average of 9% (with a range of 6–13%), and are more effective at
91 reducing N₂O emissions than SRF (19%) (Qiao *et al.*, 2015; Thapa *et al.*, 2016). Rose *et al.*

92 (2018) reported that EENF products achieve significantly higher yields than conventional N
93 fertilisers (11%, $P < 0.05$). Our previous study reported that the cumulative N₂O emissions
94 declined by 34–45% in an intensified spring wheat system in the Hexi Corridor treated with a
95 combined application of EENFs with optimum N fertilization and irrigation, relative to the
96 conventional fertilization and irrigation, but there was little impact on grain yield (Lyu *et*
97 *al.*2019). However, some studies showed that EENFs had no effect or even increased N₂O
98 emissions (Dell *et al.*, 2014; Parkin and Hatfield, 2014). A recent meta-analysis showed that the
99 efficiency of EENFs in wheat and maize were complicated and generally lower than in paddy
100 rice systems (Li *et al.*, 2018). The effects of EENFs on N₂O emissions and crop yields could be
101 affected by climate and edaphic conditions, cropping systems and agronomy (Gilsanz *et al.*,
102 2016; Thapa *et al.*, 2016; Aliyu *et al.*, 2018). However, the characteristics and mechanisms of
103 N₂O production affected by the use of EENFs in arid irrigated regions has not been well
104 documented.

105 In this study, we conducted high-frequency measurements of N₂O fluxes and
106 environmental conditions (soil mineral N concentration, temperature, and soil moisture)
107 throughout the year, which were linked to simultaneous measurements of aboveground biomass,
108 crop yield, and N uptake in five treatments with different N and water management over five
109 years. The main objectives were to quantify N₂O emissions and reveal the underlying
110 mechanisms of N₂O production during both the growing season (GS, April to October) and
111 fallow season (FS, November to March), and evaluate the effects of EENFs on N₂O emissions,
112 grain yield, yield-scaled N₂O emissions, and nitrogen use efficiency in an intensive spring
113 maize system.

114 **2. Materials and methods**

115 2.1. Experimental site

116 The study was conducted from 2011 to 2016 at the Zhangye Water-Saving Experimental
117 Station of Gansu Academy of Agricultural Sciences (38°56' N, 100°26' E, altitude 1570 m), 9
118 km north of Zhangye city in the middle of the Hexi Corridor, Gansu Province, Northwest China.
119 The climatic characteristics of this region are described by Lyu *et al.* (2019). Spring maize (*Zea*
120 *mays* L.) is planted in mid-April and harvested in mid-October; the growing season (GS) is
121 about 180 days and fallow season (FS) is about 185 days. The soil at the experimental site is an
122 anthropogenic–alluvial soil according to the Chinese soil classification system (fluent
123 according to the FAO–UNESCO system), with a sandy loam texture (59.8% sand, 33.8% silt
124 and 6.4% clay). In 2011, the 0–20 cm soil layer had a bulk density of 1.36 g cm⁻³, pH 8.2, 0.87
125 g kg⁻¹ total N, 12.5 g kg⁻¹ organic matter, 13.7 mg kg⁻¹ Olsen-P and 120.2 mg kg⁻¹ available K.
126 These values were determined from one composite soil sample across the experimental field
127 before the start of the experiment.

128 Annual mean air temperature from 2011–2015 was about 8.4 °C, or 1 °C higher than the
129 average for the past 58 years (1958–2015). Annual mean soil temperature at 10 cm depth was
130 13.2°C during the five-year study. Precipitation in 2011 was 80.8 mm, or 38.3% lower than the
131 average for the past 58 years (1958–2015), but close to the average for 2012–2015. Two
132 extremely high precipitation events (> 20 mm) occurred, one in 2012 (40.8 mm, 27 June) and
133 the other in 2013 (24.4 mm, 14 July) (Fig. 1).

134 2.2. Experimental design and field management

135 A randomized block design was established with five treatments and four replicates, with

136 plot areas of 5 m × 7 m. The treatments were (i) CK, no N fertilizer as a control, (ii) Con, local
137 farmer conventional N fertilization and irrigation, (iii) Opt, optimum N fertilization and
138 irrigation, (iv) Opt+NI, optimum N fertilization and irrigation with nitrification inhibitor (NI),
139 (v) Opt-SRF, optimum irrigation and N fertilization with slow-release fertilizer. Field
140 management in the five treatments during the five-year study is shown in Tables S1 and S2.

141 For the conventional treatment, nitrogen fertilizer (granular urea, containing 46% N) was
142 applied on three occasions; basal at sowing (40%), first top-dressing (30%, jointing stage),
143 second top-dressing (30%, bell-mouthed stage), at a rate of 450 kg N ha⁻¹ yr⁻¹. The basal
144 fertilizer was surface broadcast and incorporated by rotary tillage (about 15 cm depth) before
145 seeding, and the topdressing was band applied near plant rows at 5 cm depth just before
146 irrigation. The optimum N treatment had 300 kg N ha⁻¹ yr⁻¹ applied to match the target yield
147 (Ju and Christie, 2011; Ju, 2015) in four applications—basal at sowing (30%), first topdressing
148 (20%, jointing stage), second topdressing (30%, bell-mouthed stage), and third top-dressing
149 (20%, tassel stage). For the Opt+NI treatment, dicyandiamide (DCD) was used as a nitrification
150 inhibitor at a rate of 5% of the applied N. It was thoroughly mixed with the fertilizer before
151 application. For the Opt-SRF treatment, slow-release fertilizer (26N: 13P₂O₅: 7K₂O, Shikefeng
152 Chemical Industry Co., Ltd., China) was applied at 1154 kg ha⁻¹ (300 kg N ha⁻¹) as a basal
153 fertilizer before sowing. Each plot received 150 kg P₂O₅ ha⁻¹ (calcium superphosphate,
154 containing 12% P₂O₅) and 80.8 kg K₂O ha⁻¹ (potassium sulfate, containing 30% K₂O) at sowing
155 with the basal N fertilizer.

156 The irrigation quota (IQ) of the conventional and optimum treatments were 600 and 450
157 mm (Lian *et al.*, 2013), respectively, which were applied by flood irrigation at the jointing

158 (20%), bell-mouthed (30%), tassel (30%) and milking (20%) stages. Winter irrigation for all
159 treatments (225 mm) was applied in late November to maintain soil moisture for seed
160 germination and emergence in the following year.

161 A high-yielding spring maize cultivar (Yuyu 22) was planted at 80,000 plants ha⁻¹ in
162 uniform rows (50 cm apart) using a manual seeder. All plots had half-film mulching. The
163 residual straw after harvest was removed from all plots and the soil was tilled at sowing using
164 rotary tillage (to a depth of 15 cm). Weeds were removed by hand and chemical herbicide (2,
165 4-D butylate) was applied three times during each maize growing season.

166 2.3. Measurement of N₂O emissions

167 High-frequency measurements of N₂O emissions (quantified in the following paragraph)
168 were undertaken year round (during crop growth and the fallow season) in each plot from April
169 2011 to April 2016 using the closed static chamber method described by Wang and Wang (2003)
170 and Zheng *et al.* (2008). A modular stainless-steel chamber was designed with 50 cm length ×
171 30 cm width × 20 cm height and matched to a base frame (50 cm length × 30 cm width × 15
172 cm height) (see Figure S1); A powerful alligator clip was used to seal the upper chamber to the
173 frame. A 10 cm × 10 cm square hole at the top of chamber allowed for spring maize growth.
174 The chambers were equipped with a thermometer for measuring air temperature, a Teflon tube
175 for sampling gas by a syringe, a vent for balancing air pressure inside and outside the chamber,
176 and two fans at opposite angles to ensure complete mixing of air inside the chamber. The
177 chamber was covered with insulating material to minimize the change in air temperature in the
178 chamber during summer to less than 3°C within a closure period of 45 min (Gao *et al.*, 2014).
179 The base frame was inserted into the soil to a depth of 15 cm in each plot and remained in place

180 until tillage at the end of the year. Before gas sampling, the chambers were mounted onto base
181 frames and sealed with rubber strips and clamps. Between 09:00 am and 11:00 am (Shi *et al.*,
182 2013), four 50 ml gas samples were taken using a plastic polypropylene syringe through a three-
183 way stopcock and a Teflon tube connected to the chamber with an interval of 15 min (0, 15, 30
184 and 45 min) after chamber closure.

185 Gas samples were taken every day for 7–10 days after fertilization, irrigation and/or
186 rainfall (>20 mm) events from April 15, 2011 to April. 15, 2016; at other times, gas samples
187 were taken every 3 days, or monthly during soil freezing (mid-November to mid-March of the
188 following year) (Li *et al.*, 2018). These measurements were high-frequency as compared to low
189 frequency often taken only once every 1–2 weeks (Davies *et al.*, 2020). Gas samples were
190 analyzed within 10 h using a modified gas chromatograph (Agilent 7890A, Agilent
191 Technologies, USA) equipped with a ⁶³Ni-electron capture detector at 350°C (Huang *et al.*,
192 2017).

193 The N₂O fluxes were calculated using a linear or non-linear model (Kroon *et al.*, 2008; Hu
194 *et al.*, 2013). The cumulative emissions were calculated using the direct linear interpolation
195 method (Mosier *et al.*, 2006). More details were presented in the supplementary materials.

196 The direct emission factors (EF_{N₂O}) were calculated as:

$$197 \quad \text{EF}_{\text{N}_2\text{O}} (\%) = \frac{E_{\text{F}} - E_0}{R_{\text{F}}} \times 100\% \quad (1)$$

198 where E_F and E₀ are the annual N₂O emissions (kg N₂O-N ha⁻¹) from the N fertilizer and CK
199 plots, respectively. R_F represents the annual rate of fertilizer N (kg N ha⁻¹).

200 Yield-scaled N₂O emissions (YSNEs) were calculated as:

$$201 \quad \text{Yield-scaled N}_2\text{O emissions (g N kg}^{-1} \text{ grain)} = \frac{\text{Cumulative N}_2\text{O emissions}}{\text{Yield}} \quad (2)$$

202 2.4. Measurements of climate and soil data

203 Climatic data in the experimental station, soil temperature (10 cm depth) and air

204 temperature in the chamber for calculating the N₂O fluxes, and soil sampling for measuring the
205 water-filled pore space (WFPS) and soil mineral N at a depth of 20 cm was required for this
206 study. Accompanying N₂O sampling, soil sampling was carried out after 1, 3, 5, 7 and/or 9 days
207 following fertilization and irrigation events, and every 8 days at other times. Samples in the
208 winter (January to March) were not collected due to soil freezing.

209 The grain and straw yields of spring maize were manually harvested from a 2 m × 5 m area
210 in the middle of each plot. Total N concentration in the aboveground biomass was analyzed
211 using an elemental CN analyzer (Thermo Flash EA 1112 Flash, 2000, USA).

212 2.5. Statistical analysis

213 Statistical analyses were conducted by SPSS 19.0 (SPSS Inc., Chicago, IL, USA). The
214 differences in cumulative N₂O emissions, YSNEs, grain yields, EF_{N₂O}, aboveground N uptake
215 and NUE across the five years, as affected by different treatments, years and their interactions
216 were examined using factorial ANOVA analysis. Least significant differences (LSD) tests were
217 used to examine the differences between the mean values, and significant differences were
218 reported at P < 0.05. Pearson's correlation analysis was performed to identify correlations
219 between N₂O emissions and environmental factors. The boundary line approach was used to
220 analyze the relationships between N₂O emissions and soil surface temperature and WFPS in the
221 topsoil (Schmidt *et al.*, 2000).

222 3. Results

223 3.1. N₂O fluxes and cumulative N₂O emissions

224 Emissions of N₂O peaked within 3–5 days after fertilization following irrigation events
225 during the growing season, with small peaks after tillage or single irrigation events, but

226 remained low during the fallow season (Fig. 2). The highest N₂O fluxes occurred 3–5 days after
227 the first top dressing of N fertilizer with irrigation (jointing stage, early June). At this time, the
228 N₂O peaks in the Con treatment reached 852, 998, 646, 1189, and 896 $\mu\text{g N}_2\text{O-N m}^{-2} \text{h}^{-1}$ in
229 2011, 2012, 2013, 2014, and 2015, respectively, and were significantly higher than those in the
230 Opt, Opt+NI, and Opt-SRF treatments. The Opt+NI and Opt-SRF treatments had lower N₂O
231 peaks (109–488 $\mu\text{g N}_2\text{O-N m}^{-2} \text{h}^{-1}$) than the Opt treatment (398–890 $\mu\text{g N}_2\text{O-N m}^{-2} \text{h}^{-1}$).

232 Cumulative N₂O emissions between treatments and years differed significantly, and their
233 interactions varied considerably (Table 1, Table S3). Compared to CK, annual cumulative N₂O
234 emissions from the N-fertilized treatments increased significantly ($P < 0.05$). However, the Opt,
235 Opt+NI and Opt-SRF treatments had significantly lower ($P < 0.05$) annual cumulative N₂O
236 emissions, with average reductions of 48, 66 and 56%, relative to Con treatment, respectively.
237 Furthermore, the Opt+NI treatment had the lowest emissions, being 23% less than the Opt-SRF
238 treatment. Significant inter-annual variations in cumulative N₂O emissions were observed in
239 the Opt+NI and Opt-SRF treatments ($P < 0.05$, Table 1, Table S3). For instance, the highest
240 annual cumulative N₂O emission in the Opt+NI treatment was 1.54 kg N₂O-N ha⁻¹ in 2011, and
241 the lowest was 0.88 kg N₂O-N ha⁻¹ in 2015, with no significant differences between 2012, 2013,
242 and 2014 (1.16–1.34 kg N₂O-N ha⁻¹). Cumulative N₂O emissions during the GS were
243 significantly higher than those in the FS in all treatments, with the GS accounting for 97–98%
244 of the annual cumulative N₂O emissions (Table 1).

245 Cumulative N₂O emissions in the ten days after fertilization and/or irrigation at the
246 different growing stages differed significantly between the N-fertilized treatments ($P < 0.05$)
247 (Table S4). For instance, at the jointing stage, emissions were 1098, 552, 221, and 213 g N₂O-

248 N ha⁻¹ in the Con, Opt, Opt+NI, and Opt-SRF treatments, respectively, accounting for 30, 29,
249 18, and 14% of annual N₂O emissions, respectively (Table S4). Two higher N₂O peaks
250 occurred following the second and third topdressing of N fertilizer and irrigation, at the bell-
251 mouthed (early July) and tassel stages (late July), which accounted for 10–20% and 5–11% of
252 the annual N₂O emissions in the N-fertilized treatments, respectively (Table S4). Two small
253 N₂O peaks were observed after basal fertilization (late March) and the fourth irrigation at the
254 milking stage (late August), accounting for 4–6% and 2–5% of annual N₂O emissions,
255 respectively (Table S4).

256 3.2. Grain yield

257 The application of EENFs did not reduce the grain yield compared with conventional
258 fertilizers (Fig. 3a). Grain yields differed significantly between the Con, Opt, Opt+NI, and Opt-
259 SRF treatments in 2011 and 2012, but not in 2013, 2014 and 2015 ($P < 0.05$). There were no
260 significant differences between the Opt, Opt+NI and Opt-SRF treatments each year. Mean grain
261 yields ranged from 12.7–12.8 Mg ha⁻¹ in the optimum N-fertilized treatments, which was
262 comparable with the Con treatment (12.8 Mg ha⁻¹) ($P > 0.05$).

263 3.3. Yield-scaled N₂O emissions (YSNEs)

264 The different N and water management treatments had a significant effect on the YSNEs
265 of spring maize (Fig. 3b), ranging from 0.05–0.07 g N₂O-N kg⁻¹ grain in the CK treatment, and
266 significantly higher in the Con treatment (0.27–0.31 g N₂O-N kg⁻¹ grain) than the optimum N-
267 fertilized treatments (0.06–0.18 g N₂O-N kg⁻¹ grain) ($P < 0.05$). The Opt, Opt+NI and Opt-SRF
268 treatments had 48%, 66% and 56% lower mean YSNEs ($P < 0.05$), respectively, than the Con
269 treatment.

270 3.4. Aboveground N uptake, nitrogen use efficiency (NUE) and direct emission factors (EF_{N_2O})

271 Aboveground N uptake increased significantly in the Con, Opt, Opt+NI, and Opt-SRF
272 treatments, when compared to CK ($P < 0.05$), but no significant differences occurred between
273 the N treatments (Table S5). The mean NUE for the five years in the Con (35.2–41.4%), Opt
274 (28.4–66.9%), Opt+NI (33.7–78.2%), and Opt-SRF (28.2–77.8%) treatments were 35.8, 54.1,
275 60.7 and 59.4%, respectively. The mean NUE of Opt, Opt+NI and Opt-SRF treatments
276 increased by 50.9, 69.5 and 65.9%, relative to the Con treatment, but did not significantly differ
277 between the optimum treatments ($P < 0.05$, Table S5). Aboveground N uptake and NUE in the
278 Opt, Opt+NI, and Opt-SRF treatments differed significantly between 2011–12 and 2013–15 (P
279 < 0.05 , Table S5).

280 Across the five years, the average EF_{N_2O} for the N-fertilized treatments ranged from 0.29%
281 to 0.74% with the following ranking: Con $>$ Opt $>$ Opt-SRF $>$ Opt +NI ($P < 0.05$, Table 2).

282 3.5 The relationship between N_2O flux and climate and soil factors

283 The N_2O fluxes in the N-fertilized treatments had a significant positive correlation with
284 soil temperature (T_{soil}), WFPS, and soil NO_3^- -N and NH_4^+ -N concentrations (Table 3). The most
285 significant correlations occurred in the Con treatment: soil NH_4^+ -N ($R^2=0.343$, $P < 0.01$),
286 followed by T_{soil} ($R^2=0.341$, $P < 0.01$) and soil NO_3^- -N ($R^2=0.319$, $P < 0.01$). The factors best
287 able to predict emissions in the Opt and Opt+NI treatments were ranked as NO_3^- -N $>$ WFPS $>$
288 T_{soil} $>$ NH_4^+ -N, and the Opt-SRF treatment as NO_3^- -N $>$ T_{soil} $>$ WFPS $>$ NH_4^+ -N.

289 The response of N_2O fluxes to soil temperature and WFPS in the topsoil was fitted to
290 Gaussian equations that were defined by a boundary line analysis (Fig. 4). When the soil
291 temperature was below 11 °C, N_2O fluxes were low. Higher N_2O fluxes occurred at soil

292 temperatures from 11–22 °C in the ten days after fertilization and/or irrigation (Fig. 4a). When
293 soil moisture was below 40% WFPS, N₂O fluxes were consistently less than 200 μg N₂O-N m⁻²
294 h⁻¹. The highest fluxes were measured when WFPS was around 70% and declined above this
295 value (Fig. 4b).

296 **4. Discussion**

297 4.1. EENFs reduced N₂O emissions

298 In this study, the total of cumulative N₂O emissions in the ten days after fertilization and/or
299 irrigation events reached 2433 g N₂O-N ha⁻¹ in the Con treatment, considerably higher than
300 those reported on the semiarid Huang Huai Hai Plain (1643 g N₂O-N ha⁻¹) (Gao *et al.*, 2014)
301 and Loess Plateau (< 300 g N₂O-N ha⁻¹) (Wang *et al.*, 2016). However, the Opt, Opt+NI and
302 Opt-SRF treatments significantly reduced total N₂O emissions by 48–66%, relative to the Con
303 treatment, and the use of EENFs (Opt+NI and Opt-SRF) further reduced N₂O emissions by 14–
304 34%, relative to the Opt treatment, which is consistent with the 19–38% reductions reported
305 elsewhere (Qiao *et al.*, 2015; Feng *et al.*, 2016; Thapa *et al.*, 2016), because of the slowdown
306 in ammonia oxidation when using NIs and the control of N substrate release when using SRF
307 after fertilization and irrigation (Ding *et al.*, 2011; Wu *et al.*, 2018). Because the effectiveness
308 of EENFs on N₂O emissions depends on the interaction between soils, climate, crops, and
309 agronomy (Guardia *et al.*, 2018), the same fertilization and irrigation treatments over five years
310 produced significant differences in annual N₂O emissions from EENFs, which could be
311 explained by the inter-annual variation in weather conditions (Guardia *et al.*, 2018).

312 4.2. EENFs reduced yield-scaled N₂O emissions (YSNEs) with high grain yield and NUE

313 Grain yields in the N-fertilized treatments did not significantly differ in our study, indicating

314 that reducing the conventional N rate by at least 33% and the conventional irrigation rate by
315 25% could maintain yield when using EENFs combined with optimum N and water
316 management. Similar results were reported in maize systems in Germany (Weller *et al.*, 2019)
317 and China (Ding *et al.*, 2011), where no yield reductions occurred when combining NIs with
318 an optimum N fertilizer rate. A meta-analysis showed that SRFs did not reduce maize yields
319 with optimum N fertilizer rates (Thapa *et al.*, 2016). However, other recent meta-analyses have
320 reported that NIs increased yields by 4.4–10% compared to conventional N fertilization (Abalos
321 *et al.*, 2014; Qiao *et al.*, 2015; Feng *et al.*, 2016; Thapa *et al.*, 2016; Yang *et al.*, 2016). In our
322 study, the EENFs may not have increased yield because we used a lower optimum N rate (300
323 kg ha⁻¹ yr⁻¹) than the conventional N rate (450 kg ha⁻¹ yr⁻¹). Rose *et al.* (2018) stated that the
324 question asked should not be ‘can EENFs increase yields?’ but rather ‘to what extent can N
325 application rate be reduced by applying EENFs without loss of yield?’. Clearly, N rate is a key
326 determinant of crop yield, while the effect of EENFs on reducing N losses might enable a
327 reduction in the N rate without loss of yield (Abalos *et al.*, 2014).

328 The concept of YSNEs was developed to evaluate the trade-off between N₂O emissions
329 and crop yield (Kim and Giltrap, 2017). The Opt+NI and Opt-SRF treatments had lower YSNE
330 values (84–143 g N₂O-N Mg⁻¹ grain) than the Opt and Con treatments (131–313 g N₂O-N Mg⁻¹
331 grain), but similar yields. Mean YSNEs of the Opt+NI and Opt-SRF treatments (97 and 126
332 g N₂O-N Mg⁻¹ grain, respectively) that received 300 kg N ha⁻¹ were higher than those reported
333 by Yang *et al.* (2014) (80 and 95 g N₂O-N Mg⁻¹ grain, respectively) for maize that received 210
334 kg N ha⁻¹ in Northeastern China. The use of NIs and SRF significantly reduced YSNEs by 32.6
335 and 16.3%, respectively, in a meta-analysis (Feng *et al.*, 2016), which is in line with our results

336 (35.7 and 16.7%, relative to the Opt treatment, respectively). Our results suggest that the use of
337 optimum N fertilizer and irrigation rates could reduce YNSEs, more so when combined with
338 EENFs, in these high-yielding irrigated maize systems in northwestern China.

339 The mean above-ground N uptake in the optimum N and Con treatments did not
340 significantly differ ($\sim 298 \text{ kg N ha}^{-1}$), despite the difference in their N rate ($300 \text{ kg ha}^{-1} \text{ yr}^{-1}$ and
341 $450 \text{ kg ha}^{-1} \text{ yr}^{-1}$, respectively), and the average NUE increased by 50.9% in the Opt treatment
342 and 69.5% in the EENF treatments, relative to the Con treatment. The significantly higher
343 NUEs in the Opt, Opt+NI, and Opt-SRF treatments resulted in lower residual N in soil (Table
344 S6). The Opt, Opt+NI and Opt-SRF treatments had lower mean residual N during the five-year
345 study (24.85 , 5.44 and $9.04 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ respectively) than the Con treatment (116.03 kg N
346 $\text{ha}^{-1} \text{ yr}^{-1}$). In the Opt+NI and Opt-SRF treatments, NUE increased by 10 and 12%, respectively,
347 relative to the Opt treatment, but the three treatments did not significantly differ ($P > 0.05$),
348 which is in line with the average 14% increase in NUE for cereal crops using EENFs (Zheng *et al.*
349 *al.*, 2017).

350 4.3. Factors controlling N_2O emissions

351 Our results have shown that large N_2O emission peaks are observed shortly after
352 fertilization and irrigation events, with lower peaks at other times, as shown in previous studies
353 (Gao *et al.*, 2014; Huérfano *et al.*, 2018; Recio *et al.*, 2018). Such peaks could be simulated by
354 the frequency of the drying and rewetting cycles (Fierer and Schimel, 2002), which enhances
355 carbon and nitrogen mineralization (Van Gestel *et al.*, 1993) and causes a switch between
356 microbial nitrification and denitrification processes (Chen *et al.*, 2014). In our study, soil
357 moisture (expressed as WFPS) ranged from 20% to 93% WFPS during the drying and rewetting

358 cycles periods (Fig. 5). Soil NH_4^+ -N concentrations in the 0–20 cm soil layer ranged from 0–9
359 mg N kg^{-1} dry soil in all treatments during the five-year study (Fig. 6a). There was no significant
360 difference in Mean NH_4^+ -N concentrations between Con, Opt+NI, Opt-SRF treatments, but
361 they were 50.0%, 29.2% and 45.8% ($P < 0.05$) higher than the Opt treatment in the ten days
362 after basal fertilization respectively (Table S7). Compared with the Con treatment, average soil
363 NH_4^+ -N concentrations in the Opt+NI and Opt-SRF treatments at the jointing stage increased
364 significantly by 50.0% and 45.5% ($P < 0.05$) respectively, but decreased by 34.9% and 24.3%
365 at the bell-mouthed stage, respectively. Mean NH_4^+ -N concentrations did not differ in the Con,
366 Opt, Opt+NI, Opt-SRF treatments at the tassel or milking stage found (Table S7). In contrast,
367 soil NO_3^- -N concentrations in the 0–20 cm soil layer ranged from 2–97 mg N kg^{-1} dry soil (Fig.
368 6b) and were highest in the Con treatment (35.3–58.3 mg N kg^{-1}). Mean soil NO_3^- -N
369 concentrations declined significantly ten days after basal fertilization by 35.4%, 63.2%, and
370 67.6% in the Opt, Opt+NI, and Opt-SRF treatments ($P < 0.05$), respectively, relative to the Con
371 treatment (Table S7). The Opt+NI and Opt-SRF treatments had lower mean soil NO_3^- -N
372 concentrations than the Opt treatment ($P < 0.05$), which remained at 15.8 mg N kg^{-1} and 14.3
373 mg N kg^{-1} within ten days after fertilization, respectively. The positive correlations between
374 N_2O emissions, soil WFPS, and mineral N concentrations indicated that the high N_2O peaks
375 were produced by microbially-mediated nitrification and denitrification processes. Although
376 high soil moisture ($> 70\%$ WFPS) was observed within 3–4 days after each irrigation,
377 denitrification is unlikely to have been the main contributor to N_2O production due to the low
378 soil N content and the low soil denitrification potential in this region (Wan *et al.*, 2009; Ju and
379 Zhang, 2017). Isotopic tracing experiments have indicated that the main process responsible

380 for N₂O production is nitrifier denitrification, which may account for 30–66% of soil N₂O
381 emissions (Kool *et al.*, 2011; Zhu *et al.*, 2013). Recent studies confirmed that nitrification and
382 nitrifier denitrification rather than denitrifier denitrification were the main processes generating
383 N₂O in these intensively N-fertilized alkaline soils in northern China (Zhang *et al.*, 2016; Wu
384 *et al.*, 2018; Zhang *et al.*, 2019).

385 The low soil mineral N and soil moisture, rather than soil temperature, were the main
386 limiting factors affecting N₂O emissions during the non-fertilization or irrigation periods of the
387 GS. The low N₂O emissions in the FS were mainly attributed to the low temperature (< 0 °C),
388 the low N inputs (< 20 mg N kg⁻¹) as crop residues, and low soil moisture conditions (< 50%
389 WFPS) in our study area, which restricted the activity of soil microorganisms (Baggs, 2011;
390 Hu *et al.*, 2013). Ju and Zhang (2017) reported that the upland agricultural soils in North China
391 were characterized by strong N mineralization and nitrification, and weak immobilization and
392 denitrification ability. The low values of NH₄⁺ content in soil in our experiment were due to the
393 rapid conversion to NO₃⁻ after urea application under favorable water and heat conditions.
394 Generally, soil NH₄⁺ concentration is lower than 5 mg N kg⁻¹ in the upper soil layer and soil
395 mineral N is dominated by NO₃⁻, except for a short period (0.5 to 2 week) after fertilization (Ju
396 *et al.*, 2003; Ju *et al.*, 2004). However, the low NO₃⁻N content in topsoil compared to the N
397 application rate was due to NO₃⁻ leaching under situations, which led to the accumulation of
398 nitrate deep in the soil profile (Huang *et al.*, 2017; Zhou *et al.*, 2016), which reduced the
399 substrate available to soil microorganisms.

400 The mean direct N₂O emission factor (EF_{N₂O}) ranged from 0.29–0.74% at 300–450 kg N
401 ha⁻¹ yr⁻¹ in our study, which is within the 0.22–1.53% range from 12 Chinese croplands (Zheng

402 *et al.*, 2004), and was close to the default value of 0.5% suggested by IPCC (2019). The EF_{N_2O}
403 values in our study are lower than those of summer maize (0.85–1.29% at 246–457 kg N ha⁻¹
404 rate) on the North China Plain (Song *et al.*, 2018), higher than those of rainfed spring maize
405 (0.18–0.23% at 225 kg N ha⁻¹) on the Loess Plateau (Wang *et al.*, 2016), and consistent with
406 those of irrigated and fertilized spring maize (0.42–0.72% at 120–330 kg N ha⁻¹) in semiarid
407 northern China (Liu *et al.*, 2011).

408 **5. Conclusion**

409 The combined application of Enhanced Efficiency Nitrogen Fertilizers (EENFs) with
410 optimized water and fertilizer either decrease N₂O emissions and yield-scaled N₂O emissions
411 or increase nitrogen use efficiency and maintain high crop yields in our study. Large N₂O
412 emissions mainly occur within a few days of fertilization with irrigation, which could be
413 stimulated by the frequency of the drying and rewetting cycles. The low soil N content and the
414 low soil denitrification potential in our experiment indicate that the high N₂O peaks are
415 produced by microbially-mediated nitrifier denitrification processes. In addition, the fallow
416 seasons had significantly lower cumulative N₂O emissions than the growing seasons, which
417 were mainly attributed to the low temperature, the low N inputs as crop residues, and low soil
418 moisture conditions in the fallow. This study provides clear evidence of the important role of
419 EENFs in mitigating N₂O emissions and improving NUE while maintaining crop yields and
420 highlights their contribution to more sustainable cropping systems in dryland regions such as
421 Northwest China.

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