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## Reducing N<sub>2</sub>O emissions with enhanced efficiency nitrogen fertilizers (EENFs) in a high yielding spring maize system

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4 **Reducing N<sub>2</sub>O emissions with enhanced efficiency nitrogen fertilizers (EENFs) in a high-**  
5 **yielding spring maize system**

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26 **Abstract**

27       Enhanced efficiency nitrogen fertilizers (EENFs), including nitrification inhibitors (NIs)  
28 and slow-release fertilizer (SRF), are considered promising approaches for mitigating nitrous  
29 oxide (N<sub>2</sub>O) emissions while improving crop yield. This study investigated the combined  
30 application of EENFs with improved water and fertilizer management in an intensively irrigated  
31 spring maize rotation over five years in Northwestern China. High-frequency measurements of  
32 N<sub>2</sub>O fluxes were made throughout each year (both during crop growth and the fallow season)  
33 in five treatments: no N fertilizer as a control (CK), conventional N fertilization and irrigation  
34 (Con), optimum N fertilization and irrigation (Opt, 33% reduction in N fertilizer and 25%  
35 reduction of irrigation water), optimum N fertilization and irrigation with nitrification inhibitor  
36 (Opt+NI), and optimum N fertilization and irrigation with slow-release fertilizer (Opt-SRF).  
37 Annual mean cumulative N<sub>2</sub>O emissions reached 0.31±0.07, 3.66±0.19, 1.87±0.16, 1.23±0.13,  
38 and 1.61±0.16 kg N<sub>2</sub>O-N ha<sup>-1</sup> for CK, Con, Opt, Opt+NI, and Opt-SRF, respectively, with  
39 annual mean nitrogen use efficiency (NUE) of 36, 54, 61 and 59% for Con, Opt, Opt+NI, and  
40 Opt-SRF, respectively. The Opt, Opt+NI and Opt-SRF treatments significantly reduced  
41 cumulative N<sub>2</sub>O emissions by 49%, 66%, and 56% (P < 0.05), respectively, and increased NUE  
42 by 51%, 70%, and 66% (P < 0.05), respectively, relative to Con. However, mean above-ground  
43 N uptake (288–309 kg N ha<sup>-1</sup>) and mean grain yields (12.7–12.8 Mg ha<sup>-1</sup>) did not differ  
44 significantly between the Con, Opt, Opt+NI, and Opt-SRF treatments during the five-year study.  
45 High N<sub>2</sub>O emissions mainly occurred within a few days of fertilization with irrigation, which  
46 could have been produced by microbially-mediated nitrifier or nitrifier denitrification processes.  
47 The fallow seasons had significantly lower cumulative N<sub>2</sub>O emissions, which were mainly

48 attributed to the low temperature, low N inputs of crop residues, and low soil moisture  
49 conditions. Our study clearly indicated that the combined application of EENFs with optimum  
50 N fertilization and irrigation management can reduce environmental impacts while maintaining  
51 high crop yields in dryland regions such as Northwest China.

52 **Keywords:** N<sub>2</sub>O emission, enhanced efficiency nitrogen fertilizers (EENFs), nitrification  
53 inhibitors (NIs), slow-release fertilizer (SRF), spring maize, China

## 54 1. Introduction

55 While the use of synthetic N fertilizers in agriculture has increased crop yields and helped  
56 to deliver global food security (Ma *et al.*, 2013), it raises environmental concerns associated  
57 with nitrogen (N) losses by nitrous oxide (N<sub>2</sub>O) emissions (Liu *et al.*, 2017), ammonia (NH<sub>3</sub>)  
58 volatilization, and nitrate (NO<sub>3</sub><sup>-</sup>) leaching (Norse and Ju, 2015; Ju and Zhang, 2017). Nitrous  
59 oxide is an important greenhouse gas, which plays a major role in climate change (Montzka *et*  
60 *al.*, 2011) and the depletion of stratosphere ozone (Ravishankara *et al.*, 2009). More than 60%  
61 of total anthropogenic N<sub>2</sub>O emissions come from agricultural soils (Smith *et al.*, 2014); the  
62 contribution of synthetic N fertilizers to these emissions grew, on average, by 19% annually  
63 from 0.07 to 0.68 Gt CO<sub>2</sub> eq yr<sup>-1</sup> between 1961 and 2010 (Tubiello *et al.*, 2013). It is therefore  
64 important to develop new approaches to N<sub>2</sub>O mitigation in response to the overuse of N  
65 fertilizers (Chen *et al.*, 2014; Snyder, 2017).

66 Previous studies on N<sub>2</sub>O emissions from croplands in China have mainly been undertaken  
67 on paddy rice systems in the southeast along the Yangtze River basin (Yao *et al.*, 2009; Chen *et*  
68 *al.*, 2017; Wang *et al.*, 2018b; Yang *et al.*, 2018), winter wheat–summer maize systems in the  
69 North China Plain (Gao *et al.*, 2014; Gao *et al.*, 2015; Liu *et al.*, 2016; Huang *et al.*, 2017; Song

70 *et al.*, 2018; Wang *et al.*, 2018a; Xiao *et al.*, 2019; Zhang *et al.*, 2019), rainfed cropping systems  
71 on the Loess Plateau (Wang *et al.*, 2016; Htun *et al.*, 2017), and spring maize in the northeast  
72 (Qiao *et al.*, 2014; Yang *et al.*, 2014). However, there is limited data on N<sub>2</sub>O emissions from  
73 irrigated farmland in the arid oases in Northwest China. Irrigated spring maize in this area  
74 accounts for about 70% of seed maize production in China (Qin *et al.*, 2019), and provides  
75 more than 60% of the grain for Gansu province (Gao *et al.*, 2002). Spring maize yields can  
76 reach up to 12.8–15.5 Mg ha<sup>-1</sup> yr<sup>-1</sup> under intensive management (Sun *et al.*, 2012), requiring  
77 more than 600 mm yr<sup>-1</sup> water (flood irrigation) and 450 kg ha<sup>-1</sup> of N fertilizer combined with  
78 plastic film-mulch (Chai and Huang, 2011; Hou *et al.*, 2017), and resulting in low water and N  
79 use efficiencies (Li *et al.*, 2016; Chen *et al.*, 2018), more serious water shortages (Xiong *et al.*,  
80 2010; Zhao *et al.*, 2018), and potential risk of high N<sub>2</sub>O emissions (Ju *et al.*, 2009; Ju and Zhang,  
81 2017). Therefore, it is imperative to change conventional water and N management to improve  
82 the overall sustainability of these farmed environments including the control of N<sub>2</sub>O emissions.

83       Enhanced efficiency nitrogen fertilizers (EENFs), such as nitrification inhibitors (NIs) and  
84 slow-release fertilizer (SRF), can increase N use efficiency (NUE) and reduce N loss (Sun *et al.*  
85 *et al.*, 2019; Wu *et al.*, 2018) by reducing the availability of substrates for microbial nitrification  
86 or denitrification (Halvorson *et al.*, 2014; Feng *et al.*, 2016). For instance, NIs can slow down  
87 the conversion of ammonia (NH<sub>4</sub><sup>+</sup>) to nitrate (NO<sub>3</sub><sup>-</sup>) by inhibiting the activities of nitrifying  
88 bacteria in soil (Ruser and Schulz, 2015); while SRF control the rate of N release to soil, thus  
89 better matching crop N uptake (Wei *et al.*, 2018). NIs can reduce N<sub>2</sub>O losses by 39–48% and  
90 increase grain yield by an average of 9% (with a range of 6–13%), and are more effective at  
91 reducing N<sub>2</sub>O emissions than SRF (19%) (Qiao *et al.*, 2015; Thapa *et al.*, 2016). Rose *et al.*

92 (2018) reported that EENF products achieve significantly higher yields than conventional N  
93 fertilisers (11%,  $P < 0.05$ ). Our previous study reported that the cumulative N<sub>2</sub>O emissions  
94 declined by 34–45% in an intensified spring wheat system in the Hexi Corridor treated with a  
95 combined application of EENFs with optimum N fertilization and irrigation, relative to the  
96 conventional fertilization and irrigation, but there was little impact on grain yield (Lyu *et*  
97 *al.*2019). However, some studies showed that EENFs had no effect or even increased N<sub>2</sub>O  
98 emissions (Dell *et al.*, 2014; Parkin and Hatfield, 2014). A recent meta-analysis showed that the  
99 efficiency of EENFs in wheat and maize were complicated and generally lower than in paddy  
100 rice systems (Li *et al.*, 2018). The effects of EENFs on N<sub>2</sub>O emissions and crop yields could be  
101 affected by climate and edaphic conditions, cropping systems and agronomy (Gilsanz *et al.*,  
102 2016; Thapa *et al.*, 2016; Aliyu *et al.*, 2018). However, the characteristics and mechanisms of  
103 N<sub>2</sub>O production affected by the use of EENFs in arid irrigated regions has not been well  
104 documented.

105 In this study, we conducted high-frequency measurements of N<sub>2</sub>O fluxes and  
106 environmental conditions (soil mineral N concentration, temperature, and soil moisture)  
107 throughout the year, which were linked to simultaneous measurements of aboveground biomass,  
108 crop yield, and N uptake in five treatments with different N and water management over five  
109 years. The main objectives were to quantify N<sub>2</sub>O emissions and reveal the underlying  
110 mechanisms of N<sub>2</sub>O production during both the growing season (GS, April to October) and  
111 fallow season (FS, November to March), and evaluate the effects of EENFs on N<sub>2</sub>O emissions,  
112 grain yield, yield-scaled N<sub>2</sub>O emissions, and nitrogen use efficiency in an intensive spring  
113 maize system.

## 114 **2. Materials and methods**

### 115 2.1. Experimental site

116 The study was conducted from 2011 to 2016 at the Zhangye Water-Saving Experimental  
117 Station of Gansu Academy of Agricultural Sciences (38°56' N, 100°26' E, altitude 1570 m), 9  
118 km north of Zhangye city in the middle of the Hexi Corridor, Gansu Province, Northwest China.  
119 The climatic characteristics of this region are described by Lyu *et al.* (2019). Spring maize (*Zea*  
120 *mays* L.) is planted in mid-April and harvested in mid-October; the growing season (GS) is  
121 about 180 days and fallow season (FS) is about 185 days. The soil at the experimental site is an  
122 anthropogenic–alluvial soil according to the Chinese soil classification system (fluent  
123 according to the FAO–UNESCO system), with a sandy loam texture (59.8% sand, 33.8% silt  
124 and 6.4% clay). In 2011, the 0–20 cm soil layer had a bulk density of 1.36 g cm<sup>-3</sup>, pH 8.2, 0.87  
125 g kg<sup>-1</sup> total N, 12.5 g kg<sup>-1</sup> organic matter, 13.7 mg kg<sup>-1</sup> Olsen-P and 120.2 mg kg<sup>-1</sup> available K.  
126 These values were determined from one composite soil sample across the experimental field  
127 before the start of the experiment.

128 Annual mean air temperature from 2011–2015 was about 8.4 °C, or 1 °C higher than the  
129 average for the past 58 years (1958–2015). Annual mean soil temperature at 10 cm depth was  
130 13.2°C during the five-year study. Precipitation in 2011 was 80.8 mm, or 38.3% lower than the  
131 average for the past 58 years (1958–2015), but close to the average for 2012–2015. Two  
132 extremely high precipitation events (> 20 mm) occurred, one in 2012 (40.8 mm, 27 June) and  
133 the other in 2013 (24.4 mm, 14 July) (Fig. 1).

### 134 2.2. Experimental design and field management

135 A randomized block design was established with five treatments and four replicates, with

136 plot areas of 5 m × 7 m. The treatments were (i) CK, no N fertilizer as a control, (ii) Con, local  
137 farmer conventional N fertilization and irrigation, (iii) Opt, optimum N fertilization and  
138 irrigation, (iv) Opt+NI, optimum N fertilization and irrigation with nitrification inhibitor (NI),  
139 (v) Opt-SRF, optimum irrigation and N fertilization with slow-release fertilizer. Field  
140 management in the five treatments during the five-year study is shown in Tables S1 and S2.

141 For the conventional treatment, nitrogen fertilizer (granular urea, containing 46% N) was  
142 applied on three occasions; basal at sowing (40%), first top-dressing (30%, jointing stage),  
143 second top-dressing (30%, bell-mouthed stage), at a rate of 450 kg N ha<sup>-1</sup> yr<sup>-1</sup>. The basal  
144 fertilizer was surface broadcast and incorporated by rotary tillage (about 15 cm depth) before  
145 seeding, and the topdressing was band applied near plant rows at 5 cm depth just before  
146 irrigation. The optimum N treatment had 300 kg N ha<sup>-1</sup> yr<sup>-1</sup> applied to match the target yield  
147 (Ju and Christie, 2011; Ju, 2015) in four applications—basal at sowing (30%), first topdressing  
148 (20%, jointing stage), second topdressing (30%, bell-mouthed stage), and third top-dressing  
149 (20%, tassel stage). For the Opt+NI treatment, dicyandiamide (DCD) was used as a nitrification  
150 inhibitor at a rate of 5% of the applied N. It was thoroughly mixed with the fertilizer before  
151 application. For the Opt-SRF treatment, slow-release fertilizer (26N: 13P<sub>2</sub>O<sub>5</sub>: 7K<sub>2</sub>O, Shikefeng  
152 Chemical Industry Co., Ltd., China) was applied at 1154 kg ha<sup>-1</sup> (300 kg N ha<sup>-1</sup>) as a basal  
153 fertilizer before sowing. Each plot received 150 kg P<sub>2</sub>O<sub>5</sub> ha<sup>-1</sup> (calcium superphosphate,  
154 containing 12% P<sub>2</sub>O<sub>5</sub>) and 80.8 kg K<sub>2</sub>O ha<sup>-1</sup> (potassium sulfate, containing 30% K<sub>2</sub>O) at sowing  
155 with the basal N fertilizer.

156 The irrigation quota (IQ) of the conventional and optimum treatments were 600 and 450  
157 mm (Lian *et al.*, 2013), respectively, which were applied by flood irrigation at the jointing



158 (20%), bell-mouthed (30%), tassel (30%) and milking (20%) stages. Winter irrigation for all  
159 treatments (225 mm) was applied in late November to maintain soil moisture for seed  
160 germination and emergence in the following year.

161 A high-yielding spring maize cultivar (Yuyu 22) was planted at 80,000 plants ha<sup>-1</sup> in  
162 uniform rows (50 cm apart) using a manual seeder. All plots had half-film mulching. The  
163 residual straw after harvest was removed from all plots and the soil was tilled at sowing using  
164 rotary tillage (to a depth of 15 cm). Weeds were removed by hand and chemical herbicide (2,  
165 4-D butylate) was applied three times during each maize growing season.

### 166 2.3. Measurement of N<sub>2</sub>O emissions

167 High-frequency measurements of N<sub>2</sub>O emissions (quantified in the following paragraph)  
168 were undertaken year round (during crop growth and the fallow season) in each plot from April  
169 2011 to April 2016 using the closed static chamber method described by Wang and Wang (2003)  
170 and Zheng *et al.* (2008). A modular stainless-steel chamber was designed with 50 cm length ×  
171 30 cm width × 20 cm height and matched to a base frame (50 cm length × 30 cm width × 15  
172 cm height) (see Figure S1); A powerful alligator clip was used to seal the upper chamber to the  
173 frame. A 10 cm × 10 cm square hole at the top of chamber allowed for spring maize growth.  
174 The chambers were equipped with a thermometer for measuring air temperature, a Teflon tube  
175 for sampling gas by a syringe, a vent for balancing air pressure inside and outside the chamber,  
176 and two fans at opposite angles to ensure complete mixing of air inside the chamber. The  
177 chamber was covered with insulating material to minimize the change in air temperature in the  
178 chamber during summer to less than 3°C within a closure period of 45 min (Gao *et al.*, 2014).  
179 The base frame was inserted into the soil to a depth of 15 cm in each plot and remained in place

180 until tillage at the end of the year. Before gas sampling, the chambers were mounted onto base  
181 frames and sealed with rubber strips and clamps. Between 09:00 am and 11:00 am (Shi *et al.*,  
182 2013), four 50 ml gas samples were taken using a plastic polypropylene syringe through a three-  
183 way stopcock and a Teflon tube connected to the chamber with an interval of 15 min (0, 15, 30  
184 and 45 min) after chamber closure.

185 Gas samples were taken every day for 7–10 days after fertilization, irrigation and/or  
186 rainfall (>20 mm) events from April 15, 2011 to April. 15, 2016; at other times, gas samples  
187 were taken every 3 days, or monthly during soil freezing (mid-November to mid-March of the  
188 following year) (Li *et al.*, 2018). These measurements were high-frequency as compared to low  
189 frequency often taken only once every 1–2 weeks (Davies *et al.*, 2020). Gas samples were  
190 analyzed within 10 h using a modified gas chromatograph (Agilent 7890A, Agilent  
191 Technologies, USA) equipped with a <sup>63</sup>Ni-electron capture detector at 350°C (Huang *et al.*,  
192 2017).

193 The N<sub>2</sub>O fluxes were calculated using a linear or non-linear model (Kroon *et al.*, 2008; Hu  
194 *et al.*, 2013). The cumulative emissions were calculated using the direct linear interpolation  
195 method (Mosier *et al.*, 2006). More details were presented in the supplementary materials.

196 The direct emission factors (EF<sub>N<sub>2</sub>O</sub>) were calculated as:

$$197 \quad EF_{N_2O} (\%) = \frac{E_F - E_0}{R_F} \times 100\% \quad (1)$$

198 where E<sub>F</sub> and E<sub>0</sub> are the annual N<sub>2</sub>O emissions (kg N<sub>2</sub>O-N ha<sup>-1</sup>) from the N fertilizer and CK  
199 plots, respectively. R<sub>F</sub> represents the annual rate of fertilizer N (kg N ha<sup>-1</sup>).

200 Yield-scaled N<sub>2</sub>O emissions (YSNEs) were calculated as:

$$201 \quad \text{Yield-scaled N}_2\text{O emissions (g N kg}^{-1} \text{ grain)} = \frac{\text{Cumulative N}_2\text{O emissions}}{\text{Yield}} \quad (2)$$

#### 202 2.4. Measurements of climate and soil data

203 Climatic data in the experimental station, soil temperature (10 cm depth) and air

204 temperature in the chamber for calculating the N<sub>2</sub>O fluxes, and soil sampling for measuring the  
205 water-filled pore space (WFPS) and soil mineral N at a depth of 20 cm was required for this  
206 study. Accompanying N<sub>2</sub>O sampling, soil sampling was carried out after 1, 3, 5, 7 and/or 9 days  
207 following fertilization and irrigation events, and every 8 days at other times. Samples in the  
208 winter (January to March) were not collected due to soil freezing.

209 The grain and straw yields of spring maize were manually harvested from a 2 m × 5 m area  
210 in the middle of each plot. Total N concentration in the aboveground biomass was analyzed  
211 using an elemental CN analyzer (Thermo Flash EA 1112 Flash, 2000, USA).

## 212 2.5. Statistical analysis

213 Statistical analyses were conducted by SPSS 19.0 (SPSS Inc., Chicago, IL, USA). The  
214 differences in cumulative N<sub>2</sub>O emissions, YSNEs, grain yields, EF<sub>N<sub>2</sub>O</sub>, aboveground N uptake  
215 and NUE across the five years, as affected by different treatments, years and their interactions  
216 were examined using factorial ANOVA analysis. Least significant differences (LSD) tests were  
217 used to examine the differences between the mean values, and significant differences were  
218 reported at P < 0.05. Pearson's correlation analysis was performed to identify correlations  
219 between N<sub>2</sub>O emissions and environmental factors. The boundary line approach was used to  
220 analyze the relationships between N<sub>2</sub>O emissions and soil surface temperature and WFPS in the  
221 topsoil (Schmidt *et al.*, 2000).

## 222 3. Results

### 223 3.1. N<sub>2</sub>O fluxes and cumulative N<sub>2</sub>O emissions

224 Emissions of N<sub>2</sub>O peaked within 3–5 days after fertilization following irrigation events  
225 during the growing season, with small peaks after tillage or single irrigation events, but

226 remained low during the fallow season (Fig. 2). The highest N<sub>2</sub>O fluxes occurred 3–5 days after  
227 the first top dressing of N fertilizer with irrigation (jointing stage, early June). At this time, the  
228 N<sub>2</sub>O peaks in the Con treatment reached 852, 998, 646, 1189, and 896  $\mu\text{g N}_2\text{O-N m}^{-2} \text{h}^{-1}$  in  
229 2011, 2012, 2013, 2014, and 2015, respectively, and were significantly higher than those in the  
230 Opt, Opt+NI, and Opt-SRF treatments. The Opt+NI and Opt-SRF treatments had lower N<sub>2</sub>O  
231 peaks (109–488  $\mu\text{g N}_2\text{O-N m}^{-2} \text{h}^{-1}$ ) than the Opt treatment (398–890  $\mu\text{g N}_2\text{O-N m}^{-2} \text{h}^{-1}$ ).

232 Cumulative N<sub>2</sub>O emissions between treatments and years differed significantly, and their  
233 interactions varied considerably (Table 1, Table S3). Compared to CK, annual cumulative N<sub>2</sub>O  
234 emissions from the N-fertilized treatments increased significantly ( $P < 0.05$ ). However, the Opt,  
235 Opt+NI and Opt-SRF treatments had significantly lower ( $P < 0.05$ ) annual cumulative N<sub>2</sub>O  
236 emissions, with average reductions of 48, 66 and 56%, relative to Con treatment, respectively.  
237 Furthermore, the Opt+NI treatment had the lowest emissions, being 23% less than the Opt-SRF  
238 treatment. Significant inter-annual variations in cumulative N<sub>2</sub>O emissions were observed in  
239 the Opt+NI and Opt-SRF treatments ( $P < 0.05$ , Table 1, Table S3). For instance, the highest  
240 annual cumulative N<sub>2</sub>O emission in the Opt+NI treatment was 1.54 kg N<sub>2</sub>O-N ha<sup>-1</sup> in 2011, and  
241 the lowest was 0.88 kg N<sub>2</sub>O-N ha<sup>-1</sup> in 2015, with no significant differences between 2012, 2013,  
242 and 2014 (1.16–1.34 kg N<sub>2</sub>O-N ha<sup>-1</sup>). Cumulative N<sub>2</sub>O emissions during the GS were  
243 significantly higher than those in the FS in all treatments, with the GS accounting for 97–98%  
244 of the annual cumulative N<sub>2</sub>O emissions (Table 1).

245 Cumulative N<sub>2</sub>O emissions in the ten days after fertilization and/or irrigation at the  
246 different growing stages differed significantly between the N-fertilized treatments ( $P < 0.05$ )  
247 (Table S4). For instance, at the jointing stage, emissions were 1098, 552, 221, and 213 g N<sub>2</sub>O-

248 N ha<sup>-1</sup> in the Con, Opt, Opt+NI, and Opt-SRF treatments, respectively, accounting for 30, 29,  
249 18, and 14% of annual N<sub>2</sub>O emissions, respectively (Table S4). Two higher N<sub>2</sub>O peaks  
250 occurred following the second and third topdressing of N fertilizer and irrigation, at the bell-  
251 mouthed (early July) and tassel stages (late July), which accounted for 10–20% and 5–11% of  
252 the annual N<sub>2</sub>O emissions in the N-fertilized treatments, respectively (Table S4). Two small  
253 N<sub>2</sub>O peaks were observed after basal fertilization (late March) and the fourth irrigation at the  
254 milking stage (late August), accounting for 4–6% and 2–5% of annual N<sub>2</sub>O emissions,  
255 respectively (Table S4).

### 256 3.2. Grain yield

257 The application of EENFs did not reduce the grain yield compared with conventional  
258 fertilizers (Fig. 3a). Grain yields differed significantly between the Con, Opt, Opt+NI, and Opt-  
259 SRF treatments in 2011 and 2012, but not in 2013, 2014 and 2015 ( $P < 0.05$ ). There were no  
260 significant differences between the Opt, Opt+NI and Opt-SRF treatments each year. Mean grain  
261 yields ranged from 12.7–12.8 Mg ha<sup>-1</sup> in the optimum N-fertilized treatments, which was  
262 comparable with the Con treatment (12.8 Mg ha<sup>-1</sup>) ( $P > 0.05$ ).

### 263 3.3. Yield-scaled N<sub>2</sub>O emissions (YSNEs)

264 The different N and water management treatments had a significant effect on the YSNEs  
265 of spring maize (Fig. 3b), ranging from 0.05–0.07 g N<sub>2</sub>O-N kg<sup>-1</sup> grain in the CK treatment, and  
266 significantly higher in the Con treatment (0.27–0.31 g N<sub>2</sub>O-N kg<sup>-1</sup> grain) than the optimum N-  
267 fertilized treatments (0.06–0.18 g N<sub>2</sub>O-N kg<sup>-1</sup> grain) ( $P < 0.05$ ). The Opt, Opt+NI and Opt-SRF  
268 treatments had 48%, 66% and 56% lower mean YSNEs ( $P < 0.05$ ), respectively, than the Con  
269 treatment.

270 3.4. Aboveground N uptake, nitrogen use efficiency (NUE) and direct emission factors ( $EF_{N_2O}$ )

271 Aboveground N uptake increased significantly in the Con, Opt, Opt+NI, and Opt-SRF  
272 treatments, when compared to CK ( $P < 0.05$ ), but no significant differences occurred between  
273 the N treatments (Table S5). The mean NUE for the five years in the Con (35.2–41.4%), Opt  
274 (28.4–66.9%), Opt+NI (33.7–78.2%), and Opt-SRF (28.2–77.8%) treatments were 35.8, 54.1,  
275 60.7 and 59.4%, respectively. The mean NUE of Opt, Opt+NI and Opt-SRF treatments  
276 increased by 50.9, 69.5 and 65.9%, relative to the Con treatment, but did not significantly differ  
277 between the optimum treatments ( $P < 0.05$ , Table S5). Aboveground N uptake and NUE in the  
278 Opt, Opt+NI, and Opt-SRF treatments differed significantly between 2011–12 and 2013–15 ( $P$   
279  $< 0.05$ , Table S5).

280 Across the five years, the average  $EF_{N_2O}$  for the N-fertilized treatments ranged from 0.29%  
281 to 0.74% with the following ranking: Con  $>$  Opt  $>$  Opt-SRF  $>$  Opt +NI ( $P < 0.05$ , Table 2).

282 3.5 The relationship between  $N_2O$  flux and climate and soil factors

283 The  $N_2O$  fluxes in the N-fertilized treatments had a significant positive correlation with  
284 soil temperature ( $T_{soil}$ ), WFPS, and soil  $NO_3^-$ -N and  $NH_4^+$ -N concentrations (Table 3). The most  
285 significant correlations occurred in the Con treatment: soil  $NH_4^+$ -N ( $R^2=0.343$ ,  $P < 0.01$ ),  
286 followed by  $T_{soil}$  ( $R^2=0.341$ ,  $P < 0.01$ ) and soil  $NO_3^-$ -N ( $R^2=0.319$ ,  $P < 0.01$ ). The factors best  
287 able to predict emissions in the Opt and Opt+NI treatments were ranked as  $NO_3^-$ -N  $>$  WFPS  $>$   
288  $T_{soil} > NH_4^+$ -N, and the Opt-SRF treatment as  $NO_3^-$ -N  $>$   $T_{soil} >$  WFPS  $>$   $NH_4^+$ -N.

289 The response of  $N_2O$  fluxes to soil temperature and WFPS in the topsoil was fitted to  
290 Gaussian equations that were defined by a boundary line analysis (Fig. 4). When the soil  
291 temperature was below 11 °C,  $N_2O$  fluxes were low. Higher  $N_2O$  fluxes occurred at soil

292 temperatures from 11–22 °C in the ten days after fertilization and/or irrigation (Fig. 4a). When  
293 soil moisture was below 40% WFPS, N<sub>2</sub>O fluxes were consistently less than 200 μg N<sub>2</sub>O-N m<sup>-2</sup>  
294 h<sup>-1</sup>. The highest fluxes were measured when WFPS was around 70% and declined above this  
295 value (Fig. 4b).

## 296 **4. Discussion**

### 297 4.1. EENFs reduced N<sub>2</sub>O emissions

298 In this study, the total of cumulative N<sub>2</sub>O emissions in the ten days after fertilization and/or  
299 irrigation events reached 2433 g N<sub>2</sub>O-N ha<sup>-1</sup> in the Con treatment, considerably higher than  
300 those reported on the semiarid Huang Huai Hai Plain (1643 g N<sub>2</sub>O-N ha<sup>-1</sup>) (Gao *et al.*, 2014)  
301 and Loess Plateau (< 300 g N<sub>2</sub>O-N ha<sup>-1</sup>) (Wang *et al.*, 2016). However, the Opt, Opt+NI and  
302 Opt-SRF treatments significantly reduced total N<sub>2</sub>O emissions by 48–66%, relative to the Con  
303 treatment, and the use of EENFs (Opt+NI and Opt-SRF) further reduced N<sub>2</sub>O emissions by 14–  
304 34%, relative to the Opt treatment, which is consistent with the 19–38% reductions reported  
305 elsewhere (Qiao *et al.*, 2015; Feng *et al.*, 2016; Thapa *et al.*, 2016), because of the slowdown  
306 in ammonia oxidation when using NIs and the control of N substrate release when using SRF  
307 after fertilization and irrigation (Ding *et al.*, 2011; Wu *et al.*, 2018). Because the effectiveness  
308 of EENFs on N<sub>2</sub>O emissions depends on the interaction between soils, climate, crops, and  
309 agronomy (Guardia *et al.*, 2018), the same fertilization and irrigation treatments over five years  
310 produced significant differences in annual N<sub>2</sub>O emissions from EENFs, which could be  
311 explained by the inter-annual variation in weather conditions (Guardia *et al.*, 2018).

### 312 4.2. EENFs reduced yield-scaled N<sub>2</sub>O emissions (YSNEs) with high grain yield and NUE

313 Grain yields in the N-fertilized treatments did not significantly differ in our study, indicating

314 that reducing the conventional N rate by at least 33% and the conventional irrigation rate by  
315 25% could maintain yield when using EENFs combined with optimum N and water  
316 management. Similar results were reported in maize systems in Germany (Weller *et al.*, 2019)  
317 and China (Ding *et al.*, 2011), where no yield reductions occurred when combining NIs with  
318 an optimum N fertilizer rate. A meta-analysis showed that SRFs did not reduce maize yields  
319 with optimum N fertilizer rates (Thapa *et al.*, 2016). However, other recent meta-analyses have  
320 reported that NIs increased yields by 4.4–10% compared to conventional N fertilization (Abalos  
321 *et al.*, 2014; Qiao *et al.*, 2015; Feng *et al.*, 2016; Thapa *et al.*, 2016; Yang *et al.*, 2016). In our  
322 study, the EENFs may not have increased yield because we used a lower optimum N rate (300  
323 kg ha<sup>-1</sup> yr<sup>-1</sup>) than the conventional N rate (450 kg ha<sup>-1</sup> yr<sup>-1</sup>). Rose *et al.* (2018) stated that the  
324 question asked should not be ‘can EENFs increase yields?’ but rather ‘to what extent can N  
325 application rate be reduced by applying EENFs without loss of yield?’. Clearly, N rate is a key  
326 determinant of crop yield, while the effect of EENFs on reducing N losses might enable a  
327 reduction in the N rate without loss of yield (Abalos *et al.*, 2014).

328 The concept of YSNEs was developed to evaluate the trade-off between N<sub>2</sub>O emissions  
329 and crop yield (Kim and Giltrap, 2017). The Opt+NI and Opt-SRF treatments had lower YSNE  
330 values (84–143 g N<sub>2</sub>O-N Mg<sup>-1</sup> grain) than the Opt and Con treatments (131–313 g N<sub>2</sub>O-N Mg<sup>-1</sup>  
331 grain), but similar yields. Mean YSNEs of the Opt+NI and Opt-SRF treatments (97 and 126  
332 g N<sub>2</sub>O-N Mg<sup>-1</sup> grain, respectively) that received 300 kg N ha<sup>-1</sup> were higher than those reported  
333 by Yang *et al.* (2014) (80 and 95 g N<sub>2</sub>O-N Mg<sup>-1</sup> grain, respectively) for maize that received 210  
334 kg N ha<sup>-1</sup> in Northeastern China. The use of NIs and SRF significantly reduced YSNEs by 32.6  
335 and 16.3%, respectively, in a meta-analysis (Feng *et al.*, 2016), which is in line with our results



336 (35.7 and 16.7%, relative to the Opt treatment, respectively). Our results suggest that the use of  
337 optimum N fertilizer and irrigation rates could reduce YNSEs, more so when combined with  
338 EENFs, in these high-yielding irrigated maize systems in northwestern China.

339 The mean above-ground N uptake in the optimum N and Con treatments did not  
340 significantly differ ( $\sim 298 \text{ kg N ha}^{-1}$ ), despite the difference in their N rate ( $300 \text{ kg ha}^{-1} \text{ yr}^{-1}$  and  
341  $450 \text{ kg ha}^{-1} \text{ yr}^{-1}$ , respectively), and the average NUE increased by 50.9% in the Opt treatment  
342 and 69.5% in the EENF treatments, relative to the Con treatment. The significantly higher  
343 NUEs in the Opt, Opt+NI, and Opt-SRF treatments resulted in lower residual N in soil (Table  
344 S6). The Opt, Opt+NI and Opt-SRF treatments had lower mean residual N during the five-year  
345 study ( $24.85$ ,  $5.44$  and  $9.04 \text{ kg N ha}^{-1} \text{ yr}^{-1}$  respectively) than the Con treatment ( $116.03 \text{ kg N}$   
346  $\text{ha}^{-1} \text{ yr}^{-1}$ ). In the Opt+NI and Opt-SRF treatments, NUE increased by 10 and 12%, respectively,  
347 relative to the Opt treatment, but the three treatments did not significantly differ ( $P > 0.05$ ),  
348 which is in line with the average 14% increase in NUE for cereal crops using EENFs (Zheng *et al.*  
349 *al.*, 2017).

#### 350 4.3. Factors controlling $\text{N}_2\text{O}$ emissions

351 Our results have shown that large  $\text{N}_2\text{O}$  emission peaks are observed shortly after  
352 fertilization and irrigation events, with lower peaks at other times, as shown in previous studies  
353 (Gao *et al.*, 2014; Huérfano *et al.*, 2018; Recio *et al.*, 2018). Such peaks could be simulated by  
354 the frequency of the drying and rewetting cycles (Fierer and Schimel, 2002), which enhances  
355 carbon and nitrogen mineralization (Van Gestel *et al.*, 1993) and causes a switch between  
356 microbial nitrification and denitrification processes (Chen *et al.*, 2014). In our study, soil  
357 moisture (expressed as WFPS) ranged from 20% to 93% WFPS during the drying and rewetting

358 cycles periods (Fig. 5). Soil  $\text{NH}_4^+$ -N concentrations in the 0–20 cm soil layer ranged from 0–9  
359  $\text{mg N kg}^{-1}$  dry soil in all treatments during the five-year study (Fig. 6a). There was no significant  
360 difference in Mean  $\text{NH}_4^+$ -N concentrations between Con, Opt+NI, Opt-SRF treatments, but  
361 they were 50.0%, 29.2% and 45.8% ( $P < 0.05$ ) higher than the Opt treatment in the ten days  
362 after basal fertilization respectively (Table S7). Compared with the Con treatment, average soil  
363  $\text{NH}_4^+$ -N concentrations in the Opt+NI and Opt-SRF treatments at the jointing stage increased  
364 significantly by 50.0% and 45.5% ( $P < 0.05$ ) respectively, but decreased by 34.9% and 24.3%  
365 at the bell-mouthed stage, respectively. Mean  $\text{NH}_4^+$ -N concentrations did not differ in the Con,  
366 Opt, Opt+NI, Opt-SRF treatments at the tassel or milking stage found (Table S7). In contrast,  
367 soil  $\text{NO}_3^-$ -N concentrations in the 0–20 cm soil layer ranged from 2–97  $\text{mg N kg}^{-1}$  dry soil (Fig.  
368 6b) and were highest in the Con treatment (35.3–58.3  $\text{mg N kg}^{-1}$ ). Mean soil  $\text{NO}_3^-$ -N  
369 concentrations declined significantly ten days after basal fertilization by 35.4%, 63.2%, and  
370 67.6% in the Opt, Opt+NI, and Opt-SRF treatments ( $P < 0.05$ ), respectively, relative to the Con  
371 treatment (Table S7). The Opt+NI and Opt-SRF treatments had lower mean soil  $\text{NO}_3^-$ -N  
372 concentrations than the Opt treatment ( $P < 0.05$ ), which remained at 15.8  $\text{mg N kg}^{-1}$  and 14.3  
373  $\text{mg N kg}^{-1}$  within ten days after fertilization, respectively. The positive correlations between  
374  $\text{N}_2\text{O}$  emissions, soil WFPS, and mineral N concentrations indicated that the high  $\text{N}_2\text{O}$  peaks  
375 were produced by microbially-mediated nitrification and denitrification processes. Although  
376 high soil moisture ( $> 70\%$  WFPS) was observed within 3–4 days after each irrigation,  
377 denitrification is unlikely to have been the main contributor to  $\text{N}_2\text{O}$  production due to the low  
378 soil N content and the low soil denitrification potential in this region (Wan *et al.*, 2009; Ju and  
379 Zhang, 2017). Isotopic tracing experiments have indicated that the main process responsible

380 for N<sub>2</sub>O production is nitrifier denitrification, which may account for 30–66% of soil N<sub>2</sub>O  
381 emissions (Kool *et al.*, 2011; Zhu *et al.*, 2013). Recent studies confirmed that nitrification and  
382 nitrifier denitrification rather than denitrifier denitrification were the main processes generating  
383 N<sub>2</sub>O in these intensively N-fertilized alkaline soils in northern China (Zhang *et al.*, 2016; Wu  
384 *et al.*, 2018; Zhang *et al.*, 2019).

385 The low soil mineral N and soil moisture, rather than soil temperature, were the main  
386 limiting factors affecting N<sub>2</sub>O emissions during the non-fertilization or irrigation periods of the  
387 GS. The low N<sub>2</sub>O emissions in the FS were mainly attributed to the low temperature (< 0 °C),  
388 the low N inputs (< 20 mg N kg<sup>-1</sup>) as crop residues, and low soil moisture conditions (< 50%  
389 WFPS) in our study area, which restricted the activity of soil microorganisms (Baggs, 2011;  
390 Hu *et al.*, 2013). Ju and Zhang (2017) reported that the upland agricultural soils in North China  
391 were characterized by strong N mineralization and nitrification, and weak immobilization and  
392 denitrification ability. The low values of NH<sub>4</sub><sup>+</sup> content in soil in our experiment were due to the  
393 rapid conversion to NO<sub>3</sub><sup>-</sup> after urea application under favorable water and heat conditions.  
394 Generally, soil NH<sub>4</sub><sup>+</sup> concentration is lower than 5 mg N kg<sup>-1</sup> in the upper soil layer and soil  
395 mineral N is dominated by NO<sub>3</sub><sup>-</sup>, except for a short period (0.5 to 2 week) after fertilization (Ju  
396 *et al.*, 2003; Ju *et al.*, 2004). However, the low NO<sub>3</sub><sup>-</sup>N content in topsoil compared to the N  
397 application rate was due to NO<sub>3</sub><sup>-</sup> leaching under situations, which led to the accumulation of  
398 nitrate deep in the soil profile (Huang *et al.*, 2017; Zhou *et al.*, 2016), which reduced the  
399 substrate available to soil microorganisms.

400 The mean direct N<sub>2</sub>O emission factor (EF<sub>N<sub>2</sub>O</sub>) ranged from 0.29–0.74% at 300–450 kg N  
401 ha<sup>-1</sup> yr<sup>-1</sup> in our study, which is within the 0.22–1.53% range from 12 Chinese croplands (Zheng

402 *et al.*, 2004), and was close to the default value of 0.5% suggested by IPCC (2019). The  $EF_{N_2O}$   
403 values in our study are lower than those of summer maize (0.85–1.29% at 246–457 kg N ha<sup>-1</sup>  
404 rate) on the North China Plain (Song *et al.*, 2018), higher than those of rainfed spring maize  
405 (0.18–0.23% at 225 kg N ha<sup>-1</sup>) on the Loess Plateau (Wang *et al.*, 2016), and consistent with  
406 those of irrigated and fertilized spring maize (0.42–0.72% at 120–330 kg N ha<sup>-1</sup>) in semiarid  
407 northern China (Liu *et al.*, 2011).

## 408 **5. Conclusion**

409 The combined application of Enhanced Efficiency Nitrogen Fertilizers (EENFs) with  
410 optimized water and fertilizer either decrease N<sub>2</sub>O emissions and yield-scaled N<sub>2</sub>O emissions  
411 or increase nitrogen use efficiency and maintain high crop yields in our study. Large N<sub>2</sub>O  
412 emissions mainly occur within a few days of fertilization with irrigation, which could be  
413 stimulated by the frequency of the drying and rewetting cycles. The low soil N content and the  
414 low soil denitrification potential in our experiment indicate that the high N<sub>2</sub>O peaks are  
415 produced by microbially-mediated nitrifier denitrification processes. In addition, the fallow  
416 seasons had significantly lower cumulative N<sub>2</sub>O emissions than the growing seasons, which  
417 were mainly attributed to the low temperature, the low N inputs as crop residues, and low soil  
418 moisture conditions in the fallow. This study provides clear evidence of the important role of  
419 EENFs in mitigating N<sub>2</sub>O emissions and improving NUE while maintaining crop yields and  
420 highlights their contribution to more sustainable cropping systems in dryland regions such as  
421 Northwest China.

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